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FOREWORD AND ACKNOWLEDGMENTS

This report contains an assessment of the geotechnical status of the Waste Isolation Pilot Plant (WIPP). During the excavation of the principal underground access and experimental areas, the status was reported quarterly. Since 1987, when the initial construction phase was completed, reports have been published annually. This report presents and analyzes data collected from July 1, 2008, to June 30, 2009.

This Geotechnical Analysis Report (GAR) was written to meet the needs of several audiences. It satisfies requirements contained in the WIPP Hazardous Waste Facility Permit\(^1\) (HWFP) and the Certification of Compliance\(^2\) with Subparts B and C, Title 40 Code of Federal Regulations (CFR) Part 191, "Environmental Radiation Protection Standards for Management and Disposal of Spent Nuclear Fuel, High-Level and Transuranic Radioactive Wastes." It focuses on the geotechnical performance of the various components of the underground facility, including the shafts, shaft stations, access drifts, and waste disposal areas. The results of investigations of excavation effects and other geotechnical studies are also included.

The report compares the geotechnical performance of the repository to the design criteria. It describes the techniques that were used to acquire the data and the performance history of the instruments. The depth and breadth of the evaluation of the different components of the underground facility vary according to the types and quantities of data available and the complexity of the recorded geotechnical responses. Graphic documentation of data and tabular documentation of instrument history can be provided upon request.

This GAR was prepared by Washington TRU Solutions LLC (WTS) for the U.S. Department of Energy (DOE), Carlsbad Field Office (CBFO), in Carlsbad, New Mexico. Work was supported by the DOE under Contract No. DE-AC29-01AL66444.

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1. New Mexico Environment Department (NMED), 2008, Waste Isolation Pilot Plant Hazardous Waste Facility Permit, NM4890139088-TSDF, Santa Fe, NM
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ACRONYMS AND ABBREVIATIONS

ASTM American Society for Testing and Materials
bp before present
bsc below shaft collar
CAO Carlsbad Area Office
CBFO Carlsbad Field Office
CFR Code of Federal Regulations
CH contact-handled
cm centimeter(s)
DOE U.S. Department of Energy
EPA U.S. Environmental Protection Agency
ft foot (feet)
GAR Geotechnical Analysis Report
GIS geomechanical instrumentation system
HWFP Hazardous Waste Facility Permit
in inch(es)
km kilometer(s)
kPa kilopascal(s)
kVA kilovolt ampere(s)
LANL Los Alamos National Laboratory
lb pound(s)
m meter(s)
Ma million years
MB marker bed
μin $10^{-6}$ inch(es)
NMED New Mexico Environment Department
OMB orange marker bed
psi pound(s) per square inch
RH remote-handled
SPDV       Site and Preliminary Design Validation
TRU        transuranic
WIPP       Waste Isolation Pilot Plant
WTS        Washington TRU Solutions LLC
yr(s)      year(s)
1.0 INTRODUCTION

This Geotechnical Analysis Report (GAR) presents and interprets geotechnical data from the underground excavations at the Waste Isolation Pilot Plant (WIPP). The data, which are obtained as part of a regular monitoring program, are used to characterize conditions, to compare actual performance to the design assumptions, and to evaluate and forecast the performance of the underground excavations.

GARs have been available to the public since 1983. During the Site and Preliminary Design Validation (SPDV) Program, the architect/engineer for the project produced these reports quarterly to document the geomechanical performance during and immediately after early excavations of the underground facility. Since completion of the construction phase of the project in 1987, the management and operating contractor for the facility has prepared these reports annually. This report describes the performance and condition of selected areas from July 1, 2008, to June 30, 2009. It is divided into nine chapters.

Chapter 1 provides background information on WIPP, its mission, and the purpose and scope of the geomechanical monitoring program. Chapter 2 describes the local and regional geology of the WIPP site. Chapters 3 and 4 describe the geomechanical instrumentation in the shafts and shaft stations, present the data collected by that instrumentation, and provide interpretation of these data. Chapters 5 and 6 present the results of geomechanical monitoring in the two main portions of the WIPP underground (the access drifts and the waste disposal area). Chapter 7 discusses the results of the Geoscience Program, which include fracture mapping and hole observations. Chapter 8 summarizes the results of geomechanical monitoring and compares the current excavation performance to the design requirements. Chapter 9 lists references.

1.1 Location and Description

WIPP is located in southeastern New Mexico, 26 miles (42 kilometers [km]) east of Carlsbad (Figure 1-1). The surface facilities were built on the flat to gently rolling terrain that is characteristic of the Los Medaños area. The underground facility is being excavated approximately 2,150 feet (ft) (655 meters [m]) beneath the surface in the Salado Formation. Figure 1-2 shows a plan view of the underground configuration of WIPP as of June 30, 2009.
Figure 1-1 – WIPP Location
Figure 1-2 – Underground Mining and Waste Disposal Configuration as of June 30, 2009
1.2 Mission

In 1979 Congress authorized WIPP (Public Law 96-164, National Security and Military Applications of Nuclear Energy Authorization Act of 1980) to provide "... a research and development facility to demonstrate the safe disposal of radioactive wastes resulting from the defense activities and programs of the United States exempted from regulation by the Nuclear Regulatory Commission." To fulfill this mission, the DOE constructed a full-scale facility to demonstrate both technical and operational principles of the permanent disposal of transuranic (TRU) and TRU mixed wastes. Technical aspects are those concerned with the design, construction, and performance of the subsurface excavations. Operational aspects refer to the receiving, handling, and emplacement of TRU wastes in the facility. The facility was first used for \textit{in situ} studies and experiments without the use of radioactive waste. WIPP now receives handles, and permanently disposes of TRU waste and TRU mixed waste.

1.3 Development Status

To fulfill its mission, the DOE developed WIPP in a phased manner. The goal of the SPDV phase, begun in 1980, was to characterize the site and obtain \textit{in situ} geotechnical data from underground excavations to determine whether site characteristics and \textit{in situ} conditions were suitable for permanent disposal. During this phase, the Salt Shaft, a ventilation shaft, a drift to the southernmost extent of the proposed waste disposal area, a four-room experimental panel, and access drifts were excavated. Surface-based geological and hydrological investigations were also conducted. The data obtained from the SPDV investigations were reported in the "Summary of the Results of the Evaluation of the WIPP Site and Preliminary Design Validation Program" (DOE, 1983).

Based upon the favorable results of the SPDV investigations, additional activities were initiated in 1983. These included the construction of surface structures, conversion of the ventilation shaft for use as the Waste Shaft, excavation of the Exhaust Shaft, development of additional access drifts to the waste disposal area, excavation of the Air Intake Shaft, and excavation of additional experimental rooms to support research and development. Geotechnical data acquired during this phase were used to evaluate the performance of the excavations in the context of established design criteria (DOE, 1984). Results of these evaluations were reported in Geotechnical Field Data Reports (DOE, 1985; DOE, 1986a) and were summarized in the Design Validation Final Report (DOE, 1986b).

The Design Validation Final Report concluded that the facility, including waste disposal areas, could be developed and operated to fulfill the long-term mission of WIPP (DOE, 1986b). All available information validated the design of underground openings to safely accommodate the permanent disposal of waste under routine operating conditions.

Panel 1 mining began in 1986 and was completed in 1988. Panel 1 was intended to receive waste for an initial operations demonstration and pilot plant phase that was scheduled to start in October 1988; however, the demonstration and pilot plant phase
was not put into effect because waste could not be emplaced until permits were acquired.

In October 1996, the DOE submitted to the U.S. Environmental Protection Agency (EPA) a compliance certification application in accordance with 40 CFR Parts 191 and 194, which addressed the long-term (10,000-year) performance criteria for the disposal system. On May 18, 1998, the EPA published the final certification that allowed for the receipt of TRU waste at WIPP. Immediately before this certification, the DOE Carlsbad Area Office (CAO) completed an Operational Readiness Review, which is required by the DOE before the start-up or a process change of any nuclear facility. As a result of the review, the CAO notified the Energy Secretary on April 1, 1998, that WIPP was operationally ready to receive waste. On March 26, 1999, the first shipment of TRU waste was received from Los Alamos National Laboratory (LANL). By the end of June 2009, many additional generator sites had shipped waste to WIPP. The cleanup of several small-quantity generator sites, as well as one large-quantity site (Rocky Flats Environmental Technology Site) is now complete.

Waste disposal in Panels 1, 2, 3, and 4 is complete. Panels 1, 2, and 3 contain only CH waste. The first RH waste shipment arrived January 24, 2007. Panel 4 was the first to receive both CH and RH waste. As of June 30, 2009, waste handling activities in Panel 5 included RH disposal in Room 7 and CH disposal in Room 6. Mining of Panel 6 was started during this period.

1.4 Purpose and Scope of Geomechanical Monitoring Program

As specified in the WIPP HWFP (NMED, 2008), the purpose of the geomechanical monitoring program is to obtain in situ data to support the continuous assessment of the design for underground facilities.

Specifically, the program provides for:

- Early detection of conditions that could affect operational safety.
- Evaluation of disposal room closure that ensures adequate access.
- Guidance for design modifications and remedial actions.
- Data for interpreting the behavior of underground openings, in comparison with the established design criteria.

Data taken by or input into the geomechanical instrumentation system (GIS) are evaluated and reported in this GAR. This annual report fulfills the requirements set forth in Module IV.F.1 and Attachment M2, Section M2-5b(2) of the WIPP HWFP (NMED, 2008), and 40 CFR §191.14, "Assurance Requirements," implemented through the certification criteria, 40 CFR Part 194.
The Geomechanical Monitoring Program generates the data for four of the compliance monitoring parameters:

- Creep closure and stresses
- Extent of deformation
- Initiation of brittle deformation
- Displacement of deformation features

The instrumentation system for geomechanical monitoring provides data for routine evaluations of safety, stability, and performance of underground openings. *In situ* data are also used to model long-term disposal system performance. Changes resulting from excavations are monitored by routine inspections of selected observation hole arrays and fracture mapping to detect and quantify occurrences of discontinuities such as fractures and bed separations. Analysis of data indicating areas of potential instability allows timely corrective action before they could become safety issues. Other geosciences activities include geologic mapping and sampling, and seismic monitoring.

The GIS provides data that are collected, processed, and stored for analysis. The following subsections briefly describe the major components of the GIS.

### 1.4.1 Instrumentation

Instrumentation installed for measuring the geomechanical response of the shafts, drifts, and other underground openings includes convergence points, convergence meters, extensometers, rock bolt load cells, pressure cells, strain gauges, piezometers, and joint meters. Table 1-1 lists a summary of the specifications for geomechanical instrumentation.

<table>
<thead>
<tr>
<th>Instrument Type</th>
<th>Measures</th>
<th>Range*</th>
<th>Resolution*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sonic probe extensometer</td>
<td>Cumulative deformation</td>
<td>0–2 in</td>
<td>0.001 in</td>
</tr>
<tr>
<td>Convergence point (tape extensometer)</td>
<td>Cumulative deformation</td>
<td>2–50 ft</td>
<td>0.001 in</td>
</tr>
<tr>
<td>Wire convergence meter</td>
<td>Cumulative deformation</td>
<td>0–3.5 ft</td>
<td>0.001 in</td>
</tr>
<tr>
<td>Embedded strain gauge</td>
<td>Cumulative strain</td>
<td>0–3000 μin/in</td>
<td>1 μin/in</td>
</tr>
<tr>
<td>Spot-welded strain gauge</td>
<td>Cumulative strain</td>
<td>0–2500 μin/in</td>
<td>1 μin/in</td>
</tr>
<tr>
<td>Rock bolt load cell</td>
<td>Load</td>
<td>0–50 tons</td>
<td>40 lb</td>
</tr>
<tr>
<td>Earth pressure cell</td>
<td>Pressure</td>
<td>0–1000 psi</td>
<td>1 psi</td>
</tr>
<tr>
<td>Piezometer</td>
<td>Fluid pressure</td>
<td>0–500 psi</td>
<td>0.5 psi</td>
</tr>
<tr>
<td>Joint meter</td>
<td>Cumulative deformation</td>
<td>0–4 in</td>
<td>0.001 in</td>
</tr>
<tr>
<td>Vibrating wire extensometer</td>
<td>Cumulative deformation</td>
<td>0–4 in</td>
<td>0.001 in</td>
</tr>
<tr>
<td>Wire extensometer</td>
<td>Cumulative deformation</td>
<td>0–20 in</td>
<td>0.001 in</td>
</tr>
<tr>
<td>Linear potentiometric extensometer</td>
<td>Cumulative deformation</td>
<td>0–6 in</td>
<td>0.001 in</td>
</tr>
</tbody>
</table>

* Manual readout boxes for the instruments were manufactured to render measurements in U.S. customary units. Range and resolution measurement units have not been converted to metric units. Measurements from these instruments have been converted for presentation elsewhere in this report.
1.4.2 Data Acquisition

Geomechanical instruments are read either manually, using portable devices, or remotely by electronically polling the stations from the surface in accordance with approved operating procedures. Remotely read instruments are connected to one of the underground data-loggers, and readings are collected by initiating the appropriate polling routine. Upon completion of a verification process, data are transferred to a computer database. Manual readout devices are taken to instrument locations underground. Data are recorded on data sheets and later entered into an electronic database, along with remotely acquired data.

The underground data acquisition system consists of instruments, polling devices, and a communications network. Instruments are connected to polling devices that are installed in electrical enclosures near the instrument locations. Polling devices are connected by a data link to a surface computer.

Whether acquired manually or remotely, geomechanical data are entered into the database files of the GIS data processing system. The data processing system consists of computer programs that are used to enter, reduce, and transfer the data to permanent storage files. Additional routines allow access to the permanent storage files for numerical analysis, tabular reporting, and graphical plotting. Copies of the instrumentation database and data plots are available upon request. 3

1.4.3 Data Evaluation

Rounding and significant digits are used in the data tables of this document. The reference document is American Society for Testing and Materials (ASTM) document ASTM E 29–06b, "Standard Practice for Using Significant Digits in Test Data to Determine Conformance with Specification." 4

Closure measurements are acquired manually from convergence point anchors and remotely from convergence meters. Data are presented in plots of closure versus time. Closure rate data are calculated and presented as part of the data analysis.

Extensometers provide displacement data from instrumented rods or wires anchored at various depths. Plots show displacement versus time for individual anchors. Displacement rate data from the hole collar to the deepest anchor are presented in the data analysis.

3 Instrumentation data and data plots are presented in "Geotechnical Analysis Report for July 2008-June 2009 Supporting Data" (DOE/WIPP-10-3177 Volume 2). The document is available upon request from the National Technical Information Service. See page 3 for details and addresses.
4 Copyright by ASTM, Reproduction authorized per License Agreement with Washington TRU Solutions LLC.
The annual closure rate is calculated as follows:

\[ \text{rate(inches / year)} = \frac{(cfi_2 - cfi_1)}{\text{date}_2 - \text{date}_1} \times 365.25 \text{days / year} \]

where:
- \( cfi = \text{the change from the initial reading (inches)} \)
- \( cfi_1 = \text{cfi reading closest to the beginning of the reporting period} \)
- \( cfi_2 = \text{cfi reading closest to the end of the reporting period} \)

Comparisons between closure rates of the previous and current reporting periods are presented as percent changes in rate and are calculated as follows:

\[ \text{percent change in rate} = \left( \frac{\text{Rate}_{\text{Current Period}} - \text{Rate}_{\text{Previous Period}}}{\text{Rate}_{\text{Previous Period}}} \right) \times 100\% \]

Rock bolt load cells are used to determine bolt support performance. Plots show load versus time for each instrumented bolt.

Earth pressure cells and strain gauges are used to determine the stresses and deformation in and around the shaft liners. Data are depicted in time-based plots.

Piezometers are used to measure the gauge pressure of groundwater and are installed in the shafts at varying elevations to monitor the hydraulic head acting on the shaft liners. Data are plotted as pressure versus time.

Joint meters, installed perpendicular to a crack, monitor the dilation of the crack with time. Data are presented as displacement versus time.

1.4.4 Data Errors

GIS data are processed through a comprehensive database management system. Whether acquired manually or remotely, GIS data are processed and permanently stored according to approved procedures. On occasion, erroneous readings can occur. There are several possible explanations for erroneous readings, including the following:

- The measuring device was misread.
- The reading was recorded incorrectly.
- The measuring device was not functioning within specifications.

When a reading is believed to be erroneous, the suspect reading is evaluated, and a second reading is collected. If the second reading falls in line with the instrument trend, the first reading is discarded and the second reading is entered in the database. If the second reading and subsequent readings remain out of the instrument trend, the ground conditions in the vicinity of the instrument are assessed to determine the reason for the discrepancy. In addition, the reading frequency may be increased. This process to correct erroneous readings is documented, and the documentation is filed for future reference.
2.0 GEOLOGY

This chapter provides a summary of the stratigraphy of the WIPP region and the site. Readers desiring further geologic information may consult the "Geological Characterization Report, WIPP Site, Southeastern New Mexico" (Powers et al., 1978). This report was developed as a source document on the geology of the WIPP site for individuals, groups, or agencies seeking basic information on geologic history, hydrology, geochemistry, or detailed information, such as physical and chemical properties of repository rocks. A more recent survey of WIPP stratigraphy is included in Holt and Powers (1990).

2.1 Regional Stratigraphy

The stratigraphy in the vicinity of the WIPP site includes rocks of Permian (295 to 250 million years [Ma] before present [bp]), Triassic (250 to 203 Ma), and Quaternary (1.75 Ma to present) ages. The descriptions of formations provided in this section are given in order of deposition (oldest to youngest), beginning with the Castile Formation (Figure 2-1).

2.1.1 Permian

The Permian system in southwestern North America is divided into four series. The last of these, the Ochoan Series, contains the host rock in which the WIPP repository is located. The Ochoan Series is of mostly marine origin and consists of four formations: three evaporite formations (the Castile, the Salado, and the Rustler) and one redbeds formation (the Dewey Lake). The Ochoan evaporites overlie marine limestones and sandstones of the Guadalupian Series (Delaware Mountain Group). The younger redbeds represent a transition from the lower evaporite deposition to fluvial deposition on a broad, low-relief, fluvial plain. The Permian rocks are overlain by fluvial deposits of the Triassic and Quaternary periods.

2.1.1.1 Castile Formation

The Castile Formation, lowermost of the four Ochoan formations, is approximately 1,250 ft (380 m) thick in the WIPP vicinity. Lithologically, the Castile is the least complex of the evaporite formations and is composed chiefly of interbedded anhydrite and halite, with limestone present in minor amounts.
2.1.1.2 Salado Formation

The Salado Formation comprises nearly 2,000 ft (610 m) of evaporites, primarily halite. The formation is subdivided into three informal members: the unnamed lower member, the McNutt potash zone, and the unnamed upper member. Each member contains...
similar amounts of halite, anhydrite, and polyhalite and is differentiated on the basis of soluble potassium- and magnesium-bearing minerals. The WIPP disposal horizon is located within the unnamed lower member, 2,150 ft (655 m) below the surface.

2.1.1.3 Rustler Formation

The Rustler Formation is subdivided into five members, starting from its base: the Los Medaños Member, the Culebra Dolomite Member, the Tamarisk Member, the Magenta Dolomite Member, and the Forty-niner Member.

In the vicinity of the WIPP site, the Rustler is approximately 310 ft (95 m) thick and thickens to the east. The lower portion (Los Medaños Member) contains primarily fine sandstone to mudstone with lesser amounts of anhydrite, polyhalite, and halite. Bedded and burrowed siliciclastic sedimentary rocks with cross-bedding and fossil remains signify the transition from the strongly evaporitic environments of the Salado to the brackish lagoonal environments of the Rustler (Holt and Powers, 1990).

The upper portion of the Rustler contains interbeds of anhydrite, dolomite, and mudstone. The Culebra Dolomite member is generally brown, finely crystalline, and locally argillaceous. The Culebra contains rare to abundant vugs with variable gypsum and anhydrite filling and is the most transmissive hydrologic unit within the Rustler. The Tamarisk Member consists of lower and upper sulfate units separated by a unit that varies laterally from mudstone to mainly halite. The Magenta Dolomite Member is a gypsiferous dolomite with abundant primary sedimentary structures and well-developed algal features. The Forty-niner Member consists of lower and upper sulfate units separated by a mudstone that displays sedimentary features and bedding. East of the site area, halite correlates with the mudstone. The Culebra and Magenta Dolomite members are persistent and serve as important marker units.

2.1.1.4 Dewey Lake Redbeds

The Dewey Lake Redbeds is the uppermost of the Ochoan Series formations. Within the series, the Dewey Lake represents a transition from the lower marine evaporite deposition to fluvial deposition on a broad, low-relief, fluvial plain. The redbeds, approximately 475 ft (145 m) thick, consist of predominantly reddish-brown interbedded fine-grained sandstone, siltstone, and claystone. This formation is differentiated from others by its lithology and distinctive color (both of which are remarkably uniform), and by sedimentary structures, including horizontal- and cross-laminae and ripple marks. The redbeds also contain locally abundant greenish-gray reduction spots and gypsum-filled fractures. The formation thickens from west to east due to eastward dips and erosion to the west.

2.1.2 Triassic

The only Triassic rocks present in the WIPP region belong to the Dockum Group.
2.1.2.1 Dockum Group

The Dockum Group consists of fine-grained floodplain sediments and coarse alluvial debris of Triassic age. From a pinch-out near the center of the WIPP site it thickens eastward, forming an erosional wedge. Local subdivisions of the Dockum Group are the Santa Rosa Sandstone and the Chinle Formation; however, only the Santa Rosa occurs in the vicinity of the site. It consists primarily of poorly sorted sandstone with conglomerate lenses and thin mudstone partings and contains impressions and remnants of fossils. These rocks have more variegated hues than the underlying uniformly colored Dewey Lake.

2.1.3 Quaternary

Quaternary Period deposits include the Gatuña Formation, Mescalero Caliche, and surficial sediments.

2.1.3.1 Gatuña Formation, Mescalero Caliche, and Surficial Sediments

The Gatuña Formation (ranging in age from approximately 1.3 million to 600,000 years bp) (Powers and Holt, 1993) is a stream-laid deposit overlying the Dockum Group in the WIPP vicinity. At the site center, the formation consists of approximately 13 ft (4 m) of poorly consolidated sand, gravel, and silty clay. The Gatuña Formation is light red and mottled with dark stains. The unit contains abundant calcium carbonate, but is poorly cemented. Sedimentary structures are abundant (Powers and Holt, 1993, 1995).

The Mescalero Caliche (approximately 500,000 years bp) is approximately 4 ft (1.2 m) thick in the WIPP vicinity. The Mescalero is a hard, resistant soil horizon that lies beneath a cover of wind-blown sand. The horizon is petrocalcic (i.e., very strongly cemented with calcium carbonate). Petrocalcic horizons form slowly beneath a stable landscape at the average depth of infiltration of soil moisture and indicate stability and integrity of the land surface. Many of the surface buildings at WIPP are founded on top of the Mescalero Caliche.

Surficial sediments include sandy soils developed from eolian material and active dune areas. The Berino Series (a soil type) covers about 50 percent of the site and consists of deep sandy soils that developed from wind-worked material of mixed origin. Based on sample analyses, the Berino soil from the WIPP site formed 330,000 ± 75,000 years bp.

2.2 Underground Facility Stratigraphy

The WIPP disposal horizon lies near the midpoint of the Salado Formation. The Salado was deposited in a shallow saline lagoon environment, which progressed through numerous inundation and desiccation cycles that are reflected in the formation. An "ideal" cycle progresses upward as follows: a basal layer consisting predominantly of claystone, followed by a layer of sulfate, which is in turn followed by a layer of halite. The entire sequence is capped by a bed of argillaceous (clay-rich) halite accumulated during a period of mainly subaerial exposure.
A regional system used for numbering the more significant sulfate beds within the Salado designates these beds as marker beds (MBs), counted from MB100 near the top of the formation to MB144 near the base. The repository is located between MB138 and MB139 (Figure 2-2) within a sequence of laterally continuous depositional cycles as described above. Within this sequence, layers of clay and anhydrite that are locally designated (as shown) can have a significant impact on the geomechanical performance of the excavations. Clay layers provide surfaces along which slip and separation can occur, whereas anhydrites form brittle layers that do not deform plastically.

In the vicinity of WIPP, the stratigraphy is fairly continuous and uniform. Beds generally dip toward the south-southeast at a slope of approximately 3 percent.

2.2.1 Disposal Horizon Stratigraphy of Panels 1, 2, 7, and 8

This disposal horizon contains Panels 1, 2, 7, and 8, all the shaft areas, the shop areas, the SPDV areas (which are now closed), and all the access drifts north of S-2620. Farther south, the four main entries rise in a ramp that starts at S-2620 and ends at S-2740. Panels 7 and 8 have not yet been excavated.

Most underground excavations are located within this disposal horizon (Figure 2-2). In it, the Orange Marker Bed (OMB) lies near the middle of the rib (i.e., the excavation wall). The OMB is a laterally consistent unit of moderate to light reddish-orange translucent halite about 6 inches (in) (15 centimeters [cm]) thick that is used as a point of reference during excavation.

MB139 lies approximately 5 ft (1.5 m) below the excavation floor. MB139 is a 20-to-32 in (50-to-80 cm) thick layer of polyhalitic anhydrite. The top of the anhydrite undulates up to 15 in (38 cm), while the bottom is sub-horizontal and is underlain by Clay E. Above MB139 is a unit of halite that terminates at the base of the OMB. Within this unit, polyhalite is locally abundant and decreases upward, while argillaceous material increases upward.

Above the OMB, a thin band of argillaceous halite gives way to a thick sequence of clear halite that becomes increasingly argillaceous upward and is capped by Clay F. This constitutes a thin layer occasionally interrupted by partings and breaks and is readily visible in the upper ribs. Above Clay F, another sequence of halite begins that, as in lower sequences, becomes increasingly argillaceous upward. This sequence terminates at the Clay G/Anhydrite "b" interface, approximately 6.5 ft (2 m) above the roof of most disposal horizon excavations, forming a roof beam that typically acts as a structural unit. The roof of some disposal horizon excavations (e.g., the E-140 drift between S-1000 and 1950), has been excavated to the upper contact of Anhydrite "b". In this case, a roof beam is formed by the next depositional sequence beginning with Anhydrite "b" and progressing upward to the Clay H/Anhydrite "a" interface, approximately 6.5 ft (2 m) above the upper contact of Anhydrite "b".
2.2.2 Disposal Horizon Stratigraphy of Panels 3, 4, 5, and 6

Field observations and computer modeling indicated that moving the disposal horizon stratigraphically upward (so that the roof was located at Clay G) would improve long-term ground conditions and provide a more stable roof configuration without significantly impacting repository performance. In 2000, the decision was made to implement this change by moving the mining horizon up approximately six feet. Subsequently, in 2000 and 2001, ramps were mined in the W-170, W-30, E-140, and E-300 drifts between S-2620 and S-2750 (Figure 1-2). As a result, the disposal horizon for Panels 3, 4, 5, and 6, and the associated connecting drifts lies above the horizon for the other panels (Figure 2-3).
In this horizon (see Figure 2-3), the OMB lies at or below the floor. MB139 lies about 12 ft (3.7 m) below the floor. The roof lies at or slightly above Anhydrite "b". Clay G/Anhydrite "b" is used as the mining reference during excavation of this disposal horizon.
2.2.3 Northeast Area Stratigraphy

All of the Northeast Area, a former experimental area, is now deactivated and closed to access. These excavations lie at a higher stratigraphic level than the disposal excavations. Floors are at Anhydrite "b". As in the lower units, the halite intervals between the clay seams/anhydrite beds contain relatively pure halite that becomes...
increasingly argillaceous upward. Above clay I, two more halite intervals complete the
underground facility stratigraphy. Clay J, at the top of the first of these intervals, may
consist of a distinct seam or merely an argillaceous zone. Clay K tops the second
interval and is overlain by MB138.

3.0 PERFORMANCE OF SHAFTS AND KEYS

Four shafts connect the surface with the underground. They are the Salt Shaft, which is
used primarily for removing excavated salt from the underground and for transporting
personnel and material; the Waste Shaft, which is used primarily for transporting TRU
waste to the underground and for transporting personnel and materials; the Exhaust
Shaft, which is used to exhaust the ventilation air from the underground; and the Air
Intake Shaft, which is the primary source of fresh air ventilation to the underground.
This chapter describes the geomechanical performance of these shafts.

Although through the years much of the instrumentation installed in the shafts has
failed, there are no plans to replace it. The project has a good understanding of the
expected movements in the shafts. Monitoring results up to the point of instrument
failure did not indicate unusual shaft movements or displacements. Continued periodic
visual inspections confirm the expected shaft performance and provide necessary
observations to evaluate shaft performance. Replacement of failed instrumentation will
not provide significant additional information.

3.1 Salt Shaft

The first construction activity undertaken during the SPDV Program was the excavation
of the Exploratory Shaft. This shaft was subsequently referred to as the Construction
and Salt Shaft and is currently designated the Salt Shaft (see Figure 1-2). The shaft
was drilled from July 4 to October 24, 1981, and geologically mapped in the spring of
1982 (DOE, 1983). Figure 3-1 presents the stratigraphy in the shaft.

The Salt Shaft is lined from the surface to 846 ft (258 m) with steel casing having an
inside diameter of 10 ft (3-m). The thickness of the steel liner (including external
stiffener rings) increases from 0.62 in (1.6 cm) at the top to 1.5 in (3.8 cm) at the key.
Cement grout was placed between the liner and the rock face. The 10-ft (3-m) diameter
extends through the concrete shaft key to 880 ft (268 m). The shaft key is a 37.5 ft
(11.4-m) long, reinforced-concrete structure that begins 3.5 ft (1.07 m) above the bottom
of the steel liner. From the key to the bottom at 2,298 ft (700 m), the shaft has a
nominal diameter of 12 ft (4 m).
Wire mesh anchored by rock bolts is installed in sections of the lower shaft as a safety screen to contain rock fragments that may become detached. The shaft extends approximately 140 ft (43 m) below the repository horizon in order to accommodate the skip loading equipment and a sump.
3.1.1 Shaft Observations

Underground operations personnel conduct weekly visual inspections. These inspections are performed principally to assess the condition of the hoisting and mechanical systems, but they also include examining the shaft walls for water seepage, loose rock, or sloughing. Visual inspections during this reporting period found that the shaft remained in satisfactory condition. Only routine ground control activities were required.

3.1.2 Instrumentation

Geomechanical instruments (radial convergence points, extensometers, and piezometers) were installed at various levels in the shaft from April through July of 1982 (Figure 3-2). In the shaft key, instruments included strain gauges, pressure cells, and piezometers. Radial convergence points were installed prior to outfitting. Upon completion of shaft outfitting, no more readings were taken. Figures 3-2 and 3-3 show the instrument locations.

Eleven of the 12 piezometers continue to provide data. The fluid pressures recorded at the end of this reporting period range from approximately 66 pounds per square inch (psi) (455 kilopascals [kPa]) at the 802-ft (244-m) level in the Los Medaños Member to 177 psi (1,220 kPa) at the 691-ft (211-m) level in the Magenta Dolomite Member. The recorded pressures for this reporting period are generally consistent with the readings from the previous reporting period. The fluid pressure on the shaft liner will continue to be monitored on a regular basis.

Four earth pressure cells were installed in the key section during concrete emplacement at the 860-ft (262-m) level. These instruments measure the normal stress between the concrete key and the Salado Formation as salt creep loads up the key structure. Three of the four earth pressure cells continue to provide data. These instruments have indicated essentially no contact pressure since their installation (readings resemble instrument drift at a zero pressure). The contact pressures recorded by the instruments for this reporting period ranged from -24 to 3 psi (-165 to 21 kPa).
NOTES

1. All depths are measured from the collar 3409 feet (1039 meters) above mean sea level.
2. Piezometers are oriented N30°W and S30°E.

Figure 3-2 – Salt Shaft Instrumentation (Without Shaft Key)
Sixteen spot-welded and twenty-four embedment strain gauges were installed on and in the shaft key concrete at both the 856.3-ft (261-m) level and at the 862.4-ft (263-m) level. Four spot-welded strain gauges are still functioning at these levels. Maximum strains at the 856.3-ft (261-m) level were 649 and 741 microstrain. Strains at the 862.4-ft (263-m) level were 657 and 868 microstrain. The strains from the 12 embedment strain gauges at the 856.3-ft (261-m) level ranged from -769 to 1000 microstrain. The strains from the two embedment strain gauges at the 862.4-ft (263-m) level were 238 to 371 microstrain. The strains recorded by the spot-welded
strain gauges and the embedment strain gauges during this reporting period are very similar to the strains recorded by these instruments at the end of the previous reporting period.

3.2 Waste Shaft

As part of the SPDV Program, a 6-ft (2-m) diameter ventilation shaft, now referred to as the Waste Shaft, was excavated from December 1981 through February 1982 (see Figure 1-2). This shaft, in combination with the Salt Shaft, provided a two-shaft underground air circulation system. From October 11, 1983, to June 11, 1984, the shaft was enlarged to a diameter of 20 to 23 ft (6 to 7 m) and lined above the key. Stratigraphic mapping (Figure 3-4) was conducted during shaft enlargement from December 9, 1983, to June 5, 1984 (Holt and Powers, 1984).

The Waste Shaft is lined with non-reinforced concrete having a 19 ft (6 m) inside diameter from the surface to the top of the key at 837 ft (255 m). Liner thickness increases from 10 in (25 cm) at the surface to 20 in (51 cm) at the key. The key is 63 ft (19 m) long and 4.25 ft (1.3 m) thick and is constructed of reinforced concrete. The bottom of the key is 900 ft (274 m) below the surface. The diameter of the shaft is 20 ft (6 m) at the bottom of the key and increases to 23 ft (7 m) just above the shaft station. The shaft below the key is lined with wire mesh anchored by rock bolts. The diameter of 23 ft (7 m) extends to a depth of approximately 2,286 ft (697 m), with the shaft sump comprising the lower 119 ft (36 m) of that interval.
3.2.1 Shaft Observations

Underground operations personnel conduct weekly visual inspections, principally to assess the condition of the hoisting and mechanical systems, but also include...
observation of the shaft walls for water seepage, loose rock, or sloughing. The visual inspections found that the shaft was in satisfactory condition. No ground control activities other than routine maintenance were required.

### 3.2.2 Instrumentation

Radial convergence points, extensometers, piezometers, and earth pressure cells were installed in the Waste Shaft between August 27 and September 10, 1984. Radial convergence points were installed prior to the outfitting. Upon completion of shaft outfitting, no more radial convergence readings were taken. Figures 3-5 and 3-6 show the instrument locations.

Nine multi-position extensometers were installed in arrays 1,071 ft (326 m), 1,566 ft (477 m), and 2,059 ft (628 m) below the surface as shown in Figure 3-5. Each array consists of three extensometers. No data have been collected during this reporting period due to the malfunction of the data-logger. Since the type of extensometers installed in the shaft 25 years ago is no longer manufactured, remote data acquisition equipment for these extensometers is also unavailable.

Twelve piezometers were installed in the lined section of the Waste Shaft on September 7 and 8, 1984, to monitor fluid pressure behind the shaft liner and the key section. Data continue to be received from 8 piezometers. The maximum recorded fluid pressure during this reporting period was 144 psi (993 kPa) at the 717-ft (219-m) level. The pressure readings during this reporting period were consistent with the readings from the previous reporting period with a mean change in pressures of less than 1 psi (7 kPa).

Four earth pressure cells were installed in the key section of the Waste Shaft during concrete emplacement between March 23 and April 3, 1984. Two are still working. These instruments measure the normal stress between the concrete key and the Salado Formation as salt creep loads the key structure. The contact pressures recorded by the instruments during this reporting period ranged from 93 to 119 psi (641 to 820 kPa).
2. Piezometers are oriented N30°W and S30°E.

NOT TO SCALE

Figure 3-5 – Waste Shaft Instrumentation (Without Shaft Key)
3.3 Exhaust Shaft

The Exhaust Shaft was drilled from September 22, 1983, to November 29, 1984, to establish a route from the underground to the surface for exhaust air (Figure 1-2). Stratigraphic mapping was conducted from July 16, 1984, to January 18, 1985 (DOE, 1986c). Figure 3-7 illustrates the shaft stratigraphy.
The Exhaust Shaft is lined with non-reinforced concrete from the surface to the top of the shaft key at 844 ft (257 m). The liner thickness increases from 10 to 16 in (25 to 41 cm) over that interval. The key is 63 ft (19 m) long and 3.5 ft (1 m) thick. The shaft diameter below the key is 15 ft (5 m), and the interval below the key is lined with wire mesh anchored by rock bolts. The shaft terminates at the facility horizon, approximately 2,150 ft (655 m) deep. This shaft has no sump.

3.3.1 Exhaust Shaft Observations

Quarterly video inspections were conducted according to approved WIPP procedures. Inspections were performed to evaluate the condition and to verify the integrity of the shaft. The shaft was examined for cracks, corrosion, salt buildup, seeps, and debris. In addition, inspections examined the condition of anchors, brackets, and down-hole equipment. Between July 2008 and June 2009, four quarterly shaft inspections were conducted on August 20, 2008; November 19, 2008; February 18, 2009; and May 19, 2009.

3.3.1.1 Video Camera

Video inspections use a custom-designed vertical-drop color camera in an aerodynamic housing, suspended by a dual-armored cable, with pan, tilt, and zoom capability. The cable contains five copper conductors and two multi-mode optical fibers. It is reeled out by a winch mounted in a control van. Inspections are recorded electronically.

3.3.1.2 Shaft Inspection Observations

Quarterly video inspection observations concentrate on four major areas: air monitoring components, shaft liner, shaft walls, and equipment support and cabling. The air monitoring components consist of one air-velocity and three air-monitoring devices as shown in Figure 3-8. The video inspection includes examination of each device, including the transport assembly, guide tubes, the sample intake, and the support brackets that extend from Station "A" above the shaft to the shaft collar. Air monitoring components extend from the collar 21 ft into the shaft. Video inspections indicate that the air-sampling components can accumulate salt buildup of up to several inches thick.

The Exhaust Shaft liner is examined for cracks, seepage, and general shaft stability. Currently, there are three principal zones of seepage in the shaft. The first is about 50 to 55 ft below the shaft collar (bsc). The second is about 60 to 65 ft bsc. The third is about 75 to 80 ft bsc, as shown in Figure 3-9. Monitoring of seepage horizons started before 1995. Water entering the shaft through these cracks is believed to originate from a perched aquifer at the base of the Santa Rosa Formation that is being recharged as the result of surface modifications at the site. The fluid level in the Santa Rosa near the shaft is about 43 to 44 ft below the surface. Based on examination of inspection videos, the flow rate into the shaft during this reporting period is estimated at about 1 to 1-1/2 gallons per minute, most of which is carried out of the shaft by the exhaust air. Seepage cracks are confined primarily to the eastern side of the shaft wall.

When fluid was detected seeping into the shaft, a catch basin was designed and installed at the base of the Exhaust Shaft to intercept water and prevent it from draining
into the Waste Shaft Sump. Fluid was removed from the catch basin from March 1996 through October 2005 as needed. The catch basin was damaged in 2004 by fallen debris, either salt or instrumentation cables or both. A new catch basin was fabricated and installed in December 2004. This basin was damaged in August 2005, most likely the result of fallen debris. An interception well system was installed between November 2005 and March 2006 to replace the catch basin. Interception wells were drilled down-gradient in S-400 between E-140 and E-300 (Figure 3-10). The interception well system consists of four 30-ft deep 9-7/8-in diameter holes with a submersible pump and pressure transducer in each. Fluid is pumped from each hole to a series of storage containers in S-550. A data-acquisition system monitors the fluid level in each hole, turning the pump on and off between set limits as needed.

Between February 2 and 6, 2008, two additional holes, OH631 and OH632, were drilled in S-400 to improve the total volume of fluid recovered by the interception well system. They replaced OH613 and OH614 which generated little fluid. As with the previous four holes, the additional holes were drilled at 9-7/8-inch diameter to a total depth of 30 feet. Pumps were pulled from OH613 and OH614 and installed in OH631 and OH632. Figure 3-10 shows the location of the interception wells system and the 500-gallons storage containers.
Figure 3-7 – Exhaust Shaft Stratigraphy

NOT TO SCALE

LEGEND
- Sand and Sandstone (and Caliche)
- Mudstone and Siltstone
- Anhydrite
- Dolomite
- Halite
- Concrete

NOTES
1. All rocks below the Dockum Group are Permian.
2. Depths are measured from the collar 3409 feet (1039 meters) above mean sea level.
3. MB = Marker Bed
Figure 3-8 – Sample Intake of Exhaust Shaft Air Monitoring System
Figure 3-9 – Diagram of Exhaust Shaft Fixtures and Seepage Zones (Upper 200 ft)
Table 3-1 and Figure 3-11 present the volume of fluid removed from the catch basin from July 1997 through June 2006, and by the interception well system from July 2006 through June 2009. The largest reported volumes are typically associated with periods of reduced ventilation and increased humidity. For a discussion of the factors affecting the quantity of fluid produced in the Exhaust Shaft, refer to DOE/WIPP 00-2000, Brine Generation Study.

The shaft walls were examined for salt buildup, cracks, moisture, and encrustations, with particular attention paid to power cables, instrument cables, air lines and water-lines, and the three water rings at the base of the Magenta and Culebra members of the Rustler Formation and the bottom of the shaft key. The condition of the shaft wall varies depending on airflow, humidity, temperature, and underground mining activities. During this reporting period, significant mining activity continued in Panel 6. The principal areas in the shaft with significant salt buildup were the three water rings at the Magenta, the Culebra, and the key, and along upper portions of the shaft generally associated with power cables, support brackets, instrument cables, and the air lines and water-lines.

Though the Magenta and Culebra water rings are encrusted with salt buildup, no water appears to originate from the liner or water rings. Most of the seepage was observed along the east face of the shaft wall near the instrumentation cables and the air lines and water-lines in the upper section of the shaft. Though the presence of water is an
inconvenience requiring periodic disposal, at this time it does not appear to have created any hazard or affected the structural integrity of the shaft. However, brine increases the probability of corrosion and deterioration of utility hangers and brackets. There are no visible signs of dissolution of the salt below the key.

The video inspections also focused on the installed utilities and support brackets. These include a 13.8 kVA power cable that is no longer active and the grounding cable on the west wall of the shaft, the instrumentation cables on the northeast wall of the shaft, and the 4-in. air-line and the 2-in. water-line on the east wall of the shaft.

Sporadic salt buildup continues on all cables. The long-term implication of salt buildup is increased loading on cables and cable hangers, accompanied by intermittent falls of debris. The 4-in. compressed air-line and the 2-in. water-line extend from the surface to the bottom of the shaft. At present, neither line is being used. The integrity of the brackets holding the air-line and water-line was difficult to assess because of salt buildup; however, there was no indication that the brackets were broken. Instrumentation cable breaks were observed in the shaft; however, most of these breaks affected abandoned cables, with negligible impact on shaft monitoring and operations.
Table 3-1 – Water Removed from the Exhaust Shaft Catch Basin and the Interception Well System

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<th>Gallons</th>
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<th>Gallons</th>
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TOTAL 4,235
TOTAL 3,465
TOTAL 3,300
### Table 3-1 – Water Removed From the Exhaust Shaft Catch Basin and the Interception Well System (Continued)

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TOTAL 1,872
Figure 3-11 – Water Removed from the Exhaust Shaft Catch Basin and the Interception Well System
3.3.2 Instrumentation

The Exhaust Shaft was equipped with geomechanical instrumentation in two stages. Earth pressure cells were installed behind the liner key in November 1984. Piezometers and nine multi-position extensometers were installed during November and December 1985. Figures 3-12 and 3-13 show the instrument locations.

Eight piezometers remain in working condition. The fluid pressure readings from the working piezometers at the end of the reporting period range from -4 psi (-28 kPa) at 544 ft (166 m) to 144 psi (993 kPa) at 721 ft (220 m). Maximum pressure readings from the working piezometers during this reporting period were consistent with maximum readings from the previous reporting period.
Figure 3-12 – Exhaust Shaft Instrumentation (Without Shaft Key)
3.4 Air Intake Shaft

The Air Intake Shaft was drilled from December 4, 1987, to August 31, 1988, to establish a primary route for surface air to enter the repository (see Figure 1-2). The stratigraphy was mapped from September 14, 1988, to November 14, 1989 (Holt and Powers, 1990). Figure 3-14 summarizes the shaft stratigraphy.

The Air Intake Shaft is lined with non-reinforced concrete from the surface to the bottom of the shaft key at 903 ft (275 m). The key is 81 ft (25 m) long with an inside diameter of 16 ft (5 m). The shaft diameter below the key is 20 ft (6 m), and the shaft below the key
is unlined to the facility horizon at 2,150 ft (655 m). The shaft walls are bolted and meshed from just below the key all the way down to the shaft station. This shaft has no sump.

3.4.1 Shaft Performance

Weekly visual inspections were performed on the Air Intake Shaft during this reporting period, and the shaft was found to be in satisfactory condition. No ground control activities other than routine maintenance were required during this reporting period.
Figure 3-14 – Air Intake Shaft Stratigraphy
4.0 PERFORMANCE OF SHAFT STATIONS

This chapter describes the instrumentation and geomechanical performance of the shaft stations at the base of the Salt Shaft, the Waste Shaft, and the Air Intake Shaft. The Exhaust Shaft does not have an enlarged shaft station; therefore, it is not included in this chapter.

4.1 Salt Shaft Station

The Salt Shaft Station was excavated by drilling and blasting between May 2 and June 3, 1982. In 1987 the station was enlarged by removing the roof beam up to Anhydrite "b" between S-90 and N-20 using a mechanical scaler. In 1995, the remaining roof beam at the north end of the station was also removed up to Anhydrite "b". The station area south of the shaft is 90 ft (27.5 m) long and 32 to 38 ft (10 to 12 m) wide. The height of the station south of the shaft is 18 ft (5.5 m). The station dimensions north of the shaft are approximately 30 ft (9 m) long, 32 to 35 ft (10 to 11 m) wide, and 18 ft (5.5 m) high. The shaft extends approximately 140 ft (43 m) below the facility horizon to accommodate the skip loading equipment and a sump. Figure 4-1 shows a generalized cross section of the station.

4.1.1 Modifications to Excavation and Ground Control Activities

No significant modifications were performed in the Salt Shaft Station during this reporting period. Twelve-ft resin-anchored roof bolts and mats were installed as part of routine maintenance.

4.1.2 Instrumentation

Geomechanical instrumentation was installed in the Salt Shaft Station between June 1982 and February 1983, with subsequent reinstallation of extensometers and convergence points as necessary. Figure 4-2 shows the instrument locations after the roof beam was taken down.

Five vertical convergence point arrays are currently monitored. Table 4-1 summarizes the vertical closure rates in the Salt Shaft Station from July 2008 through June 2009. Salt Shaft Station vertical closure rates indicate that the rates are slightly higher than during the previous reporting period.
Figure 4-1 – Salt Shaft Station Stratigraphy

LEGEND

- Anhydrite with thin underlying clay seam
- Halite
- Anhydrite "a"
- Anhydrite "b"
- MB = Marker Bed
- ft = foot (feet)
- m = meter(s)

NOT TO SCALE
Figure 4-2 – Salt Shaft Station Instrumentation after Roof Beam Excavation
### Table 4-1 – Vertical Closure Rates in the Salt Shaft Station

<table>
<thead>
<tr>
<th>Location</th>
<th>Chord</th>
<th>Last Reading</th>
<th>Total Cumulative Displacement in (cm.)</th>
<th>Closure Rate 2008 to 2009 in/yr (cm/yr)</th>
<th>Closure Rate 2007 to 2008 in/yr (cm/yr)</th>
<th>Rate Change Percent</th>
</tr>
</thead>
<tbody>
<tr>
<td>E0, S18</td>
<td>A-E</td>
<td>06/15/2009</td>
<td>34.401 (87.379)</td>
<td>1.54 (3.90)</td>
<td>1.41 (3.58)</td>
<td>9%</td>
</tr>
<tr>
<td>E0, S18</td>
<td>B-D</td>
<td>06/15/2009</td>
<td>35.455 (90.056)</td>
<td>1.79 (4.54)</td>
<td>1.57 (4.00)</td>
<td>13%</td>
</tr>
<tr>
<td>E0, S18</td>
<td>F-H</td>
<td>06/15/2009</td>
<td>22.314 (56.678)</td>
<td>1.04 (2.63)</td>
<td>.94 (2.38)</td>
<td>10%</td>
</tr>
<tr>
<td>E0, S30</td>
<td>A-C</td>
<td>06/15/2009</td>
<td>49.110 (124.739)</td>
<td>1.58 (4.01)</td>
<td>1.47 (3.72)</td>
<td>8%</td>
</tr>
<tr>
<td>E0, S65</td>
<td>A-C</td>
<td>06/15/2009</td>
<td>12.794 (109.588)</td>
<td>1.14 (2.90)</td>
<td>1.05 (2.67)</td>
<td>9%</td>
</tr>
</tbody>
</table>

Chord is defined in Section 5.3.

### 4.2 Waste Shaft Station

The Waste Shaft Station was initially excavated with a continuous miner as a ventilation connection to a 6-ft (2-m) diameter exhaust shaft in November 1982. In 1984, the station was enlarged to a height of 15 to 20 ft (4.5 to 6 m) and a width of 20 to 30 ft (6 to 9 m). The station is approximately 150 ft (46 m) long. In 1988, the station walls were trimmed, and concrete was placed on the floor. Since 1988, the Waste Shaft Station has undergone four major floor renovations. A 53-ft (16-m)-long section of the reinforced concrete was removed in February 1991, in 1995 an additional 30-ft (9-m) section was removed, and in 2000 floor maintenance included trimming of the floor and reinstallation of the rails supported by segmented concrete panels on a crushed rock backfill. Figure 4-3 shows a cross-section of the Waste Shaft Station.

#### 4.2.1 Modifications to Excavation and Ground Control Activities

During December 2008, the roof of the Waste Shaft station was mined up to Clay G to assure adequate operational clearance. 12-ft resin-anchored roof bolts and chain link were installed for ground support.
Figure 4-3 – Waste Shaft Station Stratigraphy
4.2.2 Instrumentation

Instruments were initially installed in the Waste Shaft Station between November 12 and December 2, 1982. Figure 4-4 illustrates the locations after enlargement. Three extensometers in the Waste Shaft Station are currently being monitored. In addition, horizontal convergence is being monitored at E-30 and E-90.

Table 4-2 summarizes the recent history of the roof extensometers in the Waste Shaft Station. Extensometer 51X-GE-00268 (W-30) is installed in a hole drilled into the roof of the station. Extensometers 51X-GE-00356 and 51X-GE-00357 monitored fracture dilation along the shaft wall above the east brow and were mined out during the remediation activities at the Waste Shaft Station. Extensometer 51X-GE-00404 was installed in the Waste Shaft Station (E-32 in April 2009).

<table>
<thead>
<tr>
<th>Instrument</th>
<th>Location</th>
<th>Last Reading</th>
<th>Collar Displacement Relative to Deepest Anchor in. (cm)</th>
<th>Displacement Rate 2008 to 2009 in./yr (cm/yr)</th>
<th>Displacement Rate 2007 to 2008 in./yr (cm/yr)</th>
<th>Rate Change Percent</th>
</tr>
</thead>
<tbody>
<tr>
<td>51X-GE-00268</td>
<td>S400, W30</td>
<td>06/17/2009</td>
<td>10.088 (25.626)</td>
<td>0.31 (0.79)</td>
<td>0.32 (0.82)</td>
<td>-3%</td>
</tr>
<tr>
<td>51X-GE-00356</td>
<td>Waste Shaft Brow</td>
<td>11/25/2008</td>
<td>0.341 (0.866)</td>
<td>0.05 (0.13)</td>
<td>0.08 (0.20)</td>
<td>-34%</td>
</tr>
<tr>
<td>51X-GE-00357</td>
<td>Waste Shaft Brow</td>
<td>11/25/2008</td>
<td>0.385 (0.978)</td>
<td>0.19 (0.49)</td>
<td>0.32 (0.81)</td>
<td>-39%</td>
</tr>
<tr>
<td>51X-GE-00404</td>
<td>$400, E32</td>
<td>06-29-2009</td>
<td>0.063 (0.160)</td>
<td>N/A(^{a})</td>
<td>N/A(^{a})</td>
<td>N/A(^{a})</td>
</tr>
</tbody>
</table>

* Not installed during the 2007-2008 reporting period.

The eighteen rock bolt load cells that were installed in the roof and brow of the Waste Shaft Station were also mined out during remediation activities.

Table 4-3 summarizes the annual horizontal closure rates calculated from convergence point data for this reporting period. The data indicate that the horizontal closure rates at both E-30 and E-90 have changed only moderately from the previous reporting period.
Figure 4-4 – Waste Shaft Station Instrumentation after Raising the Roof
Table 4-3 – Horizontal Closure Rates in the Waste Shaft Station

<table>
<thead>
<tr>
<th>Location</th>
<th>Chord</th>
<th>Last Reading</th>
<th>Total Cumulative Displacement in (cm)</th>
<th>Closure Rate 2008 to 2009 in/yr (cm/yr)</th>
<th>Closure Rate 2007 to 2008 in/yr (cm/yr)</th>
<th>Rate change Percent</th>
</tr>
</thead>
<tbody>
<tr>
<td>S400, E30</td>
<td>C-H</td>
<td>10/21/2008</td>
<td>20.479 (52.017)</td>
<td>0.80 (2.02)</td>
<td>0.89 (2.26)</td>
<td>-10%</td>
</tr>
<tr>
<td>S400, E32</td>
<td>B-D</td>
<td>06/02/2009</td>
<td>0.353 (0.900)</td>
<td>1.46 (3.71)</td>
<td>N/A</td>
<td>N/A^</td>
</tr>
<tr>
<td>S400, E85</td>
<td>B-D</td>
<td>06/02/2009</td>
<td>0.350 (0.889)</td>
<td>1.37 (3.48)</td>
<td>N/A</td>
<td>N/A^</td>
</tr>
<tr>
<td>S400, E90</td>
<td>C-G</td>
<td>02/12/2009</td>
<td>23.829 (60.526)</td>
<td>1.27 (3.22)</td>
<td>1.05 (2.66)</td>
<td>21%</td>
</tr>
</tbody>
</table>

*Chord is defined in Section 5.3.

^ Not installed during the 2007-2008 reporting period.

4.3 Air Intake Shaft Station

The Air Intake Shaft Station was excavated in late 1987 and early 1988, using a continuous miner. The Air Intake Shaft is furnished with a work platform and a small cage that can be raised and lowered to perform routine ground maintenance. The principal purpose of that equipment is to provide emergency access.

4.3.1 Modifications to Excavation and Ground Control Activities

The AIS station was not significantly modified during this reporting period. Ground control activities were limited to routine maintenance.

4.3.2 Instrumentation

Radial convergence point and extensometer instrumentation data near the Air Intake Shaft Station are presented in Chapter 5.0 as part of the discussion on the performance of the access drifts. Twenty rock bolt load cells installed in the Air Intake Shaft Station area are monitored regularly. The extensometer has not been installed long enough to provide a meaningful trend.

5.0 PERFORMANCE OF ACCESS DRIFTS

This chapter describes the geomechanical performance of the central underground access drifts. The Waste Disposal Area is discussed in Chapter 6.0. Four major north-south drifts in the WIPP underground are intersected by shorter east-west cross-drifts. Drift dimensions range from 13 ft (4 m) to 21 ft (6.4 m) high and from 14 ft (4.3 m) to 33 ft (9.2 m) wide.

5.1 Modifications to Excavation and Ground Control Activities

Trimming, scaling, and floor milling activities were performed as necessary in many areas. Table 5-1 summarizes these activities. It also summarizes ground control activities (e.g., rock bolting and installing wire mesh) in various locations in the access drifts.
5.2 Instrumentation

This section discusses instrumentation details and locations for each instrumentation type.

5.2.1 Extensometers

An extensometer was installed during this reporting period at E300-N355. Thirty-two extensometers are currently being monitored in the access drifts.

5.2.2 Convergence Points

Convergence points installed during this reporting period were limited to the replacement of arrays in previously mined areas and the installation of new monitoring arrays in newly mined areas. New and replacement convergence points were installed in 24 locations throughout the WIPP underground access drifts because of mining and trimming activities. Horizontal and vertical convergence point arrays were installed at various locations. Most of these installations were located in the southern access drifts. Convergence points within the access drifts are read manually at least every two months, with more frequent monitoring in some areas. Table 5-2 lists the new and replacement convergence points that were installed during this reporting period.

Table 5-1 – Summary of Modifications and Ground Control Activities in the Access Drifts July 1, 2008, through June 30, 2009

<table>
<thead>
<tr>
<th>Location</th>
<th>Work Activity</th>
</tr>
</thead>
<tbody>
<tr>
<td>E300 Drift</td>
<td>Installed 12-ft resin-anchored roof bolts and mats between S2520 and S3080, and 4-ft mechanically anchored bolts and mesh between S90 and S400</td>
</tr>
<tr>
<td>E140 Drift</td>
<td>Installed 12-ft resin-anchored roof bolts and mats between S1000 and S1300, between S1950 and S2180, and between N1100 and N1400</td>
</tr>
<tr>
<td>E0 Drift</td>
<td>Installed 12-ft resin-anchored roof bolts and mats between N1100 and N1400</td>
</tr>
<tr>
<td>W30 Drift</td>
<td>Installed 12-ft resin-anchored roof bolts and mats and 4-ft mechanically anchored bolts and mesh between S700 and S2180</td>
</tr>
<tr>
<td>W170 Drift</td>
<td>Installed 12-ft resin-anchored roof bolts in the S2300 truck bypass</td>
</tr>
<tr>
<td>N300 Drift</td>
<td>Installed 12-ft resin-anchored roof bolts and 4-ft mechanically anchored bolts between E0 and N215</td>
</tr>
<tr>
<td>N215/N300 Drift</td>
<td>Installed 12-ft resin-anchored roof bolts</td>
</tr>
<tr>
<td>Room Q alcove</td>
<td>Installed 12-ft resin-anchored roof bolts, and 4-ft mechanically anchored bolts and mesh</td>
</tr>
<tr>
<td>S1600 Drift</td>
<td>Installed 12-ft resin-anchored roof bolts, 4-ft mechanically anchored bolts and mesh between W30 and W170</td>
</tr>
<tr>
<td>S2180 Drift</td>
<td>Installed 4-ft mechanically anchored bolts and mesh between E140 and W30</td>
</tr>
</tbody>
</table>
Table 5-1 – Summary of Modifications and Ground Control Activities in the Access Drifts July 1, 2008, through June 30, 2009

<table>
<thead>
<tr>
<th>Drift</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>S-2750 Drift</td>
<td>Installed 12-ft resin-anchored roof bolts between E300 and W170</td>
</tr>
<tr>
<td></td>
<td>Installed 4-ft mechanically anchored bolts and mesh between E300 and E140</td>
</tr>
<tr>
<td>S3310 Drift</td>
<td>Installed 12-ft resin-anchored roof bolts between E140 and W170</td>
</tr>
<tr>
<td>S3080 Drift</td>
<td>Installed 12-ft resin-anchored roof bolts between E-140 and W170</td>
</tr>
<tr>
<td>E300 Maintenance Shop</td>
<td>Installed 12-ft resin-anchored roof bolts between S90 and N460</td>
</tr>
<tr>
<td></td>
<td>Installed 4-ft mechanically anchored bolts and mesh between N250 and N460</td>
</tr>
</tbody>
</table>

Table 5-2 – New and Replaced Convergence Points Installed in the Access Drifts July 1, 2008, through June 30, 2009

<table>
<thead>
<tr>
<th>New/Replaced</th>
<th>Field Tag</th>
<th>Chord</th>
<th>Date Installed</th>
</tr>
</thead>
<tbody>
<tr>
<td>E140-S400</td>
<td>N</td>
<td>E140-S400</td>
<td>A-C (Vertical)</td>
</tr>
<tr>
<td>E140-S1150</td>
<td>R</td>
<td>E140-S1150-4</td>
<td>B-F (Vertical)</td>
</tr>
<tr>
<td>E140-S2833</td>
<td>R</td>
<td>E140-S2833-3</td>
<td>A-C (Vertical)</td>
</tr>
<tr>
<td>E140-S2915</td>
<td>R</td>
<td>E140-S2915-3</td>
<td>A-C (Vertical)</td>
</tr>
<tr>
<td>E140-S2998</td>
<td>R</td>
<td>E140-S2998-3</td>
<td>A-C (Vertical)</td>
</tr>
<tr>
<td>E140-S3080</td>
<td>R</td>
<td>E140-S3080-2</td>
<td>A-C (Vertical)</td>
</tr>
<tr>
<td>E140-S3195</td>
<td>R</td>
<td>E140-S3195-2</td>
<td>A-C (Vertical)</td>
</tr>
<tr>
<td>E140-S3295</td>
<td>R</td>
<td>E140-S3295-2</td>
<td>A-C (Vertical)</td>
</tr>
<tr>
<td>E140-S3395</td>
<td>R</td>
<td>E140-S3395-2</td>
<td>A-C (Vertical)</td>
</tr>
<tr>
<td>E140-S3480</td>
<td>R</td>
<td>E140-S3480-2</td>
<td>A-C (Vertical)</td>
</tr>
<tr>
<td>E140-S3565</td>
<td>R</td>
<td>E140-S3565-2</td>
<td>A-C (Vertical)</td>
</tr>
<tr>
<td>E140-S3650</td>
<td>R</td>
<td>E140-S3650-2</td>
<td>A-C (Vertical)</td>
</tr>
<tr>
<td>S90-W770</td>
<td>R</td>
<td>S90-W770-3</td>
<td>B-D (Horizontal)</td>
</tr>
<tr>
<td>S105-W920</td>
<td>N</td>
<td>S105-W920</td>
<td>A-C (Vertical)</td>
</tr>
<tr>
<td>S3650-E220</td>
<td>R</td>
<td>S3650-E220-2</td>
<td>A-C (Vertical)</td>
</tr>
<tr>
<td>S3650-W100</td>
<td>R</td>
<td>S3650-W100-2</td>
<td>A-C (Vertical)</td>
</tr>
<tr>
<td>W30-S2180</td>
<td>R</td>
<td>W30-S2180-2</td>
<td>A-C (Vertical)</td>
</tr>
<tr>
<td>W30-S3565</td>
<td>R</td>
<td>W30-S3565-2</td>
<td>A-C (Vertical)</td>
</tr>
<tr>
<td>W30-S3650</td>
<td>R</td>
<td>W30-S3650-2</td>
<td>A-C (Vertical)</td>
</tr>
<tr>
<td>W170-S1600</td>
<td>R</td>
<td>W170-S1600-4</td>
<td>A-C (Vertical)</td>
</tr>
<tr>
<td>W170-S1950</td>
<td>R</td>
<td>W170-S1950-3</td>
<td>A-C (Vertical)</td>
</tr>
<tr>
<td>W170-S3650</td>
<td>R</td>
<td>W170-S3650-2</td>
<td>A-C (Vertical)</td>
</tr>
</tbody>
</table>

N = New installation.
R = Replacement installation (i.e., instrument replaces older instrument that has failed or has been mined out).
# This column is a combination of the convergence point location followed by a “-X,” where X represents the reinstallation number, when applicable.
A unique letter is assigned to each convergence array element around a particular opening. Chord refers to a particular array pair. The various array lettering schemes are shown in Figure 5-1.
5.3 Analysis of Convergence Point and Extensometer Data

Convergence point data are obtained by measuring the change in distance between fixed points anchored into the rock across an opening, either from rib-to-rib or from roof-to-floor. The measurement end-points constitute a "chord." Figure 5-1 shows typical convergence point array configurations along with typical chord designations. Extensometer data are obtained by measuring the displacement from the reference head anchor (collar) to each fixed anchor of the extensometer. These measurements are made, at a minimum, every two months throughout the WIPP underground, except when convergence points are not accessible. Convergence rates and extensometer displacement rates indicate how an excavation is performing; rates that decrease or are relatively constant typify stable excavations, whereas increasing rates may indicate some type of developing instability or may be the response to nearby mining.

Where possible, annual closure rates were calculated from convergence point array data gathered in the access drifts. A complete tabulation of these convergence point data and calculated closure rates is presented in the supporting data document for this report. Locations with increases in annual vertical closure rates of greater than 10 percent are shown in Table 5-3.
Figure 5-1 – Typical Convergence Point Array Configurations Showing Anchor Designations
Extensometer displacement rates and convergence rates are routinely plotted against
time, and comparisons are made through time to identify any acceleration. Annual
convergence rates are calculated by determining the difference between the first and
last readings of the reporting period and dividing the difference by the time between the
two readings (in years) (see Section 1.4.3). Instruments that indicate acceleration are
analyzed to determine the significance of the acceleration. Factors considered during
the analysis include magnitude of the respective rates, percentage increase,
convergence history, and any recent excavation in the vicinity.

Thirty-two extensometers continue to be monitored at various locations in the access
drifts. Where displacement data were available, annual displacement rates were
calculated for each active installation and compared to the annual displacement rates
from the previous reporting period. Approximately 50 percent of the instruments are
installed in the E-140 drift to monitor the waste transport route. Many of the E-140
extensometers indicate movement in the roof beam that may be attributed to shallow
fracturing and the effects of anhydrite stringer separations in the roof. Lateral
deformation in the roof beam may influence the extensometer readings, causing an
increase in the measured displacement. Although the extensometer data indicate
continued deformation and breakup of the lower beam, the roof bolt anchorage zone
remains competent.

Closure rates are variable from year to year; however, locations that exhibit rate
increases by more than ten percent are assessed in detail. Further analysis of the
convergence rate accelerations has shown many of them to be minor and generally
related to roof beam fracturing. Other areas, such as the southern portions of the
access drifts, had closure rate increases that can be directly attributed to drift widening
and floor trims.

The closure rates observed in E-140 from S-1025 to S-2833 are in an area where the
roof beam has been mined to Clay G. The rate of increase in this area may be
attributed to roof beam separations formed along shallow anhydrite stringers in the roof.
These separations result in the formation of thin roof beams that can easily be deformed
toward the opening. Tensile fractures generally develop on the roof surface in areas of
maximum deformation.

The rate increases observed in other areas may be attributable to various reasons. The
effect of nearby mining or significant trimming appears to have caused the rate
increases in W-30 from S-1300 to S-2350 and in W-170 from S-2520 to S-3195. Field
observations in these areas do not indicate any significant deterioration associated with
these rate increases.
## Table 5-3 – Greater than 10 Percent Increases in Annual Vertical Convergence Rates in the Access Drifts

<table>
<thead>
<tr>
<th>Location</th>
<th>Chord</th>
<th>Last Reading Date</th>
<th>Cumulative Displacement Inches (cm.)</th>
<th>Closure Rate 2008 to 2009 in/yr (cm/yr)</th>
<th>Closure Rate 2007 to 2008 in/yr (cm/yr)</th>
<th>Rate Change Percent a</th>
</tr>
</thead>
<tbody>
<tr>
<td>E0-N300</td>
<td>A-C</td>
<td>6/17/2009</td>
<td>50.869 (129.207)</td>
<td>1.61 (4.09)</td>
<td>1.42 (3.61)</td>
<td>13%</td>
</tr>
<tr>
<td>E0-N460</td>
<td>A-C</td>
<td>6/17/2009</td>
<td>36.064 (91.603)</td>
<td>2.01 (5.11)</td>
<td>1.76 (4.47)</td>
<td>14%</td>
</tr>
<tr>
<td>E0-N562</td>
<td>A-C</td>
<td>6/17/2009</td>
<td>11.024 (28.001)</td>
<td>1.86 (4.72)</td>
<td>1.63 (4.14)</td>
<td>14%</td>
</tr>
<tr>
<td>E0-N686</td>
<td>A-C</td>
<td>6/17/2009</td>
<td>14.472 (36.759)</td>
<td>2.39 (6.07)</td>
<td>2.05 (5.21)</td>
<td>17%</td>
</tr>
<tr>
<td>E0-N940</td>
<td>A-C</td>
<td>6/17/2009</td>
<td>51.479 (130.757)</td>
<td>2.27 (5.77)</td>
<td>1.95 (4.93)</td>
<td>16%</td>
</tr>
<tr>
<td>E0-N1110</td>
<td>A-C</td>
<td>6/17/2009</td>
<td>42.263 (107.348)</td>
<td>1.76 (4.47)</td>
<td>1.45 (3.68)</td>
<td>21%</td>
</tr>
<tr>
<td>E140-N355</td>
<td>A-C</td>
<td>6/24/2009</td>
<td>13.825 (35.116)</td>
<td>2.13 (5.41)</td>
<td>1.82 (4.62)</td>
<td>17%</td>
</tr>
<tr>
<td>E140-N626</td>
<td>A-C</td>
<td>6/24/2009</td>
<td>50.563 (128.43)</td>
<td>3.29 (8.36)</td>
<td>2.87 (7.29)</td>
<td>15%</td>
</tr>
<tr>
<td>E140-N686</td>
<td>A-C</td>
<td>6/24/2009</td>
<td>14.324 (36.383)</td>
<td>2.56 (6.5)</td>
<td>2.29 (5.82)</td>
<td>12%</td>
</tr>
<tr>
<td>E140-N780</td>
<td>A-C</td>
<td>6/24/2009</td>
<td>53.593 (136.126)</td>
<td>2.79 (7.09)</td>
<td>2.48 (6.3)</td>
<td>13%</td>
</tr>
<tr>
<td>E140-N940</td>
<td>A-C</td>
<td>6/24/2009</td>
<td>13.843 (35.161)</td>
<td>3.21 (8.15)</td>
<td>2.78 (7.06)</td>
<td>15%</td>
</tr>
<tr>
<td>E140-N1100</td>
<td>A-C</td>
<td>6/24/2009</td>
<td>7.329 (18.616)</td>
<td>1.57 (3.99)</td>
<td>1.38 (3.51)</td>
<td>14%</td>
</tr>
<tr>
<td>E140-N1420</td>
<td>A-C</td>
<td>6/24/2009</td>
<td>24.598 (62.479)</td>
<td>1.68 (4.27)</td>
<td>1.52 (3.86)</td>
<td>11%</td>
</tr>
<tr>
<td>E140-N460</td>
<td>A-C</td>
<td>6/2/2009</td>
<td>51.225 (130.112)</td>
<td>2.6 (6.6)</td>
<td>2.17 (5.51)</td>
<td>20%</td>
</tr>
<tr>
<td>E140-S1500</td>
<td>A-C</td>
<td>6/29/2009</td>
<td>25.844 (65.644)</td>
<td>2.95 (7.49)</td>
<td>2.58 (6.55)</td>
<td>14%</td>
</tr>
<tr>
<td>E140-S1687</td>
<td>A-C</td>
<td>6/29/2009</td>
<td>26.802 (68.077)</td>
<td>3.01 (7.65)</td>
<td>2.63 (6.68)</td>
<td>14%</td>
</tr>
<tr>
<td>E140-S2122</td>
<td>A-C</td>
<td>6/29/2009</td>
<td>34.81 (88.417)</td>
<td>4.24 (10.77)</td>
<td>3.54 (8.99)</td>
<td>20%</td>
</tr>
<tr>
<td>E140-S2275</td>
<td>A-C</td>
<td>6/29/2009</td>
<td>56.939 (144.625)</td>
<td>7.15 (18.16)</td>
<td>6.4 (16.26)</td>
<td>12%</td>
</tr>
<tr>
<td>E140-S2350</td>
<td>A-C</td>
<td>6/29/2009</td>
<td>60.394 (153.401)</td>
<td>6.29 (15.98)</td>
<td>5.62 (14.27)</td>
<td>12%</td>
</tr>
<tr>
<td>E140-S2833</td>
<td>A-C</td>
<td>6/29/2009</td>
<td>23.497 (59.682)</td>
<td>1.76 (4.47)</td>
<td>1.45 (3.68)</td>
<td>14%</td>
</tr>
<tr>
<td>E300-N250</td>
<td>A-C</td>
<td>6/10/2009</td>
<td>33.366 (84.75)</td>
<td>1.72 (4.37)</td>
<td>1.35 (3.43)</td>
<td>27%</td>
</tr>
<tr>
<td>E300-N45</td>
<td>B-D</td>
<td>6/10/2009</td>
<td>18.742 (47.605)</td>
<td>1.33 (3.38)</td>
<td>1.2 (3.05)</td>
<td>11%</td>
</tr>
<tr>
<td>E300-S3195</td>
<td>A-C</td>
<td>6/8/2009</td>
<td>13.074 (33.208)</td>
<td>2.38 (6.05)</td>
<td>1.85 (4.7)</td>
<td>29%</td>
</tr>
<tr>
<td>N140-E90</td>
<td>A-C</td>
<td>6/24/2009</td>
<td>1.033 (2.624)</td>
<td>0.81 (2.06)</td>
<td>0.52 (1.32)</td>
<td>56%</td>
</tr>
<tr>
<td>N250-E220</td>
<td>B-D</td>
<td>6/10/2009</td>
<td>29.837 (75.786)</td>
<td>1.98 (5.03)</td>
<td>1.62 (4.11)</td>
<td>22%</td>
</tr>
<tr>
<td>N460-E70</td>
<td>A-C</td>
<td>6/17/2009</td>
<td>29.105 (73.927)</td>
<td>1.38 (3.51)</td>
<td>1.21 (3.07)</td>
<td>14%</td>
</tr>
<tr>
<td>N460-E220</td>
<td>A-C</td>
<td>6/24/2009</td>
<td>6.856 (17.414)</td>
<td>2.21 (5.61)</td>
<td>1.88 (4.78)</td>
<td>18%</td>
</tr>
<tr>
<td>N780-E220</td>
<td>A-C</td>
<td>6/24/2009</td>
<td>7.346 (18.659)</td>
<td>2.11 (5.36)</td>
<td>1.89 (4.8)</td>
<td>12%</td>
</tr>
<tr>
<td>N1420-E300</td>
<td>A-C</td>
<td>6/24/2009</td>
<td>6.413 (16.289)</td>
<td>1.82 (4.62)</td>
<td>1.51 (3.84)</td>
<td>21%</td>
</tr>
<tr>
<td>S2180-W100</td>
<td>A-C</td>
<td>5/27/2009</td>
<td>15.023 (38.158)</td>
<td>2.17 (5.51)</td>
<td>1.79 (4.55)</td>
<td>21%</td>
</tr>
<tr>
<td>S2180-E55</td>
<td>A-C</td>
<td>5/28/2009</td>
<td>12.058 (30.627)</td>
<td>1.69 (4.29)</td>
<td>1.33 (3.38)</td>
<td>27%</td>
</tr>
<tr>
<td>S2520-W100</td>
<td>A-C</td>
<td>5/27/2009</td>
<td>14.545 (36.944)</td>
<td>1.67 (4.24)</td>
<td>1.35 (3.43)</td>
<td>24%</td>
</tr>
<tr>
<td>S2750-E220</td>
<td>A-C</td>
<td>5/26/2009</td>
<td>15.981 (40.592)</td>
<td>4.65 (11.81)</td>
<td>2.50 (6.35)</td>
<td>86%</td>
</tr>
<tr>
<td>S2750-W93</td>
<td>A-C</td>
<td>5/26/2009</td>
<td>14.896 (37.836)</td>
<td>3.52 (8.94)</td>
<td>2.91 (7.39)</td>
<td>21%</td>
</tr>
<tr>
<td>S3310-E220</td>
<td>A-C</td>
<td>5/26/2009</td>
<td>13.091 (33.251)</td>
<td>2.48 (6.3)</td>
<td>1.67 (4.24)</td>
<td>49%</td>
</tr>
<tr>
<td>W30-S500</td>
<td>A-C</td>
<td>6/15/2009</td>
<td>25.164 (63.917)</td>
<td>1.01 (2.57)</td>
<td>0.9 (2.29)</td>
<td>12%</td>
</tr>
</tbody>
</table>
### Table 5-3 – Greater than 10 Percent Increases in Annual Vertical Convergence Rates in the Access Drifts

<table>
<thead>
<tr>
<th>Location</th>
<th>Chord</th>
<th>Last Reading Date</th>
<th>Cumulative Displacement Inches (cm.)</th>
<th>Closure Rate 2008 to 2009 in/yr (cm/yr)</th>
<th>Closure Rate 2007 to 2008 in/yr (cm/yr)</th>
<th>Rate Change Percent a</th>
</tr>
</thead>
<tbody>
<tr>
<td>W30, S1300</td>
<td>A-C</td>
<td>12/31/2008</td>
<td>21.256 (53.99)</td>
<td>1.66 (4.22)</td>
<td>1.36 (3.45)</td>
<td>22%</td>
</tr>
<tr>
<td>W30, S1600</td>
<td>A-C</td>
<td>2/24/2009</td>
<td>19.174 (48.702)</td>
<td>1.35 (3.43)</td>
<td>0.99 (2.51)</td>
<td>36%</td>
</tr>
<tr>
<td>W30, S1775</td>
<td>A-C</td>
<td>2/25/2009</td>
<td>11.101 (28.197)</td>
<td>1.36 (3.45)</td>
<td>0.56 (1.42)</td>
<td>143%</td>
</tr>
<tr>
<td>W30, S1950</td>
<td>A-C</td>
<td>6/16/2009</td>
<td>19.026 (48.326)</td>
<td>1.45 (3.68)</td>
<td>0.99 (2.51)</td>
<td>46%</td>
</tr>
<tr>
<td>W30, S2067</td>
<td>A-C</td>
<td>6/16/2009</td>
<td>15.256 (38.75)</td>
<td>1.46 (3.71)</td>
<td>0.88 (2.24)</td>
<td>66%</td>
</tr>
<tr>
<td>W30, S2275</td>
<td>A-C</td>
<td>6/16/2009</td>
<td>9.552 (24.262)</td>
<td>1.04 (2.64)</td>
<td>0.88 (2.24)</td>
<td>18%</td>
</tr>
<tr>
<td>W30, S2350</td>
<td>A-C</td>
<td>6/16/2009</td>
<td>10.58 (26.873)</td>
<td>1.1 (2.79)</td>
<td>0.94 (2.39)</td>
<td>17%</td>
</tr>
<tr>
<td>W30, S2425</td>
<td>A-C</td>
<td>6/16/2009</td>
<td>11.115 (28.232)</td>
<td>1.2 (3.05)</td>
<td>1.06 (2.69)</td>
<td>13%</td>
</tr>
<tr>
<td>W30, S2750</td>
<td>A-C</td>
<td>6/16/2009</td>
<td>10.553 (26.805)</td>
<td>1.69 (4.29)</td>
<td>1.47 (3.73)</td>
<td>15%</td>
</tr>
<tr>
<td>W30, S2833</td>
<td>A-C</td>
<td>6/16/2009</td>
<td>11.423 (29.014)</td>
<td>2.5 (6.35)</td>
<td>1.7 (4.32)</td>
<td>47%</td>
</tr>
<tr>
<td>W30, S2998</td>
<td>A-C</td>
<td>6/16/2009</td>
<td>10.593 (26.906)</td>
<td>2.24 (5.69)</td>
<td>1.58 (4.01)</td>
<td>42%</td>
</tr>
<tr>
<td>W170, S1600</td>
<td>A-C</td>
<td>5/18/2009</td>
<td>15.629 (39.698)</td>
<td>1.03 (2.62)</td>
<td>0.92 (2.34)</td>
<td>12%</td>
</tr>
<tr>
<td>W170, S1779</td>
<td>A-C</td>
<td>5/18/2009</td>
<td>17.069 (43.355)</td>
<td>1.35 (3.43)</td>
<td>1.09 (2.77)</td>
<td>24%</td>
</tr>
<tr>
<td>W170, S2520</td>
<td>A-C</td>
<td>5/18/2009</td>
<td>13.634 (34.63)</td>
<td>1.66 (4.22)</td>
<td>1.38 (3.51)</td>
<td>20%</td>
</tr>
<tr>
<td>W170, S2833</td>
<td>A-C</td>
<td>5/18/2009</td>
<td>13.394 (34.021)</td>
<td>4.34 (11.02)</td>
<td>2.24 (5.69)</td>
<td>94%</td>
</tr>
<tr>
<td>W170, S2916</td>
<td>A-C</td>
<td>5/18/2009</td>
<td>17.1 (43.434)</td>
<td>3.06 (7.77)</td>
<td>1.88 (4.78)</td>
<td>63%</td>
</tr>
<tr>
<td>W170, S2998</td>
<td>A-C</td>
<td>5/19/2009</td>
<td>18.131 (46.053)</td>
<td>5.03 (12.78)</td>
<td>4.09 (10.39)</td>
<td>23%</td>
</tr>
<tr>
<td>W170, S3080</td>
<td>A-C</td>
<td>5/19/2009</td>
<td>13.195 (33.515)</td>
<td>4.68 (11.89)</td>
<td>1.9 (4.83)</td>
<td>146%</td>
</tr>
<tr>
<td>W170, S3195</td>
<td>A-C</td>
<td>5/21/2009</td>
<td>12.798 (32.507)</td>
<td>2.78 (7.06)</td>
<td>2.23 (5.66)</td>
<td>25%</td>
</tr>
<tr>
<td>W170, S3395</td>
<td>A-C</td>
<td>5/21/2009</td>
<td>8.454 (21.473)</td>
<td>2.58 (6.55)</td>
<td>2.19 (5.56)</td>
<td>18%</td>
</tr>
</tbody>
</table>

See Section 5.3.

### 5.4 Excavation Performance

Approximately 500 readings are collected and assessed regularly from convergence point arrays throughout the WIPP underground. Convergence rates continue to vary seasonally, typically increasing during the warmer and more humid summer months and decreasing during the cooler and drier winter months.

The performance of the access drift excavations during this reporting period was within acceptable criteria. "Acceptable criteria" means that a drift remains accessible, and the ground can be controlled by routine maintenance. Standard remedial ground control in some areas was required to maintain the performance of the excavations. The drifts remain stable and controlled. Most of the annualized rates remain steady, indicating stability. In some locations, where the rates are high, nearby mining activity is most likely the cause. In other locations, where necessary, additional ground control measures have been or will be installed.
6.0 PERFORMANCE OF WASTE DISPOSAL AREA

The Waste Disposal Area as of June 30, 2009, consists of Panels 1, 2, 3, 4, and 5. Panels 1, 2, and 3 were closed during previous reporting periods. Waste disposal in Panel 4 was completed, and the panel is being closed. Waste disposal in Panel 5 has started.

6.1 History

Excavation of Panel 1 began in May 1986 with the mining of the access entries. Initially, the disposal rooms and drifts were developed as pilot drifts that were later excavated to nominal operational dimensions of 13 ft (4 m) high, 33 ft (10 m) wide, and 300 ft (91 m) long. Room 1 was completed to these dimensions in August 1986, and pilot drifts for Rooms 2 and 3 were excavated in January and February 1987. Rooms 2 and 3 were completed in February and March 1988, and Rooms 4 through 7 were completed in May 1988. Four short access drifts designed to lead to smaller test alcoves were excavated north off the S-1600 drift and Rooms 4-7 in June 1989. Only the access drifts to the alcoves were completed; the alcoves themselves were not excavated. Panel 1 waste emplacement (in Rooms 1, 2, 3, 7, adjacent areas of S-1600, and all of S-1950) was completed during a prior reporting period, and the panel is closed to all access. The Panel 1 access entries, S-1600 and S-1950, which extend from the E-300 drift to the isolation walls, remain open, and the instrumentation in this area continues to be maintained and monitored.

Excavation of the Panel 2 waste disposal area began in September 1999 with the mining of access entries. Initially, the disposal rooms and drifts were developed as pilot drifts that were trimmed to finished dimensions. Room 1 was completed in January 2000, and pilot drifts for Rooms 2 and 3 were excavated in February 2000. Pilot drifts were completed for Rooms 4 through 6 in April 2000. The pilot drift for Room 7 was excavated in May 2000. All the rooms were excavated to final dimensions by August 2000. Waste emplacement in Panel 2 was completed during a prior reporting period, and the panel is closed to all access. The Panel 2 access entries, S-2150 and S-2520, which extend from the E-300 drift to the isolation walls, remain open, and the instrumentation in this area continues to be maintained and monitored.

Excavation of Panel 3 waste disposal rooms began in May 2002 with the mining of access entries to Panel 3. As with Panel 2, initially, the disposal rooms and drifts were developed as pilot drifts that were trimmed to finished dimensions. All the rooms were excavated to final dimensions by the end of March 2004. Waste emplacement in Panel 3 was completed in February 2007. Substantial barriers and bulkheads were installed in the exhaust and intake drifts of Panel 3 to prevent access into the panel and to isolate it from the ventilation circuit.

Panel 4 access drift mining began in January 2005. The disposal rooms were initially developed as pilot drifts and were later trimmed to final dimensions. Mining was completed by June 2006. Waste emplacement in Panel 4 was completed in March 2009. Substantial barriers and bulkheads are being installed in the exhaust and intake
drifts of Panel 4 to prevent access into the panel and to isolate it from the ventilation circuit.

Panel 5 outfitting was completed, and disposal in the panel began. Rooms 7 and 6 are currently receiving waste.

Mining of Panel 6 has started.

6.2 Modifications to Excavations and Ground Control Activities

Routine maintenance and ground control activities in the form of trimming, scaling, rock bolt replacement, and installing wire mesh were performed on ribs, floor, and roof throughout accessible areas of the disposal panels. Table 6-1 summarizes the ground control activities performed in the disposal panels during this reporting period.

6.3 Instrumentation

Remote monitoring of extensometers continues in Panel 4.

There were no changes to the Panel 5 instrumentation layout. Convergence monitoring continued in all accessible areas up to the time that the waste stack front passed the instrument location. Remote monitoring of extensometers continues.

Schematics of the geotechnical instrumentation layout in Panels 4, 5, and 6 are shown in Figures 6-1 through 6-4.

Table 6-1 – Summary of Modifications and Ground Control Activities in the Waste Disposal Area from July 1, 2008, to June 30, 2009

<table>
<thead>
<tr>
<th>Location</th>
<th>Work Performed</th>
</tr>
</thead>
<tbody>
<tr>
<td>Panel 4, Room 1</td>
<td>Installed 12-ft resin-anchored roof bolts, and 4-ft mechanically anchored bolts and chain link mesh on east rib</td>
</tr>
<tr>
<td>Panel 5</td>
<td>Installed 5-ft resin-anchored roof bolts, and 4-ft mechanically anchored bolts and chain link mesh in the rib/back junctions and miters</td>
</tr>
<tr>
<td>Panel 6</td>
<td>Installed 5-ft resin-anchored roof bolts in Rooms 1-3, in S2750 between Rooms 1 and 7, and in S3080 between Rooms 1 and 5</td>
</tr>
</tbody>
</table>
Figure 6-1 – Location of Panel 3 Geotechnical Instruments

LEGEND

△ Vertical borehole extensometer

Roof-to-floor convergence points

Array of radial convergence points

● Rockbolt Load Cell

Filled with waste 2/15/07

NOT TO SCALE
Figure 6-2 – Location of Panel 4 Geotechnical Instruments
Figure 6-3 – Location of Panel 5 Geotechnical Instruments
6.4 Excavation Performance

Waste handling activities in Panels 1, 2, and 3 have been completed, and geotechnical monitoring inside these panels has been discontinued. Waste handling activities have also been completed in Panel 4; however, extensometers continue to be read remotely until the loss of communication with instruments behind the panel closure.

In accessible underground areas, horizontal and vertical convergence rates, calculated at the center of each of the rooms, were compared between this and the previous reporting period. Generally, the convergence rates have decreased or remained
similar. Increased rates observed in some areas are usually associated with areas of roof beam separation and fracturing. Geomechanical monitoring indicates that the early installation of a rigid threaded bar support system in Panels 4, 5, and 6 has reduced the generation of shallow roof beam separations below that observed in Panel 3.

Convergence monitoring in the panel entries does not indicate an acceleration of closure rates; however, fracturing of the roof beam continues. It is anticipated that routine ground control maintenance will be sufficient to maintain access until completion of waste handling activities in these areas.

6.5 Analysis of Extensometer and Convergence Point Data

Geotechnical instrumentation is installed in each disposal room and at select locations in the panel access drifts. As anticipated, these installations showed a general decrease in room closure rate and roof beam deformation with time. At some locations, deformation rates increased as roof sag and roof beam deterioration developed. Supplemental ground control support was installed in these areas and has subsequently reduced the observed rates.

Although Panels 1 through 4 are closed, convergence monitoring continues in the panel entries between E-300 and the explosion isolation walls (Panels 1 and 2) and substantial and isolation barriers (Panels 3 and 4). The monitoring results indicate a steady long-term trend. The lowest closure rates were observed nearest to the rigid masonry walls.

Convergence rates in Panel 4 are generally decreasing or approaching steady state. The initial effects due to mining decreased significantly, similar to the experience in previous panels. A temporary increase in deformation rates near RH hole excavations was observed. Generally, these increases were temporary and then decreased with time. The number and continuity of anhydrite stringers vary; however, stringers are commonly observed throughout the panel. Deformation rates in these areas have stabilized or decreased in response to the installation of ground control.

Panel 5 mining is complete, and bolting was completed soon after mining. Panel 5 was bolted and monitored at an even earlier stage in its development than were Panels 3 and 4. Monitoring data from Panel 5 indicate that roof beam deformation and room closure are trending lower than in Panel 4. This improved excavation performance may be attributed to the early ground support installation.

7.0 GEOSCIENCE PROGRAM

The Geoscience Program confirms the suitability of the site through the collection of various geologic data and excavation characteristics from the underground. These include the inspection of open observation holes for fractures (separations) and offsets (lateral displacements) in roof beams and the mapping of fracture development on roof surfaces. Data collected through these activities support the design and evaluation of ground support systems.
During this reporting period, the following activities were performed:

- Observation hole inspections
- Fracture mapping

Fracture development in the roof is primarily caused by the concentration of compressive stresses in the roof beam and is influenced by the size and shape of the excavation and the stratigraphy in the immediate vicinity of the opening. In a thick roof beam, pillar deformations induce lateral compressive stresses into the immediate roof and floor. With time, the buildup of stress causes differential movement along stratigraphic boundaries. This differential movement is identified as offsets in observation holes and by the bends in failed rock bolts. Large strains associated with lateral movements can induce fracturing in the roof, which is frequently seen near the ribs; however, this process may take a long time (years) to develop.

At the upper repository horizon, clay or anhydrite stringers exert significant influence over the effective thickness of the roof beam. The presence of these stringers causes the roof beam to behave as a series of thin independent beams. Little or no tensile support is provided across the stringer interface. As horizontal end-loading continues, each beam can deflect downward causing a tensile fracture to develop along the bottom of the beam. These tensile fractures can develop in relatively new excavations soon after separation occurs along the stringer interface.

The location and initiation of interface separation is also influenced by the dip of the rock layers. The roofs and floors of the disposal panels are mined level through the sloping beds. At some locations, this may result in a significant difference in roof beam thickness from one side of the excavation to the other. Areas with the thinnest beam are the most likely to develop separations and subsequent fracturing.

### 7.1 Observation Hole Inspections

Geotechnical observation holes are drilled at various locations throughout the underground facility. A location may contain one or more holes arranged in an array. These holes are drilled to depths that allow the monitoring of fracture development and offsetting and are inspected for the development of those features. Roof observation holes usually extend up past clays G and H (Figures 7-1 and 7-2).

The clay seams nearest the excavation surfaces define the immediate roof beam. The roof beam is bounded by Clay G in most of the access drifts and Panels 1 and 2. Some areas, such as the Salt Shaft Station, portions of the E-0 and E-140 drifts, the south mains south of S-2620, and Panels 3, 4, 5, and 6 are excavated to Clay G and so have roof beams bounded by Clay H.

The offset in a hole is determined by visually estimating the degree of occlusion. The direction of offset along clay seams is observed as the movement of the strata nearer to the observer relative to the strata farther away. Typically, the nearer strata move toward the center of the excavation (Figures 7-3 and 7-4). Based on previous
observations in the underground, the magnitude of offset is usually greater in holes located near ribs than in those located along excavation centerlines. Offsetting along the clay layers is observable until total offset is reached or visibility is obstructed by intervening offsets at other clay seams or fractures. Holes are inspected for fractures, using an aluminum rod with a flattened steel wire probe attached to one end perpendicular to the rod (referred to as a "scratch rod"). Fractures and clay seams are located by moving the probe along the inside of the hole until it is snagged in one of these features. Depth to each feature is recorded, as is the magnitude of separations encountered. A fiber scope camera is occasionally used in addition to the scratch rod to visually document features of interest in a hole.
Figure 7-1 – Example of Observation Hole Layout at Lower Horizon

Figure 7-2 – Example of Observation Hole Layout at Upper Horizon
Figure 7-3 – Typical Fracture Patterns at Lower Horizon

Figure 7-4 – Typical Fracture Patterns at Upper Horizon
The separation and offset data observed in accessible holes in the back are presented in the supporting data document for this report. Forty-seven accessible holes were monitored in Panel 5, and 30 in Panel 6. In both Panels 5 and 6, the greatest separations were associated with Clay H and Anhydrite "a". Seven holes in Panel 5 and five holes in Panel 6 had fractures associated with anhydrite stringers in the lower portion (first 3 feet) of the roof beam. Thirty-nine of 47 holes in Panel 5 and 10 of the 30 holes in Panel 6 showed some offset.

7.2 Fracture Mapping

Routine mapping documents the progression of fractures in the roof exposed on the excavation surfaces of the drifts and rooms in the underground repository. The fracture surveys are generally performed on an annual basis, and the fracture maps are updated. The fracture maps facilitate the analysis of strain in the immediate roof-beam, because they document the development and propagation of fractures through time. The supporting data document contains fracture maps for Panels 4, 5, and 6. During this reporting period, fractures were mapped in Panels 4 and 5.

8.0 SUMMARY

At the inception of WIPP, criteria were developed that address the design requirements (DOE, 1984). They pertained to all aspects of the mined facility and its operation as a pilot plant for the demonstration of technical and operational methods for permanent disposal of contact-handled and remote-handled TRU waste. In 1994, as the WIPP focus moved toward the permanent disposal of TRU waste, these design requirements were reassessed and replaced by a new set of requirements called system design descriptions. Table 8-1 shows the comparison of these design requirements with conditions actually observed in the underground from July 2008 through June 2009.

Normal drift and room maintenance continued during this reporting period with rib, roof, and floor scaling and trimming in various locations, and rock bolts and wire mesh installed as needed. Supplemental ground control systems consisting of resin-anchored bolts were installed in select locations. Some of these supplemental systems also included roof mats.

New geomechanical instrumentation was installed in Panel 6 and its access drifts, as well as in various locations throughout the repository to replace mined-out instruments. Monitoring no longer continues in non-accessible areas except in Panel 4. All accessible areas of the underground are connected to data-loggers or are monitored manually.

The in situ performance of the excavations generally continues to satisfy the appropriate design criteria, although specific areas are being identified where deterioration resulting from ageing must be addressed through routine maintenance and installation of engineered systems. This deterioration has been identified through the analysis of data acquired from geomechanical instrumentation and the Geoscience Program. If the planned life of some of the openings needs to be extended, changing the geometry of the access drifts (removing unstable roof beam or rib spalls, or milling the floor for
added clearance), or additional ground control (roof removal, installing bolts, mesh, or straps) may be necessary. The ground conditions in the waste disposal area and associated waste transport routes continue to slowly deteriorate; however, routine ground control installations and maintenance continue to allow safe access in the underground facility.

In addition to underground instrumentation, qualitative assessments of fracture development are documented through mapping the underground repository and inspecting the observation holes. The information acquired from these programs provides early detection of ground deterioration, contributes to the understanding of the dynamic geomechanical processes in the WIPP underground, and aids in the design of effective ground control and support systems.

Table 8-1 – Comparison of Excavation Performance to System Design Requirements

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>&quot;The lining shall be designed for a hydrostatic pressure. . . .&quot;</td>
<td>Water pressure observed on piezometers located behind the shaft liners remains below design levels.</td>
</tr>
<tr>
<td>&quot;The key shall be designed to resist the lateral pressure generated by salt creep.&quot;</td>
<td>Geomechanical data from the Waste Shaft indicate that the shaft key is minimally loaded and is structurally stable. Visual inspections of all shaft keys do not indicate any deterioration due to creep loading.</td>
</tr>
<tr>
<td>&quot;The key shall be designed to retain the rock formation and will be provided with chemical seal rings and a water collection ring with drains to prevent water from flowing down the unlined shaft from the lining above.&quot;</td>
<td>Shaft inspection observations and instrumentation show no indication of instability due to salt dissolution. No water has been observed flowing along the rock-liner interface.</td>
</tr>
<tr>
<td>&quot;The underground waste disposal facilities shall be designed to provide space and adequate access for the underground equipment and temporary storage space to support underground operations.&quot;</td>
<td>Geomechanical instrument data and visual observations indicate that the current design provides adequate access and storage and disposal space. Ground control maintenance is performed as necessary to maintain access.</td>
</tr>
<tr>
<td>&quot;Entries and subentries to the underground disposal area and the experimental areas shall be provided and sized for personnel safety, adequate air flow, and space for equipment.&quot;</td>
<td>Deformation of excavation remains within the required limits. Normal periodic maintenance consisting of rock bolting, wire meshing, trimming, and scaling continue throughout the repository. Areas such as the waste transport route undergo periodic floor trims in order to maintain adequate operating height. Mining of the roof beam in the Waste Station is planned to recover headroom lost due to convergence.</td>
</tr>
<tr>
<td>&quot;Geomechanical instrumentation shall be provided to measure the cumulative deformation of the rock mass surrounding mined drifts. . . .&quot;</td>
<td>Geotechnical instrumentation is operated and maintained to meet this requirement. This annual report provides a summary and analysis of the geomechanical data.</td>
</tr>
</tbody>
</table>
9.0 REFERENCES


DOE, see U.S. Department of Energy.


New Mexico Environment Department (NMED), 2008, Waste Isolation Pilot Plant Hazardous Waste Facility Permit, NM4890139088-TSDF, Santa Fe, New Mexico.


