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**Title 40 CFR Part 191  
Compliance Certification  
Application  
for the  
Waste Isolation Pilot Plant**

**SCR Attachment 1**

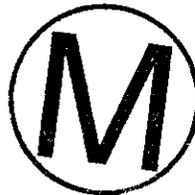


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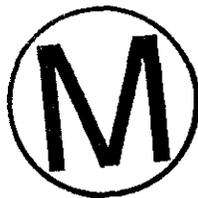
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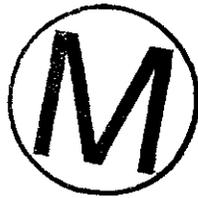
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1 particular, the relationship of the text to the tables of FEPs. As a result, a new FEP list has  
2 been developed for the CCA that is closely linked to a generic list and at the same time  
3 includes issues specific to the WIPP site.  
4

5 This document summarizes the development of the initial FEP list used in the DCCA (Section  
6 2), and outlines the drawbacks of this list for use in the CCA (Section 2.3). The development  
7 of a WIPP-specific FEP list for the CCA is described in Section 3. A summary of the steps in  
8 this development that have built confidence in the comprehensiveness and appropriateness of  
9 the CCA FEP list is presented in Section 4. Appendices present the DCCA list and a mapping  
10 from this list to the CCA FEP list.  
11

## 12 **2 Development of an Initial FEP Database**

13  
14 The DCCA compiled by the Department of Energy in March 1995 (U.S. DOE, 1995a)  
15 included, in Appendix SCR, screening arguments for many FEPs. These FEPs were derived  
16 from a comprehensive list of FEPs established as a means of demonstrating that the WIPP PA  
17 had adequately documented the decisions leading to exclusion of FEPs from the PA  
18 calculations.  
19

20 There have been several recent studies in which a variety of national FEP lists were compiled  
21 in order to help ensure comprehensiveness (e.g, in the Netherlands (Prij et al., 1993), Sweden  
22 (Stenhouse et al., 1993), and the United States (Guzowski and Newman, 1993)). In addition,  
23 work is underway at the OECD/NEA to develop a comprehensive FEP list that includes all  
24 national work; however, this list was not available at the time the WIPP FEP list was  
25 compiled in 1995.  
26

27 The list established for the DCCA was based on a FEP compilation conducted by the Swedish  
28 Nuclear Power Inspectorate (SKI) (Stenhouse et al., 1993) because that compilation was the  
29 best documented and most comprehensive. During development of the initial FEP list for the  
30 WIPP, key project documents were reviewed, such as the 1991 and 1992 PAs (Sandia  
31 National Laboratories, 1991, 1992), and the March 1994 Compliance Status Report (U.S.  
32 DOE, 1994). Review of these documents illustrated the need to incorporate additional FEPs  
33 into the DCCA list.  
34

### 35 **2.1 The Swedish study**

36  
37 The Swedish Nuclear Power Inspectorate (SKI) study was conducted by Stenhouse et al.  
38 (1993) as part of the SITE-94 performance assessment exercise. This exercise considered the  
39 disposal of spent nuclear fuel in crystalline bedrock at a hypothetical site with characteristics  
40 based on the Äspö Hard Rock Laboratory site. The purpose of the FEP study was to ensure  
41 that all relevant FEPs were considered early in the SITE-94 assessment, and only limited  
42 screening of FEPs was done.  
43

44 SKI compiled an initial raw FEP list based on the nine different FEP identification studies  
45 listed in Table 1.  
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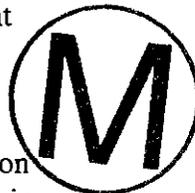
**Table 1. Studies used to derive the DCCA FEP list**

Study	Country	Number of FEPs identified
Atomic Energy of Canada Limited (AECL) study of disposal of spent fuel in crystalline rock (Goodwin et al., 1994)	Canada	275
SKI & Swedish Nuclear Fuel and Waste Management Company (SKB) study of disposal of spent fuel in crystalline rock (Andersson, 1989)	Sweden	157
National Cooperative for the Storage of Radioactive Waste (NAGRA) Project Gewähr study (NAGRA, 1985)	Switzerland	44
UK Department of the Environment Dry Run 3 study of deep disposal of low- and intermediate-level waste (L/ILW) (Thorne, 1992)	United Kingdom	305
UK Department of the Environment assessment of L/ILW disposal in volcanic rock at Sellafield (Miller and Chapman, 1993)	United Kingdom	79
UK Nuclear Industry Radioactive Waste Executive (NIREX) study of the deep disposal of L/ILW (Hodgkinson and Sumerling, 1989)	United Kingdom	131
SNL study of disposal of spent fuel (Cranwell et al., 1990) <sup>1</sup>	United States	29
Nuclear Energy Agency (NEA) Working Group on Systematic Approaches to Scenario Development (NEA, 1992)	International	122
International Atomic Energy Agency (IAEA) Safety Series (IAEA, 1985)	International	56

SKI divided FEPs from these lists into eight primary categories (“Level 1”) based on location of occurrence (six categories) and cause (two categories). The eight categories were waste, canister, buffer/backfill, repository/near-field, far-field, biosphere, geological/climatic evolution, and future human actions. SKI eliminated a number of FEPs from the component lists on the basis of irrelevancy to the SKI disposal concept and site, assessment scope, and incomprehensibility of the FEP.

Because of the way the disposal system was subdivided many FEPs appear more than once on the list. For example, a process such as sorption may occur in the backfill, in the near-field, in the far-field, and in the biosphere, and it would be included on the lists for all of these Level 1 categories. In addition, each Level 1 category was subdivided into between seven and twelve “Level 2” subcategories. Some FEPs occur several times within a single Level 1 category, where they are relevant to the consideration of more than one of the Level 2 subcategories.

<sup>1</sup> This list was in use previously by the WIPP project (Sandia National Laboratories, 1991, 1992).



1     **2.2     *Development of the DCCA FEP list***

2  
3     The DCCA FEP list was developed by taking the final SKI list, and re-inserting FEPs that had  
4     been screened out in the SKI study. Numerous additional FEPs of particular concern to the  
5     WIPP were added (see below), and several of the FEPs on the SKI list were subdivided in  
6     order to assist with screening them for the WIPP PA calculations. Finally, some duplicate  
7     FEPs were eliminated for clarity of presentation, although other duplicate FEPs were retained  
8     where a particular FEP could affect more than one part of the disposal system, or could  
9     interact with FEPs in more than one subcategory. No other changes were made. In particular,  
10    the titles of all FEPs on the SKI list were retained, even though some of them were vague or  
11    poorly stated for the situation at the WIPP.

12  
13    The structure of the DCCA FEP list is provided in Table 2, and the full list is provided in  
14    Appendix A. This structure is similar to that in the SKI study. The most notable change to  
15    the SKI list was the creation of a new Level 1 category called "seal systems" for the DCCA to  
16    reflect the importance of the seal systems to the WIPP safety concept. The SKI list included  
17    consideration of seal degradation only under the Level 2 subcategory "repository  
18    degradation." The Level 2 subcategories and the FEPs in the DCCA FEP list for the seal  
19    systems category reflect those that are in the SKI Level 1 backfill category. A further change  
20    was to broaden consideration of radionuclide transport to consideration of all contaminants of  
21    concern (i.e., inclusion of volatile organic compounds (VOCs) and heavy metals), in order to  
22    improve the applicability of the list to performance assessments presented in the No Migration  
23    Variance Petition (NMVP) to satisfy the requirements of 40 CFR §268.6.

24  
25    **2.3     *Drawbacks of the DCCA FEP list***

26  
27    Internal and external review of Chapter 6 and Appendix SCR of the DCCA highlighted a  
28    number of drawbacks in the use of the DCCA FEP list and the presentation of screening  
29    arguments. These drawbacks are outlined in the following sections.

30  
31    **2.3.1    Scope of a Generic List**

32  
33    The use of the SKI FEP list as a basis for the DCCA FEP list provided confidence that all  
34    FEPs of potential importance were considered. The wide range of disposal concepts that  
35    formed the basis of the list, however, led to a significant number of FEPs being classified as  
36    not relevant to WIPP. The component FEP lists considered, for example, a range of  
37    engineered barriers and were developed under different regulatory frameworks. Furthermore,  
38    FEPs relating to the marine environment that are relevant in programs considering coastal  
39    sites are not relevant to WIPP.

40  
41    **2.3.2    Use of Subsystems**

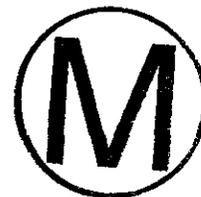
42  
43    The SKI list was organized into a series of subsystems: waste, canister, backfill, near-field,  
44    far-field, biosphere, geology/climate, and human influences. An additional subsystem - seals -  
45    was added in the DCCA to make the list more applicable to the WIPP, but overall the SKI  
46    subsystem classification is not ideal for the WIPP disposal concept. For example, in contrast



1 to the Swedish disposal concept, canister integrity does not have a role in long-term  
 2 performance of the WIPP. The terms near-field, far-field and biosphere are not unequivocally  
 3 defined for the WIPP site, nor does the cumulative release criterion (40 CFR § 191.13) require  
 4 consideration of radionuclide transport in the biosphere.  
 5

6 **Table 2. Structure of the DCCA FEP Database - Levels 1 and 2.**  
 7

8	<b>1 WASTE CATEGORY</b>	3.7	Microbiological effects/microbial activity
9	1.1 Initial waste characteristics	3.8	Backfill degradation
10	1.2 Radionuclide decay and growth	3.9	Geochemical regime
11	1.3 Radiological/radiation effects	3.10	Radionuclide and contaminant (e.g., heavy metal) transport processes
12	1.4 Gas generation and effects	3.11	Radionuclide and contaminant (e.g., heavy metal) chemistry
13	1.5 Heat generation	3.12	Others
14	1.6 Thermo-mechanical effects		
15	1.7 Thermo-chemical effects	<b>4 SEAL SYSTEMS CATEGORY</b>	
16	1.8 Electro-chemical effects	4.1	Seal system characteristics
17	1.9 Waste degradation/corrosion/dissolution	4.2	Resaturation/desaturation
18		4.3	Mechanical effects
19	1.10 Geochemical reactions/regime	4.4	Thermal effects
20	1.11 Radionuclide and contaminant (e.g., heavy metal) chemistry	4.5	Electro-chemical effects
21	1.12 Others	4.6	Gas effects and transport
22		4.7	Microbiological effects/microbial activity
23		4.8	Seal degradation
24	<b>2 CANISTER CATEGORY</b>	4.9	Geochemical regime
25	2.1 Canister materials/construction	4.10	Radionuclide and contaminant (e.g., heavy metal) transport processes
26	2.2 Corrosion/degradation processes	4.11	Radionuclide and contaminant (e.g., heavy metal) chemistry
27	2.3 Gas production and effects	4.12	Others
28	2.4 Microbiological effects/microbial activity		
29	2.5 Thermo-mechanical effects	<b>5 REPOSITORY/NEAR-FIELD CATEGORY</b>	
30	2.6 Electro-chemical effects	5.1	Near-field; repository elements/materials
31	2.7 Stress/mechanical effects	5.2	Repository degradation
32	2.8 Geochemical reactions/regime	5.3	Hydraulic effects/groundwater flow
33	2.9 Radionuclide and contaminant (e.g., heavy metal) transport through canisters	5.4	Mechanical effects
34		5.5	Thermal effects
35	2.10 Others		
36			
37			
38			
39	<b>3 BACKFILL CATEGORY</b>		
40	3.1 Buffer/backfill characteristics		
41	3.2 Resaturation/desaturation		
42	3.3 Mechanical effects		
43	3.4 Thermal effects		
44	3.5 Electro-chemical effects		
45	3.6 Gas effects and transport		



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5.6	Gas effects and transport	7.8	Geochemical regime (general)
5.7	Microbiological/biological activity	7.9	Radionuclide and contaminant (e.g., heavy metal) chemistry
5.8	Geochemical regime	7.10	Radionuclide and contaminant (e.g., heavy metal) transport processes
5.9	Radionuclide and contaminant (e.g., heavy metal) chemistry	7.11	Radiological factors
5.10	Radionuclide and contaminant (e.g., heavy metal) transport processes	7.12	Others
5.11	Others	<b>8</b>	<b>GEOLOGY/CLIMATE CATEGORY</b>
<b>6</b>	<b>FAR-FIELD CATEGORY</b>	8.1	Seismic events/major land movement
6.1	Rock properties	8.2	Rock deformation
6.2	Hydrogeological effects	8.3	Metamorphic processes
6.3	Physical/mechanical effects	8.4	Erosion/weathering (surface)
6.4	Thermal effects	8.5	Groundwater flow and effects
6.5	Gas effects and transport	8.6	Surface water flow and effects
6.6	Microbiological/biological activity	8.7	Sea-level effects
6.7	Geochemical regime	8.8	Magnetic effects
6.8	Radionuclide and contaminant (e.g., heavy metal) chemistry	8.9	Glaciation /glacial effects
6.9	Radionuclide and contaminant (e.g., heavy metal) transport processes	8.10	Climate effects (natural)
6.10	Others	8.11	Others
<b>7</b>	<b>BIOSPHERE CATEGORY</b>	<b>9</b>	<b>HUMAN INFLUENCES CATEGORY</b>
7.1	Human considerations	9.1	Inadvertent intrusion into repository
7.2	Ecological factors	9.2	Surface activities
7.3	Soil/sediment effects	9.3	Subsurface activities
7.4	Surface/near-surface water processes	9.4	Water use
7.5	Coastal water/ocean processes	9.5	Agricultural and fisheries practices
7.6	Gas effects and transport	9.6	Radiological factors
7.7	Microbiological/biological activity	9.7	Others



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2.3.3 Presentation of FEP Screening

The text of Appendix SCR in the DCCA did not discuss on an individual basis all FEPs from the comprehensive list of FEPs. Instead, the discussion was organized around a series of topics ranging from individual FEPs for which there was a semi-quantitative basis for screening (e.g. meteorite impact) to groups of FEPs that could be screened out collectively using a qualitative argument (e.g. all FEPs relating to the marine environment).

1 A series of check-lists was used to ensure that all the individual FEPs were included within  
2 the topics discussed in the DCCA. These check-lists were included as tables so that the reader  
3 could relate the topics discussed in the body of the report to the complete list of FEPs.  
4 Confusion has therefore arisen because individual FEPs in Tables SCR-1, 2 and 3 of the  
5 DCCA were not all explicitly discussed in the text of Appendix SCR.

#### 6 7 2.3.4 FEP Classification

8  
9 Appendix SCR of the DCCA (U.S. DOE, 1995b) lists and discusses FEPs included in PA  
10 calculations for undisturbed and disturbed performance (classifications UP and DP), FEPs  
11 screened out on regulatory, probability or consequence grounds (SO-R, SO-P and SO-C),  
12 FEPs not yet screened (RB), FEPs related to deviations from the design (RD), FEPs  
13 concerning potential design changes (RE), FEPs representing reserves of performance (RF),  
14 and FEPs considered not relevant to WIPP (NR). For the CCA, however, which presents PA  
15 calculations for a final design, the only classifications that are appropriate are inclusion in the  
16 calculations (UP, DP) or exclusion on well-defined criteria (SO-R, SO-C, SO-P). FEPs  
17 relating to the evaluation of different designs or disposal concepts (RD, RE and NR) have  
18 therefore been omitted from the CCA FEP list. Work undertaken since the DCCA has  
19 resulted in the screening of those FEPs previously categorized as RB, with the majority now  
20 screened as SO-C, and this category has become redundant with respect to the CCA. Finally,  
21 reviewers did not consider that a separate category for FEPs representing reserves of  
22 performance was appropriate, and that FEPs categorized as RF should be screened out on the  
23 basis of consequence to performance of the disposal system (SO-C). These relationships  
24 between the FEP classification scheme used in the DCCA and that adopted for the CCA are  
25 illustrated in Figure 1.

### 26 27 **3 The CCA FEP List**

28  
29 For the reasons outlined in Section 2.3, a new, WIPP-specific list of FEPs has been developed  
30 for use in the Compliance Certification Application. This list has approximately 240 FEPs, in  
31 contrast to approximately 900 FEPs in the DCCA. The reduction in numbers removes the  
32 ambiguities caused by use of a generic list, without eliminating any issues from the  
33 discussion.

#### 34 35 **3.1 FEP Categorization**

36  
37 As a framework for the CCA FEP list, and as an aid to the presentation of screening  
38 arguments in Appendix SCR, a FEP categorization scheme has been developed for the CCA.  
39 FEPs have been categorized using the three major divisions of natural, waste- and repository-  
40 induced, and human actions. Each of these divisions is subdivided into categories  
41 corresponding to major subject areas such as geology, geochemical, and subsurface hydrology.  
42 Further subdivisions of these categories represent groups of related features or processes such  
43 as structural effects, gas generation, and repository-induced flow. The full categorization  
44 scheme is presented in Table 3.



FEP Classification in DCCA			FEP Classification in CCA
Accounted for in Performance Assessment Calculations	Containment Requirements 40 CFR §191.13	UP, DP	UP, DP
	Individual Dose 40 CFR §191.15 Groundwater Protection 40 CFR §191.24	UP	UP
Eliminated from Performance Assessment Calculations	Regulatory Guidance	SO-R	SO-R
	Consequence	SO-C	SO-C
	Probability	SO-P	SO-P
	Deviations from Design Specifications	RD	Not on CCA FEP list (Irrelevant to assessment of final design)
	Engineering Alternatives	RE	Not on CCA FEP list (Irrelevant to assessment of final design)
	Not Relevant	NR	SO-C (Natural FEPs)
Not on CCA FEP list (Applicable to other disposal concepts)			
Retained for Evaluation in Final CCA	Assumed Low Consequence	RB	Screened (Majority as SO-C)
	Reserve (Potentially Beneficial to Performance)	RF	SO-C

**Figure 1. Relationships between the FEP classification scheme used in the DCCA and adopted for the CCA**

### 3.2 Derivation of the CCA FEP list

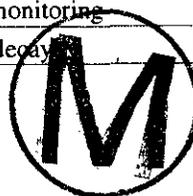
The following steps have been used to derive the CCA FEP list from that compiled for the DCCA:

- References to subsystems have been eliminated from the CCA FEP list, because the SKI subsystem classification is not ideal for the WIPP disposal concept.
- Duplicate FEPs have been eliminated from the CCA FEP list. Duplicate FEPs arose in the DCCA list because individual FEPs could act in different subsystems. FEPs have a single entry in the CCA list whether they are applicable to several parts of the disposal system or to a single part only.



**Table 3. Categorization scheme for the CCA FEP list**

1	NATURAL	Geological	Stratigraphy	
2			Tectonics	
3			Structural effects	Deformation
4				Fracture development
5				Fault movement
6				Seismic activity
7			Crustal processes	Igneous activity
8				Metamorphism
9			Geochemical effects	Dissolution
10				Mineralization
11		Subsurface hydrological	Groundwater characteristics	
12			Changes in groundwater flow	
13		Subsurface geochemical	Groundwater geochemistry	
14			Changes in groundwater chemistry	
15		Geomorphological	Physiography	
16			Meteorite impact	
17			Denudation	Weathering
18				Erosion
19				Sedimentation
20			Soil development	
21		Surface hydrological	Fluvial	
22			Lacustrine	
23			Groundwater recharge and discharge	
24			Changes in surface hydrology	
25		Climatic	Climate	
26			Climate change	Meteorological
27				Glaciation
28		Marine	Seas	
29			Marine sedimentology	
30			Sea level change	
31		Ecological	Flora & fauna	
32			Changes in flora & fauna	
33	WASTE AND REPOSITORY-INDUCED	Waste and repository characteristics	Repository characteristics	
34			Waste characteristics	
35			Container characteristics	
36			Seal characteristics	
37			Backfill characteristics	
38			Postclosure monitoring	
39		Radiological	Radioactive decay	



**Table 3. Categorization scheme for the CCA FEP list (continued)**

1		Heat from radioactive decay	
2		Nuclear criticality	
3		Radiological effects on material properties	
4	Geological / mechanical	Excavation-induced fracturing	
5		Rock creep	
6		Roof falls	
7		Subsidence	
8		Effects of fluid pressure changes	
9		Effects of explosions	
10		Thermal effects	
11		Mechanical effects on material properties	
12	Subsurface hydrological / fluid dynamical	Repository-induced flow	
13		Effects of gas generation	
14		Thermal effects	
15	Geochemical / chemical	Gas generation	Microbial gas generation
16			Corrosion
17			Radiolytic gas generation
18		Chemical speciation	
19		Precipitation / dissolution	
20		Sorption	
21		Redox chemistry	
22		Organic complexation	
23		Exothermic reactions	
24		Chemical effects on material properties	
25	Contaminant transport mode	Solute transport	
26		Colloid transport	
27		Particulate transport	
28		Microbial transport	
29		Gas transport	
30	Contaminant transport process	Advection	
31		Diffusion	
32		Thermochemical transport phenomena	
33		Electrochemical transport phenomena	



**Table 3. Categorization scheme for the CCA FEP list (continued)**

		Physicochemical transport phenomena	
	Ecological	Plant, animal and soil uptake	
		Human uptake	
HUMAN ACTIONS	Geological	Drilling	
		Excavation activities	
		Subsurface explosions	Resource recovery
			Underground nuclear device testing
	Subsurface hydrological and geochemical	Borehole fluid flow	Drilling-induced flow
			Fluid extraction
			Fluid injection
			Flow through abandoned boreholes
		Excavation-induced flow	
		Explosion-induced flow	
	Geomorphological	Land use and disturbances	
	Surface hydrological	Water control and use	
	Climatic	Anthropogenic climate change	
	Marine	Marine activities	
	Ecological	Agricultural activities	
		Social and technological developments	

- FEPs relating to high-level waste, long-term behavior of canisters, bentonite backfill, etc. (classified as NR in the DCCA) have been eliminated from the CCA FEP list. These FEPs are included on the generic list to ensure compatibility with a range of design concepts, but they are not relevant to the current WIPP design or inventory.
- FEPs relating to issues such as hazardous metal transport, VOCs, and chemical toxicity, that are not regulated by 40 CFR Part 191 (classified as NR in the DCCA), have been eliminated from the CCA FEP list.
- Natural FEPs classified as not relevant (NR in the DCCA) that will clearly not affect the WIPP (e.g. marine processes) have been composited into a few generalized FEPs on the CCA FEP list. These FEPs are discussed and screened out on the basis of low consequence in the CCA.
- FEPs relating to constructional, operational and decommissioning errors (classified as RD in the DCCA) have been eliminated from the CCA FEP list. The DOE has



1 administrative and quality control procedures to ensure that the facility will be  
2 constructed, operated and decommissioned as specified in the CCA.

- 3
- 4 • FEPs relating to engineering design changes (classified as RE in the DCCA) have been  
5 eliminated from the CCA FEP list. Such FEPs are not relevant to a compliance  
6 application based on the current design.
- 7
- 8 • Detailed FEPs relating to processes in the surface environment have been composited  
9 into a small number of generalized FEPs in the CCA FEP list. The surface  
10 environment is a significant part of the disposal system for programs required to  
11 calculate dose or risk, and hence detailed FEPs relating to this environment are  
12 included in generic FEP lists. The surface environment is of less significance for the  
13 WIPP PA calculations, and less detail is appropriate in this part of the WIPP-specific  
14 CCA FEP list.
- 15
- 16 • A few FEPs have been re-named to highlight their relationship to key WIPP issues  
17 (e.g. wicking, brine inflow).
- 18

19 In the DCCA, the classification SO-C was generally restricted to “low consequence,” with the  
20 classifications NR and RF being used for “no consequence” and “positive consequence”  
21 respectively. In the CCA FEP list those FEPs classified as having a potentially beneficial  
22 effect on performance have been re-classified as SO-C. A number of FEPs previously  
23 classified as not relevant have also been re-classified as SO-C. These changes mean that the  
24 SO-C classification should be interpreted in the more general sense of “screened out on the  
25 basis of potential consequence.”

26

27 A major change between the DCCA FEP list and the CCA FEP list is the elimination of  
28 subsystems, and the consequent removal of duplicate FEPs. For example, the DCCA FEP list  
29 had separate entries for sorption in canisters, backfill, seals, the near-field and the far-field. In  
30 contrast, the CCA FEP list has a single FEP for sorption. If a FEP is included in performance  
31 assessment calculations for only part of the disposal system, the UP or DP classification is  
32 used in the summary tables, along with a note to this effect, and the text of Appendix SCR is  
33 used to present screening arguments for other parts of the disposal system.

34

35 The CCA FEP list is presented in Table 4, together with the current screening classification of  
36 each FEP. Appendix B provides a mapping between the CCA FEP list and the DCCA FEP  
37 list. This mapping shows how duplicate FEPs from different subsystems have been  
38 consolidated into single FEPs, and how detailed FEPs relating to environments of little  
39 significance to the WIPP have been consolidated. The mapping also shows how some general  
40 FEPs on the DCCA list, and FEPs listing a number of more detailed processes, have been  
41 mapped to a category or subcategory rather than to individual FEPs on the CCA list.

42

43 A number of FEPs on the CCA list do not have corresponding FEPs on the DCCA list. This  
44 does not imply that the DCCA list was not comprehensive, but does indicate that a site-  
45 specific FEP list may include greater detail for particular parts of the disposal system than  
46 generic FEP lists. This detail corresponds to features or issues of concern at a particular site.



1 Dissolution is thus treated in detail at the WIPP site, with a number of specific FEPs, whereas  
2 the generic list includes only a few general FEPs on this topic.

3  
4 Appendix C lists those FEPs in the DCCA FEP list that have been excluded because they  
5 relate to designs different to that forming the basis of the CCA, because they relate to issues  
6 such as chemical toxicity that are not regulated by 40 CFR Part 191, or because they are  
7 modeling issues rather than FEPs. Modeling issues are discussed elsewhere in the CCA (e.g.  
8 in Section 6.4 and Appendix MASS).

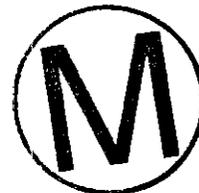
#### 9 10 **4 Comprehensiveness**

11  
12 Development of the CCA FEP list has taken place in parallel to the preparation of an  
13 international FEP list by an OECD/NEA Working Group<sup>2</sup>. The WIPP project is represented  
14 on the Working Group, and this has provided an assurance that the categorization scheme  
15 developed for the CCA is comparable with the international list. As noted above, the list of  
16 individual FEPs developed for a specific site is dependent to some degree on the particular  
17 features and issues of concern at that site. The OECD/NEA Working Group has not  
18 attempted, therefore, to define an international list of detailed FEPs.

- 19
- 20 • The stages in the development of the CCA FEP list that have built confidence in its  
21 comprehensiveness and appropriateness include:
  - 22
  - 23 • A compilation of 9 FEP lists developed in different countries and internationally was  
24 used as a starting point.
  - 25
  - 26 • An extension to WIPP through review of project literature.
  - 27
  - 28 • Formal presentations to the EPA (22-23 September 1994) and stakeholders  
29 (28 September 1994) of the initial FEP list and screening arguments.
  - 30
  - 31 • Extensive, formal, documented review of the DCCA within the project, by  
32 stakeholders, and by the EPA.
  - 33
  - 34 • Reduction of the DCCA FEP list in a documented, logical manner.
  - 35
  - 36 • Formal documented review of the CCA FEP list within the project.
  - 37
  - 38 • Participation in the OECD/NEA Working Group to Develop an International Database  
39 of FEPs.
  - 40

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<sup>2</sup>The international list will not be available before late 1996.



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**Table 4. The CCA FEP List and Screening Classifications**

FEPs	Screening Classification
NATURAL	
GEOLOGICAL	
Stratigraphy	UP
	Stratigraphy
	Brine reservoirs
	DP
Tectonics	SO-C
	Changes in regional stress
	Regional tectonics
	Regional uplift and subsidence
SO-C	
Structural FEPs	
Deformation	
	Salt deformation
	Diapirism
	SO-P
Fracture development	SO-P
	Formation of fractures
	Changes in fracture properties
	SO-C
Fault movement	
	Formation of new faults
	Fault movement
	SO-P
Seismic activity	SO-P
	Seismic activity
	UP
Crustal processes	
Igneous activity	
	Volcanic activity
	Magmatic activity
	SO-P
Metamorphism	SO-C
	Metamorphic activity
	SO-P
Geochemical FEPs	
Dissolution	
	Shallow dissolution
	Lateral dissolution
	Deep dissolution
	Solution chimneys
	Breccia pipes
	Collapse breccias
	SO-P
Mineralization	
	Fracture infills
	SO-C
SUBSURFACE HYDROLOGICAL	
Groundwater characteristics	
	Saturated groundwater flow
	UP
	Unsaturated groundwater flow
	UP
	Fracture flow
	UP
	Density effects on groundwater flow
	SO-C
	Effects of preferential pathways
	UP
Changes in groundwater flow	
	Thermal effects on groundwater flow
	SO-C
	Saline intrusion
	SO-P
	Freshwater intrusion
	SO-P
	Hydrological response to earthquakes
	SO-C
	Natural gas intrusion
	SO-P



**Table 4. The CCA FEP List and Screening Classifications (continued)**

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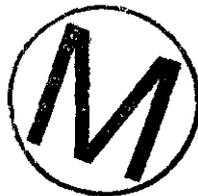
FEPs	Screening Classification
<b>SUBSURFACE GEOCHEMICAL</b>	
Groundwater geochemistry	
Groundwater geochemistry	UP
Changes in groundwater chemistry	
Saline intrusion	SO-C
Freshwater intrusion	SO-C
Changes in groundwater Eh	SO-C
Changes in groundwater pH	SO-C
Effects of dissolution	SO-C
<b>GEMORPHOLOGICAL</b>	
Physiography	
Physiography	UP
Meteorite impact	
Impact of a large meteorite	SO-P
Denudation	
Weathering	
Mechanical weathering	SO-C
Chemical weathering	SO-C
Erosion	
Aeolian erosion	SO-C
Fluvial erosion	SO-C
Mass wasting	SO-C
Sedimentation	
Aeolian deposition	SO-C
Fluvial deposition	SO-C
Lacustrine deposition	SO-C
Mass wasting	SO-C
Soil development	
Soil development	SO-C
<b>SURFACE HYDROLOGICAL</b>	
Fluvial	
Stream and river flow	SO-C
Lacustrine	
Surface water bodies	SO-C
Groundwater recharge and discharge	
Groundwater discharge	UP
Groundwater recharge	UP
Infiltration	UP
Changes in surface hydrology	
Changes in groundwater recharge and discharge	UP
Lake formation	SO-C
River flooding	SO-C
<b>CLIMATIC</b>	
Climate	
Precipitation (e.g. rainfall)	UP
Temperature	UP



**Table 4. The CCA FEP List and Screening Classifications (continued)**

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FEPs	Screening Classification
Climate change	
Meteorological	
Climate change	UP
Glaciation	
Glaciation	SO-P
Permafrost	SO-P
<b>MARINE</b>	
Seas	
Seas and oceans	SO-C
Estuaries	SO-C
Marine sedimentology	
Coastal erosion	SO-C
Marine sediment transport and deposition	SO-C
Sea level changes	
Sea level changes	SO-C
<b>ECOLOGICAL</b>	
Flora & fauna	
Plants	SO-C
Animals	SO-C
Microbes	SO-C
Changes in flora & fauna	
Natural ecological development	SO-C
<b>WASTE- AND REPOSITORY-INDUCED</b>	
<b>WASTE AND REPOSITORY CHARACTERISTICS</b>	
Repository characteristics	
Disposal geometry	UP
Waste characteristics	
Waste inventory	UP
Heterogeneity of waste forms	DP
Container characteristics	
Container form	SO-C
Container material inventory	UP
Seal characteristics	
Seal geometry	UP
Seal physical properties	UP
Seal chemical composition	SO-C
Backfill characteristics	
Backfill physical properties	SO-C
Backfill chemical composition	UP
Postclosure monitoring	
Postclosure monitoring	SO-C
<b>RADIOLOGICAL</b>	
Radioactive decay	
Radionuclide decay and ingrowth	UP
Heat from radioactive decay	
Heat from radioactive decay	SO-C
Nuclear criticality	
Nuclear Criticality: heat	SO-P



**Table 4. The CCA FEP List and Screening Classifications (continued)**

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FEPs	Screening Classification
Radiological effects on material properties	
Radiological effects on waste	SO-C
Radiological effects on containers	SO-C
Radiological effects on seals	SO-C
<b>GEOLOGICAL / MECHANICAL</b>	
Excavation-induced fracturing	
Disturbed rock zone	UP
Excavation-induced changes in stress	UP
Rock creep	
Salt creep	UP
Changes in the stress field	UP
Roof falls	
Roof falls	UP
Subsidence	
Subsidence	SO-C
Large scale rock fracturing	SO-P
Effects of fluid pressure change	
Disruption due to gas effects	UP
Pressurization	UP
Effects of explosions	
Gas explosions	UP
Nuclear explosions	SO-P
Thermal effects	
Thermal effects on material properties	SO-C
Thermally-induced stress changes	SO-C
Differing thermal expansion of repository components	SO-C
Mechanical effects on material properties	
Consolidation of waste	UP
Movement of containers	SO-C
Container integrity	SO-C
Mechanical effects of backfill	SO-C
Consolidation of seals	UP
Mechanical degradation of seals	UP
Investigation boreholes	SO-C
Underground boreholes	UP
<b>SUBSURFACE HYDROLOGICAL / FLUID DYNAMICAL</b>	
Repository-induced flow	
Brine inflow	UP
Wicking	UP
Effects of gas generation	
Fluid flow due to gas production	UP
Thermal effects	
Convection	SO-C
<b>GEOCHEMICAL / CHEMICAL</b>	
Gas generation	
Microbial gas generation	
Degradation of organic material	UP
Effects of temperature on microbial gas generation	UP
Effects of pressure on microbial gas generation	SO-C
Effects of radiation on microbial gas generation	SO-C



**Table 4. The CCA FEP List and Screening Classifications (continued)**

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FEPs	Screening Classification	
	Effects of biofilms on microbial gas generation	UP
Corrosion	Gases from metal corrosion	UP
	Galvanic coupling	SO-P
	Chemical effects of corrosion	UP
Radiolytic gas generation	Radiolysis of brine	SO-C
	Radiolysis of cellulose	SO-C
	Helium gas production	SO-C
	Radioactive gas	SO-C
Chemical speciation	Speciation	UP
	Kinetics of speciation	SO-C
Precipitation / dissolution	Dissolution of waste	UP
	Precipitation	SO-C
	Kinetics of precipitation / dissolution	SO-C
Sorption reactions	Actinide sorption	UP
	Kinetics of sorption	UP
	Changes in sorptive surfaces	UP
Redox chemistry	Effect of metal corrosion	UP
	Redox fronts	SO-P
	Redox kinetics	UP
	Localized reducing zones	SO-C
Organic complexation	Organic complexation	SO-C
	Organic ligands	SO-C
	Humic and fulvic acids	UP
	Kinetics of organic complexation	SO-C
Exothermic reactions	Exothermic reactions	SO-C
	Concrete hydration	SO-C
Chemical effects on material properties	Chemical degradation of seals	UP
	Chemical degradation of backfill	SO-C
	Microbial growth on concrete	UP
<b>CONTAMINANT TRANSPORT MODE</b>		
Solute transport	Solute transport	UP



Table 4. The CCA FEP List and Screening Classifications (continued)

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FEPs	Screening Classification
Colloid transport	
Colloid transport	UP
Colloid formation and stability	UP
Colloid filtration	UP
Colloid sorption	UP
Particulate transport	
Suspensions of particles	DP
Rinse	SO-C
Cuttings	DP
Cavings	DP
Spallings	DP
Microbial transport	
Microbial transport	UP
Biofilms	SO-C
Gas transport	
Transport of radioactive gases	SO-C
<b>CONTAMINANT TRANSPORT PROCESS</b>	
Advection	
Advection	UP
Diffusion	
Diffusion	UP
Matrix diffusion	UP
Thermochemical transport phenomena	
Soret effect	SO-C
Electrochemical transport phenomena	
Electrochemical effects	SO-C
Galvanic coupling	SO-P
Electrophoresis	SO-C
Physicochemical transport phenomena	
Chemical gradients	SO-C
Osmosis	SO-C
Alpha recoil	SO-C
Enhanced diffusion	SO-C
<b>ECOLOGICAL</b>	
Plant, animal and soil uptake	
Plant uptake	SO-R
Animal uptake	SO-R
Accumulation in soils	SO-C
Human uptake	
Ingestion	SO-R
Inhalation	SO-R
Irradiation	SO-R
Dermal sorption	SO-R
Injection	SO-R



Table 4. The CCA FEP List and Screening Classifications (continued)

FEPs	Screening Classification	
	Historical, ongoing, and near future	Future
<b>HUMAN ACTIONS</b>		
<b>GEOLOGICAL</b>		
Drilling		
	Oil and gas exploration	SO-C DP
	Potash exploration	SO-C DP
	Water resources exploration	SO-C SO-C
	Oil and gas exploitation	SO-C DP
	Groundwater exploitation	SO-C SO-C
	Archeological investigations	SO-R SO-R
	Geothermal	SO-R SO-R
	Other resources	SO-C DP
	Enhanced oil and gas recovery	SO-C DP
	Liquid waste disposal	SO-R SO-R
	Hydrocarbon storage	SO-R SO-R
	Deliberate drilling intrusion	SO-R SO-R
Excavation activities		
	Potash mining	UP DP
	Other resources	SO-C SO-R
	Tunneling	SO-R SO-R
	Construction of underground facilities	SO-R SO-R
	Archeological excavations	SO-C SO-R
	Deliberate mining intrusion	SO-R SO-R
Subsurface explosions		
Resource recovery		
	Explosions for resource recovery	SO-C SO-R
Underground nuclear device testing		
	Underground nuclear device testing	SO-C SO-R
<b>SUBSURFACE HYDROLOGICAL AND GEOCHEMICAL</b>		
Borehole fluid flow		
Drilling-induced flow		
	Drilling fluid flow	SO-C DP
	Drilling fluid loss	SO-C DP
	Blowouts	SO-C DP
	Drilling-induced geochemical changes	UP DP
Fluid extraction		
	Oil and gas extraction	SO-C SO-R
	Groundwater extraction	SO-C SO-R
Fluid injection		
	Liquid waste disposal	SO-C SO-R
	Enhanced oil and gas production	SO-C SO-R
	Hydrocarbon storage	SO-C SO-R
	Fluid injection-induced geochemical changes	UP SO-R
Flow through abandoned boreholes		
	Natural borehole fluid flow	SO-C DP
	Waste-induced borehole flow	SO-R DP
	Flow through undetected boreholes	SO-P NA
	Borehole-induced solution and subsidence	SO-C SO-C
	Borehole-induced mineralization	SO-C SO-C
	Borehole-induced geochemical changes	UP DP



**Table 4. The CCA FEP List and Screening Classifications (continued)**

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FEPs	Screening Classification		
	Historical, ongoing, and near future	Future	
Excavation-induced flow			
	Changes in groundwater flow due to mining	UP	DP
	Changes in geochemistry due to mining	SO-C	SO-R
Explosion-induced flow			
	Changes in groundwater flow due to explosions	SO-C	SO-R
<b>GEOMORPHOLOGICAL</b>			
Land use and disturbances			
	Land use changes	SO-R	SO-R
	Surface disruptions	SO-C	SO-R
<b>SURFACE HYDROLOGICAL</b>			
Water control and use			
	Damming of streams or rivers	SO-C	SO-R
	Reservoirs	SO-C	SO-R
	Irrigation	SO-C	SO-R
	Lake usage	SO-R	SO-R
	Altered soil or surface water chemistry by human activities	SO-C	SO-R
<b>CLIMATIC</b>			
Anthropogenic climate change			
	Greenhouse gas effects	SO-R	SO-R
	Acid rain	SO-R	SO-R
	Damage to the ozone layer	SO-R	SO-R
<b>MARINE</b>			
Marine activities			
	Coastal water use	SO-R	SO-R
	Sea water use	SO-R	SO-R
	Estuarine water use	SO-R	SO-R
<b>ECOLOGICAL</b>			
Agricultural activities			
	Ranching	SO-C	SO-R
	Arable farming	SO-C	SO-R
	Fish farming	SO-R	SO-R
Social and technological developments			
	Demographic change and urban development	SO-R	SO-R
	Loss of records	NA	DP

**Legend:**

- UP FEPs accounted for in the assessment calculations for undisturbed performance for 40 CFR § 191.13 (as well as 40 CFR § 191.15 and Subpart C of 40 CFR Part 191).
- DP FEPs accounted for (in addition to all UP FEPs) in the assessment calculations for disturbed performance for 40 CFR § 191.13.
- SO-R FEPs eliminated from performance assessment calculations on the basis of regulations provided in 40 CFR Part 191 and criteria provided in 40 CFR Part 194.
- SO-C FEPs eliminated from performance assessment (and compliance assessment) calculations on the basis of consequence.
- SO-P FEPs eliminated from performance assessment (and compliance assessment) calculations on the basis of low probability of occurrence.



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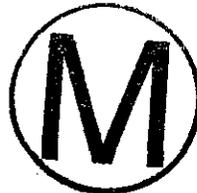
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## APPENDIX A: DCCA FEP LIST BY CATEGORY

This Appendix presents the complete list of FEPs developed as part of the Draft Compliance Certification Application (DCCA). They are categorized according to the following sub-systems:

- (1) Waste
- (2) Canister
- (3) Backfill
- (4) Seal systems
- (5) Near-field
- (6) Far-field
- (7) Biosphere
- (8) Geology/climate changes
- (9) Human influences



1 **WASTE**

2  
3 **1.1 Waste: characteristics (initial)**

- 4 Inventory: radionuclides  
5 Inventory: hazardous constituents (e.g. VOCs,  
6 heavy metals)  
7 Long-term physical stability  
8 Heterogeneity of waste forms (chemical, physical)  
9 Stability of glass  
10 Teratogenic contaminants

11 **1.2 Waste: radionuclide decay and ingrowth**

- 12 Waste: radionuclide decay and ingrowth

13 **1.3 Waste: radiological/radiation effects**

- 14 Radiolysis  
15 Recoil of alpha-decay  
16 Release of stored energy  
17 Nuclear criticality (preclosure).  
18 Nuclear criticality (postclosure)  
19 Radiation damage of the matrix including embrittlement

20 **1.4 Waste: gas generation and effects**

- 21 Gas generation: He production  
22 Methane and carbon dioxide by microbial degradation of  
23 cellulose and other organic wastes  
24 Active methane, carbon dioxide, radon, tritiated  
25 hydrogen and other active gases  
26 Hydrogen by metal corrosion  
27 Gas effects: pressurization  
28 Gas effects: disruption  
29 Gas effects: explosions  
30 Gas effects: fire  
31 Chemical changes due to gas production  
32 Hydrogen: effects of microbial growth on concrete  
33 Methane/CO<sub>2</sub> production: aerobic degradation  
34 Methane/CO<sub>2</sub> production: effects of temperature  
35 Methane/CO<sub>2</sub> production: effects of lithostatic pressure  
36 Methane/CO<sub>2</sub> production: energy and nutrient control of  
37 metabolism  
38 Methane/CO<sub>2</sub> production: effects of radiation on  
39 microbial populations  
40 Microbiological effects due to cellulose degradation  
41 Gas generation from concrete  
42 Methane/CO<sub>2</sub> production: anaerobic production  
43 Methane/CO<sub>2</sub> production: Inhibition due to the pressure of  
44 toxic materials  
45 Methane/CO<sub>2</sub> production: Effects of biofilms  
46 Methane/CO<sub>2</sub> production: Carbonate/bicarbonate  
47 exchange with concrete  
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## WASTE (Continued)

### 1.5 Waste: heat generation

- Radioactive decay: heat
- Nuclear criticality: heat
- Material property changes: heat

### 1.6 Waste: thermomechanical effects

- Thermal cracking
- Material property changes
- Differing thermal expansion of glass matrix and canister

### 1.7 Waste: thermochemical effects

- Thermally induced chemical changes (water chemistry)

### 1.8 Waste: electrochemical effects

- Electrochemical gradients
- Electrical effects of metal corrosion
- Galvanic coupling

### 1.9 Waste: degradation/corrosion/dissolution

- Dissolution
- Precipitation
- Source terms
- Source terms (hazardous constituents)
- Degradation of plastics and cellulose
- Release of sorbed VOCs
- Metal corrosion: wastes
- Leaching: wastes
- Rinse
- Internal corrosion due to waste
- Fracturing
- External stress

### 1.10 Waste: geochemical reactions/regime

- Chemical gradients, osmosis
- Chemical kinetics
- Complex formation: wastes
- Chemical changes due to metal corrosion
- Chemical changes due to gas production
- Chemical effects: geochemical change
- Recrystallization
- Redox potential
- Dissolution chemistry
- Interactions with corrosion products and waste
- Solubility with fuel matrix

### 1.11 Waste: radionuclide and contaminant chemistry

- Speciation
- Complex formation: wastes
- Solubility within fuel matrix
- Recrystallization
- Solubility
- Precipitation
- Solubility, speciation, precipitation: hazardous constituents



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## **WASTE (Continued)**

### **1.12 Waste: Others**

- Colloid formation: wastes
- Damaged or deviating waste contents
- Role of eventual channelling within the canister
- I, Cs-migration to fuel surface
- Boundary conditions
- Correlation
- Sudden energy release
- Waste incompatibility
- Design modifications: waste (e.g. buffer additives)
- Nuclear criticality: explosions
- Capillary action

## **CANISTER**

### **2.1 Canister: materials/construction**

- Inventory

### **2.2 Canister: corrosion/degradation processes**

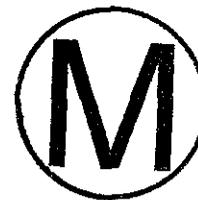
- Container failure (early)
- Container failure (long term)
- Container healing
- Corrosion (including partial corrosion)
- Pitting
- Radiation damage to container (embrittlement)
- Uniform corrosion
- Structural container metal corrosion: localized
- Structural container metal corrosion: bulk
- Structural container metal corrosion: crevice
- Structural container metal corrosion: stress corrosion cracking
- Chemical changes due to metal corrosion
- Chemical reactions (copper corrosion)
- Role of chlorides in copper corrosion
- Corrosive agents, sulphides, oxygen, etc.
- Backfill effects on container corrosion
- Swelling of corrosion products

### **2.3 Canister: gas generation and effects**

- Hydrogen: corrosion of container steel
- Gas transport in the waste container
- Gas effects: pressurization
- Gas effects: disruption
- Gas effects: explosions
- Gas effects: fire

### **2.4 Canister: microbiological effects/microbial activity**

- Canister: microbiological effects/microbial activity



1 **CANISTER (Continued)**

2  
3 **2.5 Canister: thermomechanical effects**

- 4 Differing thermal expansion of canister and backfill  
5 Thermal cracking  
6 Differing thermal expansion of materials (glass, canister)

7 **2.6 Canister: electrochemical effects**

- 8 Electrochemical gradients  
9 Coupled effects (electrophoresis)  
10 Natural telluric electrochemical reactions  
11 Electrochemical cracking  
12 Galvanic coupling

13 **2.7 Canister: stress/mechanical effects**

- 14 Canister movement  
15 Mechanical canister damage (failure)  
16 Creeping of copper  
17 Stress corrosion cracking  
18 Loss of ductility  
19 Cracking along welds  
20 External stress  
21 Hydrostatic pressure on canister  
22 Internal pressure  
23 Swelling of corrosion products  
24 Hydride cracking

25 **2.8 Canister: geochemical reactions/regime**

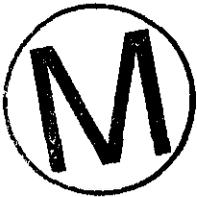
- 26 Chemical kinetics  
27 Container corrosion products  
28 Precipitation  
29 Dissolution  
30 Speciation of corrosion products (include in water  
31 chemistry)  
32 Chemical effects: Interactions of waste canister and rock  
33 Chemical gradients (electrochemical effects and osmosis)

34 **2.9 Canister: Radionuclide and contaminant transport through containers**

- 35 Release of radionuclides from the failed canister  
36 Release of hazardous constituents from the failed  
37 canister

38 **2.10 Canister: others**

- 39 Channelling within the canister (preferential pathways)  
40 Radiation effects on canister  
41 Random canister defects - quality control  
42 Common cause canister defects - quality control  
43 Material defects, e.g. early canister failure  
44 Incomplete filling of canisters  
45 Boundary conditions  
46 Correlation  
47 Time dependence  
48 Design modifications: canister  
49 Nuclear criticality: explosions  
50



1           **BACKFILL**

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3           **3.1 Backfill: characteristics**

- 4           Backfill characteristics (e.g. hydraulic conductivity)
- 5           Long-term physical stability
- 6           Buffer additives

7           **3.2 Backfill: resaturation/desaturation**

- 8           Backfill: resaturation/desaturation

9           **3.3 Backfill: mechanical effects**

- 10          Preferential pathways in the backfill
- 11          Mechanical effects: local fractures/cracks (preferential
- 12            pathways)
- 13          Mechanical failure of backfill (preferential pathways)
- 14          Swelling pressure
- 15          Movement of canister in backfill
- 16          Uneven swelling of bentonite
- 17          Swelling of corrosion products
- 18          Cracking: concrete
- 19          Sealing of cracks: concrete
- 20          External stress

21          **3.4 Backfill: thermal effects**

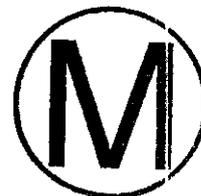
- 22          Convection (contaminant transport)
- 23          Hydrothermal alteration
- 24          Variations in groundwater temperature
- 25          Differing thermal expansion (canister-backfill,
- 26            buffer-host rock)
- 27          Thermal effects on the backfill material
- 28          Soret effect
- 29          Natural thermal effects
- 30          Thermal effects (e.g. concrete hydration)
- 31          Thermochemical effects

32          **3.5 Backfill: electrochemical effects**

- 33          Natural telluric electrochemical reactions

34          **3.6 Backfill: gas effects and transport**

- 35          Groundwater flow due to gas production
- 36          Gas transport in the near field as gas phase and in
- 37            solution
- 38          Chemical effects: gas generation
- 39          Transport of active gases
- 40          Methane/CO<sub>2</sub> production
- 41          Effects of hydrogen from metal corrosion
- 42          Gas effects: pressurization
- 43          Gas effects: disruption
- 44          Gas effects: explosions
- 45          Gas effects: fire
- 46          Methane/CO<sub>2</sub> production: effects of hydrogen from metal
- 47            corrosion
- 48          Gas generation from concrete
- 49
- 50



1 **BACKFILL (Continued)**

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3 **3.7 Backfill: microbiological effects/microbial activity**

- 4 Backfill: microbiological effects/microbial activity  
5 Hydrogen: effects of microbial growth on concrete

6 **3.8 Backfill: degradation**

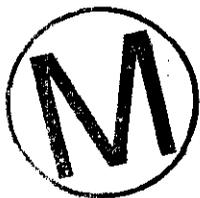
- 7 Degradation of the bentonite by chemical reactions  
8 Coagulation of bentonite  
9 Radiation effects on bentonite  
10 Erosion of backfill  
11 Alkali-aggregate reaction

12 **3.9 Backfill: geochemical regime**

- 13 Chemical gradients  
14 Chemical kinetics  
15 Precipitation  
16 Dissolution  
17 Chemical changes due to waste degradation  
18 Chemical changes due to gas production  
19 Chemical changes due to complex formation  
20 Chemical changes due to colloid production  
21 Chemical changes due to sorption  
22 Chemical changes due to speciation  
23 Isotopic dilution  
24 Chemical changes due to corrosion  
25 Saturation of sorption sites  
26 Effects of bentonite on groundwater chemistry  
27 Reactions with cement pore water (include in chemical  
28 degradation)  
29 Redox front  
30 Thermochemical changes  
31 Saline groundwater intrusion  
32 Effects at saline-freshwater interface  
33 Natural changes in groundwater flow direction  
34 Biogeochemical changes  
35 Exchange capacity exceeded  
36 Cement sulphate reaction

37 **3.10 Backfill: Radionuclide and contaminant transport processes**

- 38 Groundwater and gas flow  
39 Advection/dispersion: radionuclides  
40 Advection/dispersion: hazardous constituents  
41 Diffusion: radionuclides  
42 Diffusion: hazardous constituents  
43 Unsaturated transport  
44 Transport of chemically active substances into the  
45 near-field  
46 Transport of radionuclides bound to microbes  
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1 **BACKFILL (Continued)**

2  
3 **3.11 Backfill: radionuclide and contaminant chemistry**

- 4 Precipitation, reconcentration  
5 Recrystallization  
6 Dissolution  
7 Sorption (linear, nonlinear, irreversible)  
8 Speciation  
9 Solubility effects (pH and Eh, ionic strength, complexing  
10 agents, colloids)  
11 Dissolution, speciation, sorption, precipitation;  
12 hazardous constituents  
13 Sorption effects (pH and Eh, ionic strength, complexing  
14 agents, colloids)  
15 Changes in sorptive surfaces  
16 Radiolysis

17 **3.12 Backfill: others**

- 18 Faulty backfill emplacement  
19 Colloid transport (inorganic and organic)  
20 Extreme channel flow of oxidants and nuclides  
21 (preferential pathways)  
22 Inadequate backfill or compaction, voidage  
23 Anion exchange  
24 Groundwater flow: initial conditions  
25 Backfill material deficiencies  
26 Boundary conditions  
27 Correlation  
28 Time dependence  
29 Nuclear criticality: explosions  
30 Nuclear criticality: heat  
31 Design modifications: backfill  
32 Capillary action  
33  
34

35 **SEALS**

36  
37 **4.1 Seals: characteristics**

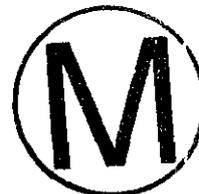
- 38 Seal characteristics (e.g. hydraulic conductivity)  
39 Long-term physical stability  
40 Concrete  
41 Buffer additives

42 **4.2 Seals: resaturation/desaturation**

- 43 Seals: resaturation/desaturation

44 **4.3 Seals: mechanical effects**

- 45 Preferential pathways in the seals  
46 Mechanical effects: local fractures/cracks (preferential  
47 pathways)  
48 Mechanical failure of seals (preferential pathways)  
49 Swelling pressure  
50  
51



## SEALS (Continued)

External stress  
Movement of canister  
Uneven swelling of bentonite  
Swelling of corrosion products  
Cracking: concrete  
Sealing of cracks: concrete

### 4.4 Seals: thermal effects

Convection (contaminant transport)  
Hydrothermal alteration  
Variations in groundwater temperature  
Differing thermal expansion (canister-seal, buffer-host rock)  
Thermal effects on the seal material  
Soret effect  
Natural thermal effects  
Thermal effects (e.g. concrete hydration)  
Thermochemical effects

### 4.5 Seals: electrochemical effects

Natural telluric electrochemical reactions

### 4.6 Seals: gas effects and transport

Groundwater flow due to gas production  
Gas transport in the near field as gas phase and in solution  
Chemical effects: gas generation  
Transport of active gases  
Methane/CO<sub>2</sub> production  
Effects of hydrogen from metal corrosion  
Gas effects: pressurization  
Gas effects: disruption  
Gas effects: explosions  
Gas effects: fire  
Methane/CO<sub>2</sub> production: effects of hydrogen from metal corrosion  
Gas generation from concrete

### 4.7 Seals: microbiological effects/microbial activity

Seal: microbiological effects/microbial activity  
Hydrogen: effects of microbial growth on concrete

### 4.8 Seals: degradation

Degradation of the bentonite by chemical reactions  
Coagulation of bentonite  
Radiation effects on bentonite  
Erosion of seals  
Alkali-aggregate reaction

### 4.9 Seals: geochemical regime

Chemical gradients  
Chemical kinetics  
Precipitation



## SEALS (Continued)

1  
2  
3 Dissolution  
4 Chemical changes due to waste degradation  
5 Chemical changes due to gas production  
6 Chemical changes due to complex formation  
7 Chemical changes due to colloid production  
8 Chemical changes due to sorption  
9 Chemical changes due to speciation  
10 Isotopic dilution  
11 Chemical changes due to corrosion  
12 Saturation of sorption sites  
13 Effects of bentonite on groundwater chemistry  
14 Reactions with cement pore water (include in chemical  
15 degradation)  
16 Redox front  
17 Thermochemical changes  
18 Saline groundwater intrusion  
19 Effects at saline-freshwater interface  
20 Natural changes in groundwater flow direction  
21 Biogeochemical changes  
22 Exchange capacity exceeded  
23 Cement sulphate reaction

### 4.10 Seals: Radionuclide and contaminant transport processes

24  
25 Groundwater and gas flow  
26 Advection/dispersion: radionuclides  
27 Advection/dispersion: hazardous constituents  
28 Diffusion: radionuclides  
29 Diffusion: hazardous constituents  
30 Unsaturated transport  
31 Transport of chemically active substances into the  
32 near-field  
33 Transport of radionuclides bound to microbes

### 4.11 Seals: radionuclide and contaminant chemistry

34  
35 Precipitation, reconcentration  
36 Sorption (linear, nonlinear, irreversible)  
37 Speciation  
38 Solubility effects (pH and Eh, ionic strength, complexing  
39 agents, colloids)  
40 Sorption effects (pH and Eh, ionic strength, complexing  
41 agents, colloids)  
42 Changes in sorptive surfaces  
43 Radiolysis  
44 Dissolution  
45 Recrystallization  
46 Dissolution, speciation, sorption, precipitation; hazardous  
47 constituents  
48  
49  
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1 **SEALS (Continued)**

2  
3 **4.12 Seals: others**

- 4 Faulty seal emplacement  
5 Colloid transport (inorganic and organic)  
6 Extreme channel flow of oxidants and nuclides  
7 (preferential pathways)  
8 Inadequate seal or compaction, voidage  
9 Anion exchange  
10 Groundwater flow: initial conditions  
11 Seal material deficiencies  
12 Boundary conditions  
13 Investigation borehole seal failure/degradation  
14 Shaft seal failure/degradation  
15 Design modifications: seals  
16 Correlation  
17 Time dependence  
18 Nuclear criticality: explosions  
19 Nuclear criticality: heat  
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22 **NEAR-FIELD**

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24 **5.1 Near-field rock: elements/materials**

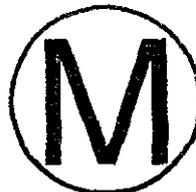
- 25 Disposal geometry  
26 Rock properties (porosity, permeability, hydraulic head,  
27 conductivity)  
28 Colloids

29 **5.2 Near-field rock: degradation**

- 30 Rock property changes (hydraulic conductivity, fractures,  
31 pore blocking, channel formation/closure)  
32 Creeping of rock mass  
33 Caving/roof collapse  
34 Physico-chemical degradation of concrete

35 **5.3 Near-field rock: hydraulic effects/groundwater flow**

- 36 Unsaturated transport  
37 Groundwater flow due to gas production  
38 Groundwater flow (saturated conditions, including  
39 fracture flow)  
40 Groundwater flow, effects of solution channels  
41 (preferential pathways)  
42 Repository thermally-induced groundwater transport  
43 Naturally thermally-induced groundwater transport  
44 Thermo-hydro-mechanical effects  
45 Resaturation  
46 Disturbed zone (hydromechanical) effects  
47 Natural changes in groundwater chemistry and flow  
48 direction  
49  
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1                   **NEAR-FIELD (Continued)**  
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3                   Repository-induced changes in groundwater flow  
4                   direction

5                   **5.4 Near-field rock: mechanical effects**

6                   Formation of cracks  
7                   Changes in in-situ stress field  
8                   Changes in moisture content due to stress relief  
9                   Differential elastic response  
10                  Non-elastic response  
11                  Repository-induced seismicity  
12                  Externally-induced seismicity  
13                  Differing thermal expansion of host rock zones  
14                  Uneven swelling of bentonite  
15                  Thermally-induced stress/fracturing in host rock  
16                  Excavation-induced stress/fracturing in host rock

17                  **5.5 Near-field rock: thermal effects**

18                  Convection  
19                  Hydrothermal alteration  
20                  Variations in groundwater temperature  
21                  Thermal effects (e.g. concrete hydration)  
22                  Thermal effects and transport (diffusion) properties  
23                  Thermal effects on hydrochemistry  
24                  Thermal differential elastic response  
25                  Thermal non-elastic response

26                  **5.6 Near-field rock: gas effects and transport**

27                  Gas effects: pressurization  
28                  Gas effects: disruption  
29                  Gas effects: explosions  
30                  Gas effects: fire  
31                  Gas transport in the near field as gas phase and in  
32                  solution  
33                  Methane/CO<sub>2</sub> production: effects of microbial growth on  
34                  properties of concrete  
35                  Accumulation of gases under permafrost  
36                  Methane intrusion  
37                  Transport of active gases  
38                  Methane CO<sub>2</sub> production: effects of lithostatic pressure  
39                  Methane CO<sub>2</sub> production: effects of hydrogen from  
40                  metal corrosion  
41                  Methane CO<sub>2</sub> production: effects of radiation on  
42                  microbial populations  
43                  Methane and CO<sub>2</sub> production: energy and nutrient  
44                  control of metabolism

45                  **5.7 Near-field rock: microbiological/biological activity**

46                  Natural microbial activity  
47                  Transport of microbes into the near-field  
48                  Rock property changes: microbial pore blocking  
49                  Biogeochemical changes  
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1 **NEAR-FIELD (Continued)**

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3 **5.8 Near-field rock: geochemical regime**

4 Chemical gradients  
5 Chemical kinetics  
6 Pore blockage: concrete  
7 Cement-sulphate reaction: concrete  
8 Changes in pore water composition, pH, Eh: concrete  
9 Chemical changes due to colloid production (chemical  
10 changes)  
11 Chemical changes due to sorption (chemical changes)  
12 Chemical changes due to speciation (chemical changes)  
13 Fracture mineralization  
14 Fluid interactions: dissolution  
15 Chemical effects: interactions of waste canister and rock  
16 Physico-chemical phenomena/effects (e.g. colloid  
17 formation)  
18 Reconcentration  
19 Thermochemical changes  
20 Chemical effects of rock reinforcement  
21 Saline (or fresh) groundwater intrusion  
22 Effects at saline-freshwater interface  
23 Non-radioactive solute plume in geosphere (effect on  
24 redox, effect on pH, sorption)  
25 Physico-chemical degradation of concrete  
26 Changes in groundwater flow direction

27 **5.9 Near-field rock: radionuclide and contaminant chemistry**

28 Precipitation, reconcentration  
29 Dissolution  
30 Recrystallization  
31 Sorption (linear, nonlinear, irreversible)  
32 Speciation  
33 Dissolution, speciation, sorption, precipitation; hazardous  
34 constituents  
35 Solubility effects (pH and Eh, ionic strength, complexing  
36 agents, colloids)  
37 Sorption effects (pH and Eh, ionic strength, complexing  
38 agents, colloids)  
39 Changes in sorptive surfaces  
40 Dilution (mass, isotopic, species)

41 **5.10 Near-field rock: Radionuclide and contaminant transport processes**

42 Groundwater and gas flow  
43 Advection/dispersion: radionuclides  
44 Advection/dispersion: hazardous constituents  
45 Diffusion: radionuclides  
46 Diffusion: hazardous constituents  
47 Soret effect  
48 Transport of radionuclides bound to microbes  
49 Colloid transport  
50  
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1           **NEAR-FIELD (Continued)**

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3           **5.11 Near-field rock: others**

- 4           Incomplete repository or borehole closure  
5           Unmodeled design features  
6           Inadequate design: shaft seal and exploration borehole  
7           seal failure  
8           Open boreholes  
9           Extreme channel flow of oxidants and nuclides  
10          (preferential pathways)  
11          Poor quality construction  
12          Abandonment of unsealed repository  
13          Effects of phased operations  
14          Repository flooding during operations  
15          Dehydration of salt minerals  
16          Release of stored energy  
17          Nuclear criticality: heat  
18          Methylation  
19          Cavitation  
20          Improper operation  
21          Monitoring and remedial activities  
22          Preclosure events  
23          Retrievability  
24          Blasting and vibration  
25          Design modification: geometry  
26          Design modification: DRZ (e.g. grouting)  
27          Accidents during operation  
28          Mutation  
29          Boundary conditions  
30          Correlation  
31          Time-dependence  
32          Sabotage  
33          Nuclear criticality: explosions

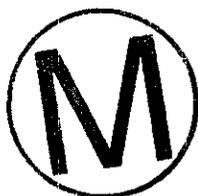
34  
35  
36           **FAR-FIELD**

37  
38           **6.1 Rock properties**

- 39           Rock properties (porosity, permeability, discharge zones,  
40           fractures)

41           **6.2 Hydrogeological effects**

- 42           Natural rock property changes (porosity, permeability,  
43           fractures, pore blocking)  
44           Dewatering  
45           Geothermal gradient effects  
46           Salinity effects on flow  
47           Saturated groundwater flow  
48           Variations in groundwater temperature  
49           Gas-induced groundwater transport  
50  
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1 **FAR-FIELD (Continued)**

2  
3 Groundwater recharge  
4 Thermal effects: fluid pressure, density, viscosity  
5 changes  
6 Thermal effects: fluid migration  
7 Saline groundwater intrusion  
8 Fresh groundwater intrusion  
9 Groundwater conditions (saturated/unsaturated)  
10 Changes in geometry of the flow system  
11 Changes in driving forces of the flow system  
12 Changes in groundwater flow direction  
13 Borehole - well

14 **6.3 Physical/mechanical effects**

15 Repository-induced seismicity  
16 Externally-induced seismicity  
17 Fault activation  
18 Differential elastic response  
19 Subsidence  
20 Non-elastic response

21 **6.4 Thermal effects**

22 Geothermal gradient effects  
23 Thermal differential elastic response  
24 Thermal non-elastic response

25 **6.5 Gas effects and transport**

26 Gas transport into and through the far-field (gas phase  
27 and in solution)  
28 Multiphase flow and gas-driven flow  
29 Effects of natural gases  
30 Transport of active gases

31 **6.6 Microbiological/biological activity**

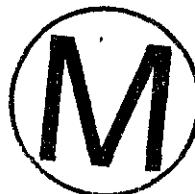
32 Microbial activity  
33 Transport of radionuclides bound to microbes  
34 Biogeochemical changes

35 **6.7 Geochemical regime**

36 Groundwater composition changes (pH, Eh, chemical  
37 composition)  
38 Fracture mineralization  
39 Weathering, mineralization  
40 Dissolution of fracture fillings, precipitation  
41 Far field hydrochemistry - acids, oxidants, nitrates  
42 Effects at saline-freshwater interface  
43 Chemical gradients (electrochemical effects and osmosis)  
44 Non-radioactive solute plume in geosphere (effect on  
45 redox, effect on pH, sorption)  
46 Salinity: implications of evaporite deposits/minerals

47 **6.8 Radionuclide and contaminant chemistry**

48 Complexation by organics (including humic and fulvic  
49 acids)  
50  
51



1 **FAR-FIELD (Continued)**

- 2  
3 Precipitation, dissolution, recrystallization,  
4 reconcentration  
5 Sorption (linear, nonlinear, irreversible)  
6 Speciation  
7 Solubility effects (pH and Eh, ionic strength, complexing  
8 agents, colloids)  
9 Sorption effects (pH and Eh, ionic strength, complexing  
10 agents, colloids)  
11 Changes in sorptive surfaces  
12 Dilution (mass, isotopic, species)

13 **6.9 Radionuclide and contaminant transport processes**

- 14 Groundwater flow, advection/dispersion (saturated  
15 conditions)  
16 Diffusion (bulk, matrix, surface)  
17 Unsaturated transport  
18 Groundwater flow: fracture  
19 Groundwater flow: effects of solution channels  
20 (preferential pathways)  
21 Soret effect  
22 Transport of radionuclides bound to microbes  
23 Gas mediated transport  
24 Colloids: formation & effects (including inorganic and  
25 organic colloid transport)

26 **6.10 Others**

- 27 Boreholes unsealed  
28 Incomplete vault closure  
29 Inadequate design: exploration borehole seal failure  
30 Undetected features (e.g. faults, fracture networks,  
31 shear zones, discontinuities, gas)  
32 Radiolysis, radiation damage  
33 Cavitation  
34 Correlation  
35 Nuclear criticality  
36 Explosion  
37  
38

39 **BIOSPHERE**

40  
41 **7.1 Human considerations**

- 42 Space heating  
43 Charcoal production  
44 Land use changes  
45 Demographic change, urban development  
46 Crop fertilization  
47 Crop storage  
48 Peat and leaf litter harvesting  
49 Hydroponics  
50  
51



1           **BIOSPHERE (Continued)**

2  
3           Water leak into underground living space

4           **7.2 Ecological factors**

5           Animal habits (grooming and fishing, soil ingestion,  
6           diets, scavengers/predators)

7           Houseplants

8           Tree sap

9           Terrestrial ecological development: natural and  
10          agricultural systems

11          Terrestrial ecological development: Effects of succession

12          Terrestrial ecological development: Estuarine

13          Plants: Root uptake, including deep rooting species

14          Plants: Deposition on surfaces

15          Plants: Vapor uptake

16          Plants: Internal translocation and retention

17          Plants: Washoff and leaching by rainfall

18          Plants: Leaf-fall and senescence

19          Plants: Cycling processes

20          Animals: Uptake by ingestion

21          Animals: Uptake by inhalation

22          Animals: Internal translocation and retention

23          Animals: Cycling processes

24          Animals: Effects of relocation and migration

25          Precipitation, temperature and soil water balance

26          Ecological change (e.g. forest fire cycles)

27          Ecological response to climate, including glacial/  
28          interglacial cycling, (e.g. desert formation)

29          Biological evolution

30          Intrusion (animal)

31          **7.3 Soil/sediment effects**

32          Lake infilling

33          Erosion - wind

34          Alkali flats

35          Capillary rise in soil

36          Soil properties (type, depth, porewater pH, moisture,  
37          sorption)

38          Soil leaching

39          Ionic exchange in soil

40          Sediment resuspension in water bodies

41          Sedimentation in water bodies

42          Groundwater discharge to soils: advective, diffusive,  
43          biotic, volatilization

44          Accumulation in sediments

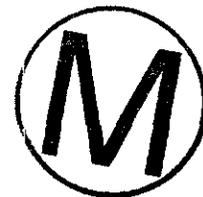
45          Accumulation in soils and organic debris, including peat

46          Pedogenesis

47          Evaporation of soil moisture

48          Solid discharge via erosional processes

49          Saltation



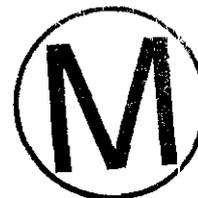
1 **BIOSPHERE (Continued)**

2  
3 **7.4 Surface/near-surface water processes**

- 4 Groundwater discharge (to surface water)  
5 Groundwater discharge (springs)  
6 Groundwater discharge (wells)  
7 Flushing of water bodies  
8 Surface water bodies: properties (e.g., pH)  
9 Near-surface runoff processes: overland flow, interflow,  
10 return flow, macropore flow  
11 Near-surface runoff processes: variable source area  
12 response  
13 Surface flow characteristics: stream/river flow  
14 Surface flow characteristics: sediment transport  
15 Surface flow characteristics: meander migration or other  
16 fluvial response  
17 Surface flow characteristics: lake formation/sedimentation  
18 Surface flow characteristics: effects of sea level change  
19 Estuarine surface flow characteristics: tidal cycling,  
20 sediment transport, successional development, effects of  
21 sea level change  
22 Surface water bodies: water flow  
23 Surface water bodies: suspended sediments  
24 Surface water bodies: bottom sediments  
25 Surface water bodies: effects on vegetation  
26 Surface water bodies: effects of fluvial system  
27 development  
28 Surface water mixing  
29 Sediment/water/gas interaction with the atmosphere  
30 Terrestrial water use (including wells and dams)  
31 River flow and lake level changes  
32 Dams  
33 Rivercourse meander  
34 Wetlands  
35 Flood (short-term)  
36 Acid rain  
37 Artificial lake mixing  
38 Drought

39 **7.5 Coastal water/ocean processes**

- 40 Coastal waters: tidal mixing, residual current mixing,  
41 effects of sea level change  
42 Ocean waters: water exchange, effects of sea level  
43 change  
44 Groundwater discharge to marine waters including  
45 coastal  
46 Estuaries: water flow, suspended sediments, bottom  
47 sediments, effects of salinity variation, effects on vegetation,  
48 estuarine development and sea level change  
49  
50



1 **BIOSPHERE (Continued)**

2  
3 Coastal waters: water transport, bottom and suspended  
4 sediment transport, effects of sea level change, estuarine  
5 development and coastal erosion

6 Estuarine water use

7 Coastal water use

8 Sea water use

9 **7.6 Gas effects and transport**

10 Gas leakage into underground living space

11 Radon emission

12 Gas transport: gas phase and in solution

13 Gas discharge

14 **7.7 Microbiological/biological activity**

15 Microbial activity

16 Bioaccumulation and translocation

17 Biototoxicity

18 Soil and sediment transport including bioturbation

19 Burrowing animals

20 Transport of radionuclides bound to microbes

21 Biogeochemical changes

22 **7.8 Geochemical regime (general)**

23 Soil and surface water chemistry (pH, Eh)

24 Fluid interactions: dissolution, precipitation

25 Weathering, mineralization

26 Physico-chemical phenomena/effects (e.g. colloid  
27 formation)

28 Altered soil or surface water chemistry (pH, Eh)

29 Thermal effects on hydrochemistry

30 Chemical gradients (electrochemical effects and osmosis)

31 Colloids, complexing agents

32 **7.9 Radionuclide and contaminant chemistry**

33 Complexation by organics (including humic and fulvic  
34 acids)

35 Precipitation, dissolution, recrystallization,  
36 reconcentration

37 Sorption (linear, nonlinear, irreversible)

38 Speciation

39 Chemical changes due to sorption, complex formation,  
40 speciation, gas, solubility

41 Solubility effects (pH and Eh)

42 Sorption effects (pH and Eh)

43 Changes in sorptive surfaces

44 Dilution (mass, isotopic, species)

45 **7.10 Radionuclide and contaminant transport processes**

46 Water flow: advection and dispersion

47 Diffusion (bulk, matrix, surface)

48 Gas-mediated transport

49 Transport of active gases: gas phase and in solution

50  
51



1 **BIOSPHERE (Continued)**

2  
3 Transport of radionuclides bound to microbes

4 **7.11 Radiological factors**

- 5 Building materials
- 6 Carcasses
- 7 Carcinogenic contaminants
- 8 Convection, turbulence and diffusion (atmospheric)
- 9 Critical group - agricultural labor,
  - 10 clothing and home furnishings
  - 11 evolution
  - 12 house location
  - 13 individuality
  - 14 leisure pursuits
  - 15 pets
- 16 Dermal sorption - nuclides other than tritium
- 17 Household dust and fumes
- 18 Human diet
- 19 Food preparation
- 20 Human soil ingestion
- 21 Precipitation (meteoric)
- 22 Deposition (wet and dry)
- 23 Radiotoxic contaminants
- 24 Showers and humidifiers
- 25 Suspension in air
- 26 Wind
- 27 External exposure: land, sediments, water bodies
- 28 Ingestion and drinking water
- 29 Ingestion and agricultural crops
- 30 Ingestion and domestic animal products
- 31 Ingestion and wild plants
- 32 Ingestion and wild animals
- 33 Ingestion and soils and sediments
- 34 Inhalation and soils and sediments
- 35 Inhalation and gases and vapors (indoor/outdoor)
- 36 Inhalation and biotic material
- 37 Inhalation and salt particles
- 38 Sediment/water/gas interaction with the atmosphere
- 39 Mutagenic contaminants
- 40 Dermal sorption - tritium
- 41 Sensitization to radiation
- 42 Radioactive decay

43 **7.12 Others**

- 44 Colloids: formation and effects (including inorganic and
  - 45 organic colloid transport)
- 46 Greenhouse-induced ecological effects (including food
  - 47 production)
- 48 Smoking
- 49 Boreholes - unsealed



1 **BIOSPHERE (Continued)**

2  
3 Loss of integrity of borehole seals: seal failure or  
4 degradation  
5 Inadequate design: exploration borehole seal failure  
6 Intrusion in accumulation zone in the biosphere (animals)  
7 Chemical toxicity  
8 Correlation  
9 Seasons  
10 Terrestrial surface  
11 Uncertainties  
12 Toxicity of mined rock  
13 Ozone layer failure  
14 Herbicides, pesticides, fungicides  
15

16 **GEOLOGY / CLIMATE CHANGES**

17 **8.1 Seismic Events/major land movement**

18 Earthquakes  
19 Regional uplift and subsidence (e.g. orogenic, isostatic)  
20 Externally-induced seismicity  
21 Natural seismicity  
22

23 **8.2 Rock deformation**

24 Salt deformation/diapirism  
25 Faulting/fracturing: change of properties - natural  
26 Faulting/fracturing: change of properties - human-  
27 induced  
28 Major incision  
29 Movements at faults  
30 Formation of new faults  
31 Formation of interconnected fracture systems  
32

33 **8.3 Metamorphic and igneous processes**

34 Metamorphic activity  
35 Magmatic activity  
36 Volcanism

37 **8.4 Erosion/weathering (surface)**

38 Aeolian and fluvial denudation  
39 Mass wasting  
40 Changes in topography  
41 Weathering  
42 Extreme erosion and denudation: glacial-induced  
43 (e.g. coastal/stream erosion)  
44 Coastal erosion due to sea level change  
45 Erosion: glacial  
46 Stream erosion  
47 Sedimentation  
48 Land slide  
49 Freshwater sediment transport and deposition  
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**GEOLOGY / CLIMATE CHANGES (Continued)**

Marine sediment transport and deposition  
Solifluction

**8.5 Groundwater flow and effects**

Variation in groundwater recharge

**8.6 Surface water flow and effects**

Hydrological change  
Flooding  
Precipitation, temperature and soil water balance  
Snow melt  
River flow and lake level changes  
Alkali flats  
Rivercourse meander

**8.7 Sea level effects**

Sea level change  
River incision/sedimentation due to sea level change

**8.8 Magnetic effects**

Changes in the Earth's magnetic field

**8.9 Glaciation/glacial effects**

Glaciation  
Glacial/interglacial cycling effects (including sea level changes)  
Permafrost  
Accumulation of gases under permafrost  
No ice age

**8.10 Climate effects (natural)**

Drought  
Dust storms and desertification (massive)  
Climate change  
Insolation  
Ozone layer (failure)  
Acid rain

**8.11 Others**

Anthropogenic climate change drought (greenhouse effect)  
Greenhouse-induced effects (e.g. sea level change, precipitation, temp.)  
Hurricanes  
Tsunamis  
Seiches  
Meteorite impact  
Diagenesis  
Greenhouse-induced storm surges  
Global effects  
Terrestrial surface  
Formation of dissolution cavities



1 **HUMAN INFLUENCES**

2 **9.1 Inadvertent intrusion into repository**

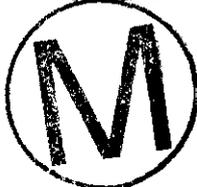
- 3 Archeological investigations  
4 Exploratory boreholes (oil, gas)  
5 Resource exploitation (e.g. hydrocarbon, geothermal)  
6 Reuse of boreholes  
7 Intrusion in accumulation zone in the biosphere  
8 Injection wells  
9 Intrusion (deliberate)  
10 - recovery of wastes or associated materials (mining)  
11 - malicious (sabotage, act of war (nuclear))  
12 - recovery of repository materials

13 **9.2 Surface activities**

- 14 Earthmoving  
15 Altered soil or surface water chemistry by human  
16 activities  
17 Human-induced changes in surface hydrology  
18 Heat storage in lakes  
19 Hydrologic stresses: damming of streams or rivers  
20 Quarrying, peat extraction  
21 Quarrying, near surface extraction  
22 Artificial lake mixing  
23 Ashes and sewage sludge  
24 Crop fertilization  
25 Crop storage  
26 Herbicides, pesticides, fungicides  
27 Inject/ingest/inhaling locally produced drugs  
28 Peat and leaf litter harvesting  
29 Biogas production  
30 Earth moving projects  
31 Lake infilling  
32 Blasting and vibration  
33 Hydroponics  
34 Technological advances in food production  
35 Other future uses of crystalline rock  
36 Near storage of other waste

37 **9.3 Subsurface activities**

- 38 Exploratory boreholes (oil, gas): nonintrusive  
39 Drilling: enhanced oil/gas production: nonintrusive  
40 Drilling: liquid waste disposal: nonintrusive  
41 Drilling: hydrocarbon storage: nonintrusive  
42 Drilling: archeology: nonintrusive  
43 Exploratory boreholes (water, potash)  
44 Dewatering  
45 Wells  
46 Wells (high demand)  
47 Resource exploitation (intersection of zone of  
48 contamination)



1 **HUMAN INFLUENCES (Continued)**

- 2  
3 Heat storage underground  
4 Geothermal energy production  
5 Tunnelling  
6 Construction of underground storage/disposal facilities  
7 (e.g. gas storage)  
8 Construction of underground dwellings/shelters  
9 Injection of liquid wastes: nonintrusive  
10 Potash mining  
11 Solution mining  
12 Underground weapons testing (nuclear device)  
13 Mining other than potash  
14 Geothermal energy exploration (and other unidentified  
15 resources)  
16 Resource exploitation following intrusion  
17 Injection wells: enhanced oil/gas production,  
18 hydrocarbon storage: nonintrusive

19 **9.4 Water use**

- 20 Industrial use of water  
21 Outdoor spraying of water  
22 Groundwater extraction  
23 Irrigation  
24 Reservoirs  
25 Intentional artificial groundwater recharge or withdrawal

26 **9.5 Agricultural and fisheries practices**

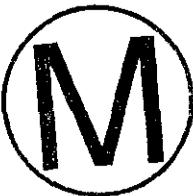
- 27 Fish farming  
28 Ranching  
29 Agricultural and fisheries practice changes

30 **9.6 Radiological factors (smoking, transport agents)**

- 31 Radiological factors (smoking, transport agents)

32 **9.7 Others**

- 33 Demographic change, urban development  
34 Undetected repository intrusions (boreholes, mining)  
35 Undetected boreholes (existing): nonintrusive  
36 Stray materials left  
37 Decontamination materials left  
38 Loss of records  
39 Radioactive waste disposal error  
40 Inadvertent inclusion of undesirable materials  
41 Poor quality construction  
42 Design modifications  
43 Accidents during operation  
44 Backfill/seal material deficiencies  
45 Postclosure monitoring  
46 Unsuccessful attempt of site improvement  
47 Poorly designed repository  
48 Cure for cancer  
49 Sabotage  
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**HUMAN INFLUENCES (Continued)**

- Acid rain
- Sudden energy release
- Chemical sabotage
- Explosions (resource recovery)
- Borehole-induced solution and subsidence
- Explosions (act of war)



## APPENDIX B: MAPPING OF THE DCCA AND CCA FEP LISTS

This Appendix presents a mapping of the FEPs included in the Draft Compliance Certification Application (DCCA) FEP list to the FEPs on the WIPP-specific FEP list developed for the Compliance Certification Application (CCA).

The DCCA list is presented in Appendix A of this report, ordered by sub-system and by second-level category. For the purposes of this mapping, a number of FEPs have been excluded because they relate to designs different to that forming the basis of the CCA, because they relate to issues such as chemical toxicity that are not regulated by 40 CFR Part 191, or because they are modeling issues rather than FEPs. A list of these FEPs is presented in Appendix C of this report.

In general, the mapping shown in this Appendix links one or more FEPs from the DCCA with a FEP from the CCA list. Exceptions to this occur for two reasons:

- When there are no FEPs on the DCCA list corresponding to WIPP-specific issues. Examples include breccia pipes, cuttings, and blowouts. These are of potential concern to WIPP but are too detailed to have been included on the generic list from which the DCCA FEP list was drawn.
- When the FEP on the DCCA is of a general nature or includes a number of component FEPs. These general FEPs are mapped to a category or sub-category on the CCA list. Examples include:
  - Groundwater conditions (saturated/unsaturated);
  - Chemical changes due to sorption, complex formation, speciation, gas, solubility;
  - Human-induced changes in surface hydrology.



Natural FEPs

WIPP Categorization		CCA FEP List		DCCA FEP List	Sub-system		
Geological	Stratigraphy		Stratigraphy	Rock properties (porosity, permeability, hydraulic head, conductivity)	Near-field		
				Undetected features (e.g. faults, fracture networks, shear zones, discontinuities, gas)	Far-field		
				Rock properties (porosity, permeability, discharge zones, fractures)	Far-field		
				Brine reservoirs			
	Tectonics			Changes in regional stress			
				Regional tectonics	Changes in the Earth's magnetic field	Geology/climate changes	
				Regional uplift and subsidence	Regional uplift and subsidence (e.g. orogenic, isostatic)	Geology/climate changes	
	Structural FEPs	Deformation		Salt deformation	Salt deformation/diapirism	Geology/climate changes	
				Diapirism			
		Fracture development			Formation of fractures	Formation of interconnected fracture systems	Geology/climate changes
					Changes in fracture properties	Faulting/fracturing: change of properties - natural	Geology/climate changes
		Fault movement			Formation of new faults	Formation of new faults	Geology/climate changes
					Fault movement	Fault activation	Far-field
						Movements at faults	Geology/climate changes
		Seismic activity			Seismic activity	Earthquakes	Geology/climate changes
						Externally-induced seismicity	Near-field
						Natural seismicity	Geology/climate changes
						Externally-induced seismicity	Far-field
						Externally-induced seismicity	Geology/climate changes
	Crustal processes	Igneous activity		Volcanic activity	Volcanism	Geology/climate changes	
Magmatic activity				Magmatic activity	Geology/climate changes		



Natural FEPs

WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system	
	Geochemical FEPs	Metamorphism	Metamorphic activity	Metamorphic activity	Geology/climate changes
		Dissolution		Diagenesis	Geology/climate changes
			Shallow dissolution	Dissolution of fracture fillings, precipitation	Far-field
			Lateral dissolution	Fluid interactions: dissolution	Near-field
				Dissolution	Near-field
			Deep dissolution		
			Solution chimneys	Formation of dissolution cavities	Geology/climate changes
			Breccia pipes		
			Collapse breccias		
		Mineralization	Fracture infills	Fracture mineralization	Far-field
		Weathering, mineralization	Far-field		
Subsurface hydrological	Groundwater characteristics		Groundwater conditions (saturated/unsaturated)	Far-field	
		Saturated groundwater flow	Saturated groundwater flow	Far-field	
		Unsaturated groundwater flow	Dewatering	Far-field	
			Sediment/water/gas interaction with the atmosphere	Biosphere	
		Fracture flow	Groundwater flow: fracture	Far-field	
		Density effects on groundwater flow	Salinity effects on flow	Far-field	
		Effects of preferential pathways	Groundwater flow: effects of solution channels (preferential pathways)	Far-field	
	Changes in groundwater flow		Natural changes in groundwater flow direction	Seals	
			Natural changes in groundwater flow direction	Backfill	
			Changes in groundwater flow direction	Near-field	
		Changes in the geometry of the flow system	Far-field		



Natural FEPs

WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system	
			Changes in the driving forces of the flow system	Far-field	
			Natural rock property changes (porosity, permeability, fractures, pore blocking)	Far-field	
			Changes in groundwater flow direction	Far-field	
			Thermal effects on groundwater flow	Naturally thermally-induced groundwater transport	Near-field
				Thermal effects: fluid migration	Far-field
				Geothermal gradient effects	Far-field
				Variations in groundwater temperature	Far-field
			Thermal effects: fluid pressure, density, viscosity changes	Far-field	
			Saline intrusion	Saline groundwater intrusion	Far-field
			Freshwater intrusion	Fresh groundwater intrusion	Far-field
			Natural gas intrusion	Methane intrusion	Near-field
				Effects of natural gases	Far-field
Hydrological response to earthquakes					
Subsurface geochemical	Groundwater geochemistry	Groundwater geochemistry	Salinity: implications of evaporite deposits/minerals	Far-field	
	Changes in groundwater chemistry		Natural changes in groundwater chemistry and flow direction	Near-field	
			Groundwater composition changes (pH, Eh, chemical composition)	Far-field	
		Saline intrusion			
		Freshwater intrusion			
		Changes in groundwater Eh			
	Changes in groundwater pH				



Natural FEPs

WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system							
		Effects of dissolution	Fluid interactions: dissolution, precipitation	Biosphere							
Geomorphological	Physiography	Physiography	Terrestrial surface	Geology/climate changes							
			Terrestrial surface	Biosphere							
	Meteorite impact	Impact of a large meteorite	Meteorite impact	Geology/climate changes							
	Denudation	Weathering		Changes in topography	Geology/climate changes						
				Weathering	Geology/climate changes						
		Erosion	Mechanical weathering								
					Chemical weathering		Weathering, mineralization	Biosphere			
			Major incision			Major incision	Geology/climate changes				
						Aeolian erosion		Erosion - wind	Biosphere		
								Aeolian and fluvial denudation	Geology/climate changes		
						Fluvial erosion			Rivercourse meander	Biosphere	
									Sediment resuspension in water bodies	Biosphere	
									Stream erosion	Geology/climate changes	
		Rivercourse meander	Geology/climate changes								
		Sedimentation			Mass wasting	Geology/climate changes					
					Sedimentation	Geology/climate changes					
					Aeolian deposition			Saltation	Biosphere		
	Fluvial deposition									Freshwater sediment transport and deposition	Geology/climate changes
										Surface flow characteristics: sediment transport	Biosphere
	Sedimentation in water bodies						Sedimentation in water bodies	Biosphere			
							Lacustrine deposition			Surface water bodies: bottom sediments	Biosphere
Surface water bodies: suspended sediments										Biosphere	
Mass wasting			Land slide	Geology/climate changes							
			Solifluction	Geology/climate changes							



Natural FEPs

WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system
	Soil development	Soil development	Soil properties (type, depth, porewater pH, moisture, sorption)	Biosphere
			Soil and surface water chemistry (pH, Eh)	Biosphere
			Pedogenesis	Biosphere
			Soil and sediment transport including bioturbation	Biosphere
			Altered soil or surface water chemistry (pH, Eh)	Biosphere
			Soil leaching	Biosphere
Surface hydrological	Fluvial	Stream and river flow	River flow and lake level changes	Geology/climate changes
			River flow and lake level changes	Biosphere
			Surface flow characteristics: meander migration or other fluvial response	Biosphere
			Surface flow characteristics: stream/river flow	Biosphere
	Lacustrine	Surface water bodies	Wetlands	Biosphere
			Surface water bodies: water flow	Biosphere
			Surface water bodies: effects on vegetation	Biosphere
			Surface water bodies: properties (e.g., pH)	Biosphere
			Flushing of water bodies	Biosphere
			Surface water mixing	Biosphere
			Surface flow characteristics: lake formation/sedimentation	Biosphere
			Surface water bodies: effects of fluvial system development	Biosphere
			Alkali flats	Biosphere



Natural FEPs

WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system
	Groundwater recharge and discharge	Groundwater discharge	Alkali flats	Geology/climate changes
			Groundwater discharge to soils: advective, diffusive, biotic, volatilization	Biosphere
			Groundwater discharge to marine waters including coastal	Biosphere
			Groundwater discharge (springs)	Biosphere
		Groundwater discharge (to surface water)	Biosphere	
		Groundwater recharge	Groundwater recharge	Far-field
			Near-surface runoff processes: variable source area response	Biosphere
			Near-surface runoff processes: overland flow, interflow, return flow, macropore flow	Biosphere
			Capillary rise in soil	Biosphere
		Infiltration	Evaporation of soil moisture	Biosphere
	Changes in surface hydrology	Changes in groundwater recharge and discharge	Hydrological change	Geology/climate changes
			Variation in groundwater recharge	Geology/climate changes
		Lake formation	Lake infilling	Biosphere
		River flooding	Flooding	Geology/climate changes
Flood (short-term)			Biosphere	
Climatic	Climate		Insolation	Geology/climate changes
			Precipitation, temperature and soil water balance	Biosphere
			Precipitation, temperature and soil water balance	Geology/climate changes
			Convection, turbulence and diffusion (atmospheric)	Biosphere



Natural FEPs

WIPP Categorization		CCA FEP List		DCCA FEP List	Sub-system	
				Wind	Biosphere	
				Hurricanes	Geology/climate changes	
				Seasons	Biosphere	
				Precipitation	Precipitation (meteoric)	Biosphere
				Temperature		
	Climate change	Meteorological	Climate change	Global effects	Geology/climate changes	
				Climate change	Geology/climate changes	
				Drought	Geology/climate changes	
				Drought	Biosphere	
				Dust storms and desertification (massive)	Geology/climate changes	
		Glaciation	Glaciation	No ice age	Geology/climate changes	
				Erosion: glacial	Geology/climate changes	
				Glaciation	Geology/climate changes	
				Snow melt	Geology/climate changes	
				Extreme erosion and denudation: glacial-induced (e.g. coastal/stream erosion)	Geology/climate changes	
		Permafrost	Glacial/interglacial cycling effects (including sea level changes)	Geology/climate changes		
			Accumulation of gases under permafrost	Geology/climate changes		
			Permafrost	Geology/climate changes		
			Accumulation of gases under permafrost	Near-field		
Marine	Seas		Seas and oceans	Coastal waters: water transport, bottom and suspended sediment transport, effects of sea level change, estuarine development and coastal erosion	Biosphere	
				Tsunamis	Geology/climate changes	
				Seiches	Geology/climate changes	



Natural FEPs

WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system	
			Coastal waters: tidal mixing, residual current mixing, effects of sea level change	Biosphere	
			Ocean waters: water exchange, effects of sea level change	Biosphere	
			Estuaries	Estuaries: water flow, suspended sediments, bottom sediments, effects of salinity variation, effects on vegetation, estuarine development and sea level change	Biosphere
			Estuarine surface flow characteristics: tidal cycling, sediment transport, successional development, effects of sea level change	Biosphere	
	Marine sedimentology		Coastal erosion	Coastal erosion due to sea level change	Geology/climate changes
			Marine sediment transport and deposition	Marine sediment transport and deposition	Geology/climate changes
	Sea level changes		Sea level changes	Sea level change	Geology/climate changes
				River incision/sedimentation due to sea level change	Geology/climate changes
				Surface flow characteristics: effects of sea level change	Biosphere
	Ecological	Flora & fauna		Plants	
Animals				Burrowing animals	Biosphere
Microbes				Microbial activity	Biosphere
		Natural microbial activity	Near-field		
Changes in flora & fauna			Natural ecological development	Animals: Effects of relocation and migration	Biosphere

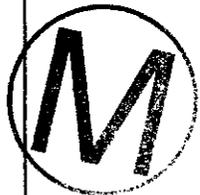
Natural FEPs

WIPP Categorization			CCA FEP List	DCCA FEP List	Sub-system
				Ecological change (e.g. forest fire cycles)	Biosphere
				Terrestrial ecological development: Estuarine	Biosphere
				Biogeochemical changes	Biosphere
				Terrestrial ecological development: natural and agricultural systems	Biosphere
				Biological evolution	Biosphere
				Terrestrial ecological development: Effects of succession	Biosphere
				Critical group - evolution	Biosphere
				Ecological response to climate, including glacial/interglacial cycling, (e.g. desert formation)	Biosphere



Waste & Repository FEPs

WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system
Waste and repository characteristics	Repository characteristics	Disposal geometry	Disposal geometry	Near-field
			Retrievability	Near-field
	Waste characteristics	Waste inventory	Inventory: radionuclides	Waste
			Source terms	Waste
		Heterogeneity of waste forms	Channelling within the canister (preferential pathways)	Canister
			Role of eventual channelling within the canister	Waste
			Heterogeneity of waste forms (chemical, physical)	Waste
	Container characteristics	Container form		
		Container material inventory	Inventory	Canister
	Seal characteristics	Seal geometry		
		Seal physical properties	Seal characteristics (e.g. hydraulic conductivity)	Seals
			Swelling pressure	Seals
	Backfill characteristics	Backfill physical properties	Backfill characteristics (e.g. hydraulic conductivity)	Backfill
			Swelling pressure	Backfill
		Backfill chemical composition	Buffer additives	Backfill
Postclosure monitoring	Postclosure monitoring	Postclosure monitoring	Human influences	
		Monitoring and remedial activities	Near-field	



Waste & Repository FEPs

WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system
	Radioactive decay	Radionuclide decay and ingrowth	Waste: radionuclide decay and ingrowth	Waste
			Radioactive decay	Biosphere
		Heat from radioactive decay	Radioactive decay: heat	Waste
	Nuclear criticality	Nuclear criticality: heat	Nuclear criticality (preclosure)	Waste
			Nuclear criticality	Far-field
			Nuclear criticality: heat	Near-field
			Nuclear criticality: heat	Waste
			Nuclear criticality (postclosure)	Waste
			Nuclear criticality: heat	Backfill
			Nuclear criticality: heat	Seals
	Radiological effects on material properties	Radiological effects on waste		
Radiological effects on containers			Radiation effects on canister	Canister
Radiological effects on seals			Radiation effects on bentonite	Seals
Geological / mechanical	Excavation-induced fracturing	Disturbed rock zone	Rock property changes (hydraulic conductivity, fractures, pore blocking, channel formation/closure)	Near-field
			Disturbed zone (hydromechanical) effects	Near-field
			Formation of cracks	Near-field
			Differential elastic response	Near-field
			Blasting and vibration	Near-field
		Excavation-induced changes in stress	Excavation-induced stress/fracturing in host rock	Near-field
	Repository-induced seismicity	Near-field		

Waste & Repository FEPs

WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system	
<b>M</b>	Rock creep	Salt creep	Repository-induced seismicity	Far-field	
			Non-elastic response	Near-field	
			Non-elastic response	Far-field	
			External stress	Canister	
			External stress	Backfill	
			Creeping of rock mass	Near-field	
			Changes in the stress field	Changes in in-situ stress field	Near-field
	Roof falls	Roof falls	Caving/roof collapse	Near-field	
	Subsidence	Subsidence	Subsidence	Far-field	
			Large-scale rock fracturing		
	Effects of fluid pressure changes	Disruption due to gas effects	Gas effects: disruption	Seals	
				Backfill	
				Waste	
				Canister	
			Pressurization	Gas effects: disruption	Near-field
				Gas effects: pressurization	Seals
				Gas effects: pressurization	Backfill
				Gas effects: pressurization	Waste
				Gas effects: pressurization	Canister
				Gas effects: pressurization	Near-field
	Effects of explosions	Gas explosions	Gas effects: fire	Canister	
				Seals	
			Gas effects: fire	Near-field	
Backfill					
Gas effects: explosions			Backfill		
Explosion			Far-field		

Waste & Repository FEPs

WIPP Categorization			CCA FEP List	DCCA FEP List	Sub-system		
				Gas effects: explosions	Seals		
				Gas effects: explosions	Waste		
				Gas effects: explosions	Near-field		
				Gas effects: fire	Waste		
				Gas effects: explosions	Canister		
				Nuclear explosions	Nuclear criticality: explosions	Seals	
					Nuclear criticality: explosions	Backfill	
					Nuclear criticality: explosions	Near-field	
					Nuclear criticality: explosions	Waste	
					Nuclear criticality: explosions	Canister	
	Thermal effects			Thermal effects on material properties	Material property changes: heat	Waste	
					Material property changes	Waste	
					Hydrothermal alteration	Near-field	
					Thermal effects on the seal material	Seals	
					Hydrothermal alteration	Seals	
					Hydrothermal alteration	Backfill	
					Dehydration of salt minerals	Near-field	
					Natural thermal effects	Backfill	
					Natural thermal effects	Seals	
					Thermal non-elastic response	Near-field	
					Thermal non-elastic response	Far-field	
					Thermally-induced stress changes	Thermal cracking	Waste
						Thermally-induced stress/fracturing in host rock	Near-field
Thermal cracking	Canister						
Differing thermal expansion of repository components	Differing thermal expansion (canister-seal, buffer-host rock)	Seals					



Waste & Repository FEPs

WIPP Categorization			CCA FEP List	DCCA FEP List	Sub-system		
				Differing thermal expansion of host rock zones	Near-field		
				Differing thermal expansion (canister-backfill, buffer-host rock)	Backfill		
				Differing thermal expansion of canister and backfill	Canister		
				Thermal effects on the backfill material	Backfill		
				Thermal differential elastic response	Near-field		
				Thermal differential elastic response	Far-field		
	Mechanical effects on material properties			Consolidation of waste	External stress	Waste	
					Movement of containers	Canister movement	Canister
						Movement of canister in backfill	Backfill
		Movement of canister	Seals				
		Container integrity				Release of radionuclides from the failed canister	Canister
						Structural container metal corrosion: stress corrosion cracking	Canister
						Hydrostatic pressure on canister	Canister
						Uniform corrosion	Canister
Structural container metal corrosion: localized	Canister						
Structural container metal corrosion: crevice	Canister						



WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system
			Structural container metal corrosion: bulk	Canister
			Corrosion (including partial corrosion)	Canister
			Container failure (long term)	Canister
			Container failure (early)	Canister
			Mechanical canister damage (failure)	Canister
			Pitting	Canister
			Swelling of corrosion products	Canister
			Container corrosion products	Canister
		Mechanical effects of backfill	Erosion of backfill	Backfill
			Extreme channel flow of oxidants and nuclides (preferential pathways)	Backfill
			Long-term physical stability	Backfill
			Mechanical failure of backfill (preferential pathways)	Backfill
			Preferential pathways in the backfill	Backfill
			Swelling of corrosion products	Backfill
		Consolidation of seals	Long-term physical stability	Seals
			External stress	Seals
		Mechanical degradation of seals	Mechanical effects: local fractures/cracks (preferential pathways)	Seals
			Mechanical failure of seals (preferential pathways)	Seals

Waste & Repository FEPs

WIPP Categorization			CCA FEP List	DCCA FEP List	Sub-system	
				Erosion of seals	Seals	
				Shaft seal failure/degradation	Seals	
				Preferential pathways in the seals	Seals	
				Swelling of corrosion products	Seals	
				Sealing of cracks: concrete	Seals	
				Cracking: concrete	Seals	
				Physico-chemical degradation of concrete	Near-field	
				Uneven swelling of bentonite	Near-field	
				Uneven swelling of bentonite	Seals	
				Coagulation of bentonite	Seals	
			Investigation boreholes	Loss of integrity of borehole seals: seal failure or degradation	Biosphere	
				Investigation borehole seal failure/degradation	Seals	
Subsurface hydrological / fluid dynamical	Repository-induced flow		Underground boreholes			
				Brine inflow	Repository-induced changes in groundwater flow direction	Near-field
					Groundwater flow (saturated conditions, including fracture flow)	Near-field
					Cavitation	Near-field
					Saline (or fresh) groundwater intrusion	Near-field
					Backfill: resaturation/desaturation	Backfill
					Seals: resaturation/desaturation	Seals
					Cavitation	Far-field
					Resaturation	Near-field
					Saline groundwater intrusion	Seals

Waste & Repository FEPs

WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system	
			Saline groundwater intrusion	Backfill	
			Groundwater flow, effects of solution channels (preferential pathways)	Near-field	
			Changes in moisture content due to stress relief	Near-field	
		Wicking	Capillary action	Waste	
			Capillary action	Backfill	
			Unsaturated transport	Near-field	
	Effects of gas generation		Fluid flow due to gas production	Groundwater flow due to gas production	Seals
				Gas transport into and through the far-field (gas phase and in solution)	Far-field
				Gas transport in the near field as gas phase and in solution	Backfill
				Groundwater flow due to gas production	Backfill
				Groundwater and gas flow	Backfill
				Gas transport in the near field as gas phase and in solution	Seals
				Gas transport in the waste container	Canister
				Gas-induced groundwater transport	Far-field
				Multiphase flow and gas-driven flow	Far-field
			Groundwater flow due to gas production	Near-field	
			Groundwater and gas flow	Seals	
			Groundwater and gas flow	Near-field	

Waste & Repository FEPs

WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system	
			Gas transport in the near field as gas phase and in solution	Near-field	
			Gas transport: gas phase and in solution	Biosphere	
			Gas mediated transport	Far-field	
			Gas-mediated transport	Biosphere	
	Thermal effects			Thermo-hydro-mechanical effects	Near-field
			Convection	Repository thermally-induced groundwater transport	Near-field
				Variations in groundwater temperature	Backfill
				Variations in groundwater temperature	Seals
				Variations in groundwater temperature	Near-field
				Convection	Near-field
				Convection (contaminant transport)	Backfill
				Convection (contaminant transport)	Seals
Geochemical / chemical			Chemical changes due to sorption, complex formation, speciation, gas, solubility	Biosphere	
	Gas generation		Gas generation from concrete	Waste	
			Gas generation from concrete	Seals	
		Microbial gas generation	Methane/CO2 production: Carbonate/bicarbonate exchange with concrete	Waste	
			Canister: microbiological effects/microbial activity	Canister	
			Degradation of organic material	Methane/CO2 production	Seals

Waste & Repository FEPs

WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system
			Methane/CO2 production: Inhibition due to the pressure of toxic materials	Waste
			Methane/CO2 production: anaerobic production	Waste
			Degradation of plastics and cellulose	Waste
			Methane/CO2 production: aerobic degradation	Waste
			Methane and carbon dioxide by microbial degradation of cellulose and other organic wastes	Waste
			Backfill: microbiological effects/microbial activity	Backfill
			Microbiological effects due to cellulose degradation	Waste
		Effects of biofilms on microbial gas generation	Methane/CO2 production: energy and nutrient control of metabolism	Waste
			Methane and CO2 production: energy and nutrient control of metabolism	Near-field
			Methane/CO2 production: Effects of biofilms	Waste
		Effects of temperature on microbial gas generation	Methane/CO2 production: effects of temperature	Waste
		Effects of pressure on microbial gas generation	Methane/CO2 production: effects of lithostatic pressure	Waste
			Methane CO2 production: effects of lithostatic pressure	Near-field

Waste & Repository FEPs



WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system	
		Effects of radiation on microbial gas generation	Methane CO2 production: effects of radiation on microbial populations	Near-field	
			Mutation	Near-field	
			Methane/CO2 production: effects of radiation on microbial populations	Waste	
	Corrosion	Gases from metal corrosion	Effects of hydrogen from metal corrosion	Seals	
			Effects of hydrogen from metal corrosion	Backfill	
			Hydrogen by metal corrosion	Waste	
			Internal corrosion due to waste	Waste	
			Hydrogen: corrosion of container steel	Canister	
			Methane CO2 production: effects of hydrogen from metal corrosion	Near-field	
			Metal corrosion: wastes	Waste	
			Methane/CO2 production: effects of hydrogen from metal corrosion	Backfill	
			Methane/CO2 production: effects of hydrogen from metal corrosion	Seals	
			Dissolution	Canister	
			Galvanic coupling	Galvanic coupling	Canister
			Chemical effects of corrosion		
	Radiolytic gas generation		Radiolysis	Seals	
				Backfill	
			Radiolysis of brine		
			Radiolysis of cellulose	Waste	

Waste & Repository FEPs

WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system
	Chemical speciation	Helium gas production	Gas generation: He production	Waste
		Radioactive gas	Methane/CO2 production	Backfill
		Speciation	Speciation	Far-field
		Chemical effects: Interactions of waste canister and rock	Chemical effects: Interactions of waste canister and rock	Canister
		Speciation	Speciation	Biosphere
		Thermochemical changes	Thermochemical changes	Backfill
		Thermochemical changes	Thermochemical changes	Seals
		Thermochemical changes	Thermochemical changes	Near-field
		Chemical changes due to complex formation	Chemical changes due to complex formation	Backfill
		Chemical changes due to complex formation	Chemical changes due to complex formation	Seals
		Chemical changes due to waste degradation	Chemical changes due to waste degradation	Backfill
		Chemical changes due to waste degradation	Chemical changes due to waste degradation	Seals
		Thermal effects on hydrochemistry	Thermal effects on hydrochemistry	Biosphere
		Speciation	Speciation	Near-field
		Chemical changes due to speciation (chemical changes)	Chemical changes due to speciation (chemical changes)	Near-field
		Non-radioactive solute plume in geosphere (effect on redox, effect on pH, sorption)	Non-radioactive solute plume in geosphere (effect on redox, effect on pH, sorption)	Near-field
		Chemical effects: interactions of waste canister and rock	Chemical effects: interactions of waste canister and rock	Near-field
Thermally induced chemical changes (water chemistry)	Thermally induced chemical changes (water chemistry)	Waste		
Chemical effects: geochemical change	Chemical effects: geochemical change	Waste		



WIPP Categorization			CCA FEP List	DCCA FEP List	Sub-system
				Chemical changes due to speciation	Backfill
				Chemical changes due to speciation	Seals
				Non-radioactive solute plume in geosphere (effect on redox, effect on pH, sorption)	Far-field
				Speciation	Backfill
				Speciation	Seals
				Changes in pore water composition, pH, Eh: concrete	Near-field
				Chemical changes due to gas production	Waste
				Chemical changes due to gas production	Backfill
				Chemical changes due to gas production	Seals
				Speciation	Waste
				Biogeochemical changes	Backfill
				Biogeochemical changes	Near-field
				Effects of bentonite on groundwater chemistry	Seals
				Speciation of corrosion products (include in water chemistry)	Canister
				Interactions with corrosion products and waste	Waste
			Kinetics of speciation	Chemical kinetics	Seals
				Chemical kinetics	Backfill
				Chemical kinetics	Waste
				Chemical kinetics	Canister
				Chemical kinetics	Near-field



WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system	
	Precipitation / dissolution		Solubility effects (pH and Eh, ionic strength, complexing agents, colloids)	Near-field	
			Solubility effects (pH and Eh, ionic strength, complexing agents, colloids)	Backfill	
			Solubility effects (pH and Eh, ionic strength, complexing agents, colloids)	Seals	
			Solubility	Waste	
			Solubility effects (pH and Eh)	Biosphere	
			Precipitation, dissolution, recrystallization, reconcentration	Far-field	
			Precipitation, dissolution, recrystallization, reconcentration	Biosphere	
			Solubility effects (pH and Eh, ionic strength, complexing agents, colloids)	Far-field	
			Reconcentration	Near-field	
			Dissolution of waste	Dissolution chemistry	Waste
				Dissolution	Waste
			Precipitation	Precipitation	Waste
				Precipitation	Canister
		Precipitation		Backfill	
		Precipitation		Seals	
		Recrystallization		Near-field	
		Recrystallization		Backfill	
		Recrystallization		Waste	
		Recrystallization		Seals	
		Precipitation, reconcentration		Seals	
Precipitation, reconcentration	Backfill				



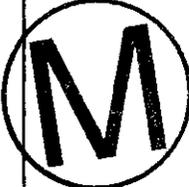
Waste & Repository FEPs

WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system	
			Precipitation, reconcentration	Near-field	
			Fracture mineralization	Near-field	
	Sorption reactions		Kinetics of precipitation / dissolution	Leaching: wastes	Waste
			Actinide sorption	Chemical changes due to sorption	Seals
				Ionic exchange in soil	Biosphere
				Sorption effects (pH and Eh, ionic strength, complexing agents, colloids)	Near-field
				Sorption (linear, nonlinear, irreversible)	Near-field
				Anion exchange	Backfill
				Anion exchange	Seals
				Sorption effects (pH and Eh, ionic strength, complexing agents, colloids)	Far-field
				Sorption effects (pH and Eh, ionic strength, complexing agents, colloids)	Backfill
				Sorption effects (pH and Eh, ionic strength, complexing agents, colloids)	Seals
				Sorption (linear, nonlinear, irreversible)	Backfill
				Sorption (linear, nonlinear, irreversible)	Seals
				Sorption (linear, nonlinear, irreversible)	Far-field
Sorption (linear, nonlinear, irreversible)	Biosphere				

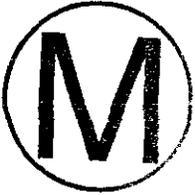


WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system	
			Chemical changes due to sorption	Backfill	
			Chemical changes due to sorption (chemical changes)	Near-field	
			Sorption effects (pH and Eh)	Biosphere	
			Kinetics of sorption		
			Changes in sorptive surfaces	Changes in sorptive surfaces	Biosphere
				Changes in sorptive surfaces	Backfill
				Changes in sorptive surfaces	Near-field
				Changes in sorptive surfaces	Seals
				Saturation of sorption sites	Seals
				Saturation of sorption sites	Backfill
	Exchange capacity exceeded	Backfill			
	Exchange capacity exceeded	Seals			
	Changes in sorptive surfaces	Far-field			
	Redox chemistry		Effect of metal corrosion	Redox potential	Waste
				Chemical changes due to metal corrosion	Waste
				Chemical changes due to metal corrosion	Canister
				Electrical effects of metal corrosion	Waste
				Chemical effects of rock reinforcement	Near-field
Chemical changes due to corrosion				Backfill	
Chemical changes due to corrosion				Seals	
Redox fronts				Backfill	
	Seals				
Redox kinetics					

Waste & Repository FEPs

WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system	
	Organic complexation	Localized reducing zones			
		Organic complexation	Organic complexation	Complex formation: wastes	Waste
				Complex formation: wastes	Waste
		Organic ligands	Methylation	Near-field	
		Humic and fulvic acids	Complexation by organics (including humic and fulvic acids)	Complexation by organics (including humic and fulvic acids)	Biosphere
				Complexation by organics (including humic and fulvic acids)	Far-field
		Kinetics of organic complexation			
	Exothermic reactions		Thermal effects on hydrochemistry	Near-field	
		Exothermic reactions	Thermochemical effects	Backfill	
		Concrete hydration	Thermal effects (e.g. concrete hydration)	Near-field	
			Thermal effects (e.g. concrete hydration)	Seals	
			Thermochemical effects	Seals	
	Chemical effects on material properties	Chemical degradation of seals	Chemical effects: gas generation	Seals	
			Cement sulphate reaction	Seals	
			Alkali-aggregate reaction	Seals	
			Reactions with cement pore water (include in chemical degradation)	Seals	
			Cement-sulphate reaction: concrete	Near-field	
			Dissolution	Seals	
Degradation of the bentonite by chemical reactions			Seals		

Waste & Repository FBPs

WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system		
		Chemical degradation of backfill	Chemical effects: gas generation	Backfill		
			Dissolution	Backfill		
			Reactions with cement pore water (include in chemical degradation)	Backfill		
		Microbial effects on concrete			Hydrogen: effects of microbial growth on concrete	Waste
					Biogeochemical changes	Seals
					Hydrogen: effects of microbial growth on concrete	Seals
					Seal: microbiological effects/microbial activity	Seals
					Methane/CO2 production: effects of microbial growth on properties of concrete	Near-field
		Contaminant transport mode	Solute transport	Solute transport	Isotopic dilution	Seals
					Isotopic dilution	Backfill
Transport of chemically active substances into the near-field	Seals					
Transport of chemically active substances into the near-field	Backfill					
Dilution (mass, isotopic, species)	Near-field					
Dilution (mass, isotopic, species)	Far-field					
Dilution (mass, isotopic, species)	Biosphere					
Colloid transport			Colloid transport		Colloid transport (inorganic and organic)	Seals
					Colloid transport (inorganic and organic)	Backfill
					Colloid transport	Near-field
		Colloid formation and stability	Colloid formation; wastes	Waste		

Waste & Repository FEPs

WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system	
			Colloids	Near-field	
			Colloids, complexing agents	Biosphere	
			Colloids: formation & effects (including inorganic and organic colloid transport)	Far-field	
			Chemical changes due to colloid production (chemical changes)	Near-field	
			Colloids: formation and effects (including inorganic and organic colloid transport)	Biosphere	
			Physico-chemical phenomena/effects (e.g. colloid formation)	Biosphere	
			Chemical changes due to colloid production	Backfill	
			Chemical changes due to colloid production	Seals	
			Physico-chemical phenomena/effects (e.g. colloid formation)	Near-field	
			Colloid filtration	Pore blockage: concrete	Near-field
		Colloid sorption			
	Particulate transport		Suspensions of particles		
			Rinse	Rinse	Waste
			Cuttings		
			Cavings		
		Spallings			
Microbial transport		Microbial transport	Biogeochemical changes	Far-field	
			Transport of radionuclides bound to microbes	Near-field	

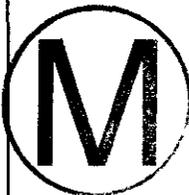
Waste & Repository FEPs

WIPP Categorization			CCA FEP List	DCCA FEP List	Sub-system			
	Gas transport			Transport of radionuclides bound to microbes	Backfill			
				Transport of radionuclides bound to microbes	Seals			
				Transport of radionuclides bound to microbes	Far-field			
				Transport of radionuclides bound to microbes	Biosphere			
				Microbial activity	Far-field			
				Transport of microbes into the near-field	Near-field			
			Biofilms	Rock property changes: microbial pore blocking	Near-field			
						Transport of radioactive gases	Transport of active gases: gas phase and in solution	Biosphere
							Active methane, carbon dioxide, radon, tritiated hydrogen and other active gases	Waste
							Transport of active gases	Near-field
Transport of active gases	Far-field							
Radon emission	Biosphere							
Gas discharge	Biosphere							
Transport of active gases	Backfill							
Transport of active gases	Seals							
Contaminant transport process	Advection		Advection	Advection/dispersion: radionuclides	Near-field			
				Advection/dispersion: radionuclides	Backfill			
				Groundwater flow, advection/dispersion (saturated conditions)	Far-field			



WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system				
			Extreme channel flow of oxidants and nuclides (preferential pathways)	Seals				
			Extreme channel flow of oxidants and nuclides (preferential pathways)	Near-field				
			Advection/dispersion: radionuclides	Seals				
			Water flow: advection and dispersion	Biosphere				
			Unsaturated transport	Backfill				
			Unsaturated transport	Seals				
			Unsaturated transport	Far-field				
	Diffusion		Diffusion	Diffusion: radionuclides	Near-field			
				Diffusion: radionuclides	Backfill			
				Diffusion: radionuclides	Seals			
				Diffusion (bulk, matrix, surface)	Biosphere			
				Diffusion (bulk, matrix, surface)	Far-field			
	Thermochemical transport phenomena		Soret effect	Soret effect	Seals			
				Soret effect	Backfill			
				Soret effect	Far-field			
				Soret effect	Near-field			
				Thermal effects and transport (diffusion) properties	Near-field			
				Electrochemical transport phenomena		Electrochemical effects	Electrochemical gradients	Waste
							Electrochemical cracking	Canister

Waste & Repository FEPs

WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system		
			Natural telluric electrochemical reactions	Canister		
			Electrochemical gradients	Canister		
			Natural telluric electrochemical reactions	Backfill		
			Natural telluric electrochemical reactions	Seals		
			Galvanic coupling	Waste		
			Electrophoresis	Canister		
	Physicochemical transport phenomena		Chemical gradients	Chemical gradients	Near-field	
				Chemical gradients (electrochemical effects and osmosis)	Biosphere	
				Chemical gradients (electrochemical effects and osmosis)	Far-field	
				Chemical gradients	Backfill	
				Chemical gradients	Seals	
				Chemical gradients (electrochemical effects and osmosis)	Canister	
				Osmosis	Waste	
				Alpha recoil	Recoil of alpha-decay	Waste
					Radiolysis, radiation damage	Far-field
					Release of stored energy	Waste
					Sudden energy release	Waste
					Release of stored energy	Near-field
Enhanced diffusion	Effects at saline-freshwater interface	Far-field				



WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system	
			Effects at saline-freshwater interface	Near-field	
			Effects at saline-freshwater interface	Backfill	
			Effects at saline-freshwater interface	Seals	
	Plant, animal and soil uptake			Bioaccumulation and translocation	Biosphere
			Plant uptake	Plants: Cycling processes	Biosphere
				Plants: Internal translocation and retention	Biosphere
				Tree sap	Biosphere
				Houseplants	Biosphere
				Plants: Vapor uptake	Biosphere
				Plants: Leaf-fall and senescence	Biosphere
				Plants: Root uptake, including deep rooting species	Biosphere
				Plants: Washoff and leaching by rainfall	Biosphere
				Plants: Deposition on surfaces	Biosphere
				Animal uptake	Animal habits (grooming and fishing, soil ingestion, diets, scavengers/predators)
			Animals: Cycling processes		Biosphere
			Animals: Uptake by inhalation		Biosphere
			Critical group - pets		Biosphere
			Animals: Internal translocation and retention		Biosphere
	Intrusion (animal)	Biosphere			
	Animals: Uptake by ingestion	Biosphere			

Waste & Repository FEPs

WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system		
		Accumulation in soils	Intrusion in accumulation zone in the biosphere (animals)	Biosphere		
			Accumulation in soils and organic debris, including peat	Biosphere		
			Accumulation in sediments	Biosphere		
			Solid discharge via erosional processes	Biosphere		
	Human uptake			Radiotoxic contaminants	Biosphere	
				Cure for cancer	Human influences	
				Critical group - agricultural labor,	Biosphere	
				Critical group - individuality	Biosphere	
				Sensitization to radiation	Biosphere	
		Ingestion			Carcasses	Biosphere
					Ingestion and wild plants	Biosphere
					Human diet	Biosphere
					Ingestion and domestic animal products	Biosphere
					Ingestion and agricultural crops	Biosphere
					Ingestion and wild animals	Biosphere
					Human soil ingestion	Biosphere
					Ingestion and drinking water	Biosphere
					Ingestion and soils and sediments	Biosphere
		Inhalation			Outdoor spraying of water	Human influences
					Inhalation and salt particles	Biosphere
Smoking	Biosphere					
Showers and humidifiers	Biosphere					
Radiological factors (smoking, transport agents)	Human influences					
Inhalation and biotic material	Biosphere					

Waste & Repository FEPs

WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system
			Household dust and fumes	Biosphere
			Deposition (wet and dry)	Biosphere
			Inhalation and soils and sediments	Biosphere
			Inhalation and gases and vapors (indoor/outdoor)	Biosphere
			Suspension in air	Biosphere
		Irradiation	Building materials	Biosphere
			Critical group - clothing and home furnishings	Biosphere
			External exposure: land, sediments, water bodies	Biosphere
		Dermal sorption	Dermal sorption - nuclides other than tritium	Biosphere
			Food preparation	Biosphere
			Dermal sorption - tritium	Biosphere
		Injection	Inject/ingest/inhaling locally produced drugs	Human influences



Human-initiated EPs



WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system
Geological	Drilling	Oil and gas exploration	Exploratory boreholes (oil, gas)	Human influences
			Undetected repository intrusions (boreholes, mining)	Human influences
			Exploratory boreholes (oil, gas): nonintrusive	Human influences
		Potash exploration	Exploratory boreholes (water, potash)	Human influences
		Water resources exploration		
		Oil and gas exploitation	Resource exploitation (e.g. hydrocarbon, geothermal)	Human influences
		Groundwater exploitation		
		Archeological investigations	Drilling: archeology: nonintrusive	Human influences
		Geothermal	Geothermal energy production	Human influences
			Geothermal energy exploration (and other unidentified resources)	Human influences
		Other resources		
		Enhanced oil and gas recovery	Drilling: enhanced oil/gas production: nonintrusive	Human influences
		Liquid waste disposal	Drilling: liquid waste disposal: nonintrusive	Human influences
		Hydrocarbon storage	Drilling: hydrocarbon storage: nonintrusive	Human influences
	Deliberate drilling intrusion	Intrusion (deliberate)	Human influences	
Sabotage		Human influences		
Sabotage		Near-field		
Intrusion (deliberate) - malicious (sabotage, act of war (nuclear))		Human influences		
	Chemical sabotage	Human influences		
Excavation activities		Intrusion in accumulation zone in the biosphere	Human influences	



Human-initiated EPs

WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system
			Resource exploitation (intersection of zone of contamination)	Human influences
		Potash mining	Potash mining	Human influences
		Other resources	Mining other than potash	Human influences
		Tunneling	Tunnelling	Human influences
		Construction of underground facilities	Gas leakage into underground living space	Biosphere
			Construction of underground storage/disposal facilities (e.g. gas storage)	Human influences
			Heat storage underground	Human influences
			Space heating	Biosphere
			Water leak into underground living space	Biosphere
			Near storage of other waste	Human influences
			Construction of underground dwellings/shelters	Human influences
		Archeological excavations	Archeological investigations	Human influences
		Deliberate mining intrusion	Unsuccessful attempt of site improvement	Human influences
			Intrusion (deliberate) - recovery of repository materials	Human influences
			Intrusion (deliberate) - recovery of wastes or associated materials (mining)	Human influences
Subsurface explosions		Sudden energy release	Human influences	
	Resource recovery	Resource recovery	Explosions (resource recovery)	Human influences
	Underground nuclear device testing	Underground nuclear device testing	Underground weapons testing (nuclear device)	Human influences

Human-initiated EPs

WIPP Categorization			CCA FEP List	DCCA FEP List	Sub-system
Subsurface hydrological and geochemical	Borehole fluid flow	Drilling-induced flow	Drilling fluid flow		
			Drilling fluid loss		
			Blowouts		
			Drilling-induced geochemical changes		
		Fluid extraction	Oil and gas extraction	Resource exploitation following intrusion	Human influences
			Groundwater extraction	Industrial use of water	Human influences
				Intentional artificial groundwater recharge or withdrawal	Human influences
				Groundwater discharge (wells)	Biosphere
				Wells (high demand)	Human influences
				Groundwater extraction	Human influences
				Dewatering	Human influences
		Fluid injection		Reuse of boreholes	Human influences
				Injection wells	Human influences
	Liquid waste disposal		Injection of liquid wastes: nonintrusive	Human influences	
	Enhanced oil/gas production		Injection wells: enhanced oil/gas production, hydrocarbon storage: nonintrusive	Human influences	
			Faulting/fracturing: change of properties - human-induced	Geology/climate changes	
	Hydrocarbon storage				
	Fluid injection-induced geochemical changes				





Human-initiated EPs

WIPP Categorization			CCA FEP List	DCCA FEP List	Sub-system
	Flow through abandoned boreholes			Boreholes - unsealed	Biosphere
		Natural borehole fluid flow			
		Waste-induced borehole flow			
		Flow through undetected boreholes	Undetected boreholes (existing): nonintrusive	Human influences	
		Borehole-induced solution and subsidence	Borehole-induced solution and subsidence	Human influences	
		Borehole-induced mineralization			
		Borehole-induced geochemical changes			
	Excavation-induced flow	Changes in groundwater flow due to mining			
		Changes in geochemistry due to mining	Solution mining	Human influences	
	Explosion-induced flow	Changes in groundwater flow due to explosions			
Geomorphological	Land use and disturbances	Land use changes	Charcoal production	Biosphere	
			Peat and leaf litter harvesting	Human influences	
			Land use changes	Biosphere	
			Ashes and sewage sludge	Human influences	
			Peat and leaf litter harvesting	Biosphere	
		Surface disruptions	Explosions (act of war)	Human influences	
			Quarrying, peat extraction	Human influences	
			Quarrying, near surface extraction	Human influences	
			Earth moving projects	Human influences	
			Earthmoving	Human influences	
Blasting and vibration	Human influences				



Human-initiated EPs

WIPP Categorization		CCA FEP List	DCCA FEP List	Sub-system
Surface hydrological	Water control and use		Human-induced changes in surface hydrology	Human influences
		Damming of streams or rivers	Hydrologic stresses: damming of streams or rivers	Human influences
			Dams	Biosphere
			Terrestrial water use (including wells and dams)	Biosphere
		Reservoirs	Reservoirs	Human influences
		Irrigation	Irrigation	Human influences
		Lake usage	Artificial lake mixing	Human influences
			Artificial lake mixing	Biosphere
			Heat storage in lakes	Human influences
			Lake infilling	Human influences
		Altered soil or surface water chemistry by human activities	Herbicides, pesticides, fungicides	Human influences
			Altered soil or surface water chemistry by human activities	Human influences
			Herbicides, pesticides, fungicides	Biosphere
			Crop fertilization	Biosphere
			Hydroponics	Biosphere
Hydroponics	Human influences			
Far field hydrochemistry - acids, oxidants, nitrates	Far-field			
Crop fertilization	Human influences			
Climatic	Anthropogenic climate change	Greenhouse gas effects	Anthropogenic climate change drought (greenhouse effect)	Geology/climate changes
			Greenhouse-induced effects (e.g. sea level change, precipitation, temp.)	Geology/climate changes



Human-initiated EPs

WIPP Categorization			CCA FEP List	DCCA FEP List	Sub-system	
				Greenhouse-induced ecological effects (including food production)	Biosphere	
				Greenhouse-induced storm surges	Geology/climate changes	
			Acid rain	Acid rain	Biosphere	
				Acid rain	Human influences	
				Acid rain	Geology/climate changes	
			Damage to ozone layer	Ozone layer (failure)	Geology/climate changes	
Ozone layer failure	Biosphere					
Marine	Marine activities		Coastal water use	Coastal water use	Biosphere	
			Sea water use	Sea water use	Biosphere	
			Estuarine water use	Estuarine water use	Biosphere	
Ecological	Agricultural activities		Ranching	Ranching	Human influences	
			Arable farming	Biogas production	Human influences	
				Crop storage	Biosphere	
				Crop storage	Human influences	
	Fish farming	Fish farming	Human influences			
	Social and technological developments			Demographic change and urban development	Demographic change, urban development	Human influences
				Agricultural and fisheries practice changes	Human influences	
				Critical group - leisure pursuits	Biosphere	
				Demographic change, urban development	Biosphere	
				Critical group - house location	Biosphere	
Technological advances in food production				Human influences		
Loss of records	Loss of records	Human influences				

**Appendix C: FEPs Excluded from the DCCA FEP List in the Development of the CCA FEP List**

**Table C-1. FEPs on the DCCA FEP list excluded from the development of the CCA FEP list as modeling issues**

DCCA FEP name	Sub-system
Boundary conditions	Backfill
Boundary conditions	Canister
Boundary conditions	Near-field
Boundary conditions	Seals
Boundary conditions	Waste
Correlation	Backfill
Correlation	Biosphere
Correlation	Canister
Correlation	Far-field
Correlation	Near-field
Correlation	Seals
Correlation	Waste
Groundwater flow: initial conditions	Backfill
Groundwater flow: initial conditions	Seals
Time dependence	Backfill
Time dependence	Canister
Time dependence	Seals
Time-dependence	Near-field
Uncertainties	Biosphere



**Table C-2. FEPs on the DCCA FEP list excluded from the development of the CCA FEP list as issues not regulated by 40 CFR Part 191**

DCCA FEP name	Sub-system
Advection/dispersion: hazardous constituents	Backfill
Advection/dispersion: hazardous constituents	Near-field
Advection/dispersion: hazardous constituents	Seals
Biotoxicity	Biosphere
Carcinogenic contaminants	Biosphere
Chemical toxicity	Biosphere
Diffusion: hazardous constituents	Backfill
Diffusion: hazardous constituents	Near-field
Diffusion: hazardous constituents	Seals
Dissolution, speciation, sorption, precipitation; hazardous constituents	Backfill
Dissolution, speciation, sorption, precipitation; hazardous constituents	Near-field
Dissolution, speciation, sorption, precipitation; hazardous constituents	Seals
Inventory: hazardous constituents (e.g. VOCs, heavy metals)	Waste
Mutagenic contaminants	Biosphere
Release of hazardous constituents from the failed canister	Canister
Release of sorbed VOCs	Waste
Solubility, speciation, precipitation: hazardous constituents	Waste
Source terms (hazardous constituents)	Waste
Teratogenic contaminants	Waste
Toxicity of mined rock	Biosphere



**Table C-3 FEPs on the DCCA FEP list excluded from the development of the CCA FEP list as issues relating to designs different to that forming the basis of the CCA**

DCCA FEP name	Sub-system
Alkali-aggregate reaction	Backfill
Backfill material deficiencies	Backfill
Cement sulphate reaction	Backfill
Coagulation of bentonite	Backfill
Cracking: concrete	Backfill
Degradation of the bentonite by chemical reactions	Backfill
Design modifications: backfill	Backfill
Effects of bentonite on groundwater chemistry	Backfill
Faulty backfill emplacement	Backfill
Gas generation from concrete	Backfill
Hydrogen: effects of microbial growth on concrete degradation	Backfill
Inadequate backfill or compaction, voidage	Backfill
Radiation effects on bentonite	Backfill
Sealing of cracks: concrete	Backfill
Thermal effects (e.g. concrete hydration)	Backfill
Uneven swelling of bentonite	Backfill
Inadequate design: exploration borehole seal failure	Biosphere
Backfill effects on container corrosion	Canister
Chemical reactions (copper corrosion)	Canister
Common cause canister defects - quality control	Canister
Container healing	Canister
Corrosive agents, sulphides, oxygen, etc.	Canister
Cracking along welds	Canister
Creeping of copper	Canister
Design modifications: canister	Canister
Differing thermal expansion of materials (glass, canister)	Canister
Hydride cracking	Canister
Incomplete filling of canisters	Canister
Internal pressure	Canister
Loss of ductility	Canister
Material defects, e.g. early canister failure	Canister
Radiation damage to container (embrittlement)	Canister
Random canister defects - quality control	Canister
Role of chlorides in copper corrosion	Canister
Stress corrosion cracking	Canister
Boreholes unsealed	Far-field
Inadequate design: exploration borehole seal failure	Far-field
Incomplete vault closure	Far-field
Accidents during operation	Human influences



**Table C-3 FEPs on the DCCA FEP list excluded from the development of the CCA FEP list as issues relating to designs different to that forming the basis of the CCA (Continued)**

DCCA FEP name	Sub-system
Backfill/seal material deficiencies	Human influences
Decontamination materials left	Human influences
Design modifications	Human influences
Inadvertent inclusion of undesirable materials	Human influences
Other future uses of crystalline rock	Human influences
Poor quality construction	Human influences
Poorly designed repository	Human influences
Radioactive waste disposal error	Human influences
Stray materials left	Human influences
Abandonment of unsealed repository	Near-field
Accidents during operation	Near-field
Design modification: DRZ (e.g. grouting)	Near-field
Design modification: geometry	Near-field
Effects of phased operations	Near-field
Improper operation	Near-field
Inadequate design: shaft seal and exploration borehole seal failure	Near-field
Incomplete repository or borehole closure	Near-field
Open boreholes	Near-field
Poor quality construction	Near-field
Preclosure events	Near-field
Repository flooding during operations	Near-field
Unmodeled design features	Near-field
Buffer additives	Seals
Design modifications: seals	Seals
Faulty seal emplacement	Seals
Inadequate seal or compaction, voidage	Seals
Seal material deficiencies	Seals
Damaged or deviating waste contents	Waste
Design modifications: waste (e.g. buffer additives)	Waste
Differing thermal expansion of glass matrix and canister	Waste
Fracturing	Waste
I, Cs-migration to fuel surface	Waste
Long-term physical stability	Waste
Radiation damage of the matrix including embrittlement	Waste
Solubility within fuel matrix	Waste
Solubility with fuel matrix	Waste
Stability of glass	Waste
Waste incompatibility	Waste



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