
**Title 40 CFR Part 191
Compliance Certification
Application
for the
Waste Isolation Pilot Plant**

Appendix AUD



**United States Department of Energy
Waste Isolation Pilot Plant**

**Carlsbad Area Office
Carlsbad, New Mexico**



Audits



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Table AUD-1. Carlsbad Area Office Audits of Other Organizations

Audited Organization	Number	Date
Idaho National Engineering Laboratory & Argonne National Laboratory - West Transuranic Waste Characterization Activities	CAO A-95-02	8/95
Rocky Flats Environmental Technology Site Transuranic Waste Characterization Activities	CAO A-95-06	10/95

Table AUD-2. Carlsbad Area Office Surveillances of Other Organizations

Surveilled Organization	Number	Date
Los Alamos National Laboratory Transuranic Waste Solid Sample Analysis	CAO S-95-03	3/95
Argonne National Laboratory - West Sample Collection from Waste Sludge Drums	CAO S-95-04A	4/95
Los Alamos National Laboratory Heterogeneous Drum Scale Waste Characterization	CAO S-95-06	4/95
Oak Ridge National Laboratory Gunite Tank Transuranic Waste Sludge Sampling	CAO S-95-09	5/95
Los Alamos National Laboratory Actinide Source-Term Waste Test Program Sampling of Liter-Scale Containers	CAO S-95-15	6/95
Idaho National Engineering Laboratory Volatile Organic Compounds Transport Study	CAO S-95-12	6/95
Los Alamos National Laboratory Actinide Source-Term Test Program	CAO S-95-13	7/95
Idaho National Engineering Laboratory Packaging, Handling, and Transportation	CAO S-96-19	4/96
Los Alamos National Laboratory Sludge Coring and Chemical Analysis	CAO S-96-24	5/96
Los Alamos National Laboratory Waste Characterization Activities	CAO S-96-48	8/96

Table AUD-3. Internal Audits by Sandia National Laboratories

Activity	Number	Date
University of Nevada, Reno, Implementation of Quality Assurance Requirements from Statement of Work and Sandia National Laboratories Quality Assurance Program Description, Rev. P.	IA 95-01	1/95
Sandia National Laboratories WIPP Calibration Laboratory Quality Assurance Program 12-1 and Sandia National Laboratories Quality Assurance Program Description, Rev. P.	IA 95-02	5/95
Sandia National Laboratories WIPP Experimental Activities, Sandia National Laboratories Quality Assurance Program Description, Rev. P.	IA 95-03	8/95

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Table AUD-4. Internal Audits by Waste Isolation Division

Activity	Number	Date
Hazardous Material Transportation	I96-01	1/96
Controller - Records Management Interfaces	I96-02	4/96
Groundwater Monitoring Program	I96-03	4/96
Hazardous Material Management Program	I95-01	3/95
Procedure and Document Control Process	I95-02	5/95
Procurement Services	I95-04	7/95
Emergency Management	I95-05	7/95
Environment, Safety & Health Volatile Organic Compounds Monitoring Program	I95-07	10/95
Human Resources Technical Training	I94-01	3/94
Environment Safety Health & Regulatory Compliance Environmental Monitoring	I94-02	2/94
Environment Safety Health & Regulatory Compliance Environmental Compliance & Support	I94-03	4/94
Environment Safety Health & Regulatory Compliance Industrial Safety, Fire Protection	I94-04	6/94
Controller Project Records Service	I94-05	6/94
Engineering Configuration Management	I94-06	7/94
Operations Work Control	I94-07	7/94
Environment Safety Health & Regulatory Compliance Emergency Management	I94-09	9/94
Controller Procurement Services	I93-01	3/93
Controller Information Resource Management	I93-02	8/93
Engineering/Configuration Control & Instruction, Procedure, & Drawings	I93-03	9/93
Underground Operations, Facility Operations, Experimental Operations	I93-04	12/93
WIPP Analytical Laboratory	I93-05	5/93
Packaging and Transportation	I93-06	7/93
Operations Metrology Lab Measurement & Testing Experiment/Measuring & Data Collection	I93-08	7/93
Controller, Procurement Services, Material Control, Stores Inventory Control	94-001	1/94
Stakeholder Relations, Emergency Operations Information Center	94-003	2/94
Underground Operations, Underground Work Area Ground Control Inspection	94-004	1/94
Environment Safety Health & Regulatory Compliance, Dosimetry and Analytical Technology, Volatile Organic Compounds Operational Procedures WP 12-EM3200 & 3205	94-010	3/94

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Table AUD-4. Internal Audits by Waste Isolation Division (Continued)

Activity	Number	Date
Operations, Work Control, Training of Subcontract Personnel	94-011	3/94
Environment Safety Health & Regulatory Compliance, Nuclear Safety and Assessment/Radiation Safety, Radioactive Material Control WP 12-HP3200	94-014	4/94
Environment Safety Health & Regulatory Compliance, Industrial Safety, Weekly Fire Pump Inspections WP 12-117	94-016	5/94
Environment Safety Health & Regulatory Compliance, Industrial Safety, Root Cause Analysis Investigation WP 12-135	94-018	5/94
Human Resources, Instructor Certification WP 14-TR3305	94-019	3/94
Engineering, Repository Engineering/Geotechnical Engineering, 1993 Geotechnical Analysis Report, DOE/WIPP 94-006	94-020	4/94
Controller, Information Resource Management/Document Services, Review, Approval, and Cancellation of Waste Isolation Division Procedures	94-023b	8/94
Quality and Regulatory Assurance, Quality Assessment/Inspection Services, Training and Qualification of Personnel	94-024	8/94
Controller, Procurement Services/Material Control, Stores Inventory Control WP 15-517	94-025	7/94
Human Resources, Technical Training, General Employee Training, Instructor Certification, Self-Assessment	94-026	8/94
Controller, Information Resources Management/Document Services, Procedure Change Process WP 15-PS3003 and Guidelines for Desk Top Instructions WP 15-PS3004	94-027	7/94
Operations, Work Control, Review and Control of Facility Inspections WP 10-010	94-028	7/94
Controller, Procurement Services, Material Control, Material Control and Inspection of the In-Town Warehouse Facility	94-031	9/94
Quality and Regulatory Assurance, Independent Assessment, Waste Characterization Records Management	93-001	1/93
Operations, Waste Handling, Training and Qualification	93-002	1/93
Operations, Work Control, Preventive and Corrective Maintenance	93-004	1/93
Environment Safety Health & Regulatory Compliance, Nuclear Safety & Assessment, Radiation Safety, Calibration of Alpha Continuous Air Monitoring Systems	93-006	2/93
Environment Safety Health & Regulatory Compliance, Nuclear Safety & Assessment, Radiation Safety, Operational Health Physics Survey of TRUPACT-II Receipt	93-007	2/93
Environment Safety Health & Regulatory Compliance, Nuclear Safety & Assessment, Radiation Safety, Operational Health Physics Survey of TRUPACT-II Receipt	93-008	2/93
Operations, Facility Operations, Qualification and Certification Records for Facility Operations Personnel	93-012	3/93

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Table AUD-4. Internal Audits by Waste Isolation Division (Continued)

Activity	Number	Date
Environment Safety Health & Regulatory Compliance, Nuclear Safety & Assessment, Radiation Safety, Calibration-Qualification and Procedures	93-013	3/93
Operations, Work Control, Preventive Maintenance Instructions and Validations	93-015	4/93
Environment Safety Health & Regulatory Compliance, Dosimetry and Analytical Technology, Acceptance Criteria for Volatile Organic Compounds Data Packages	93-016	4/93
Environment Safety Health & Regulatory Compliance, Industrial Safety, C Personnel Training and Qualification	93-017	5/93
Operations, Waste Handling, Overview of Waste Handling Exercise	93-022	6/93
Quality and Regulatory Assurance, Quality Assurance Record	93-026	6/93
Operation Waste Handling, Overview of Waste Handling Exercise	93-027	6/93
Controller, Information Resource Management, Control Document Locations	93-033	7/93
Operation, Waste Handling, Waste Characterization Records/Level V Verification Process	93-035	8/93
Operations, Environment Safety Health & Regulatory Compliance, Quality Assurance, Training of Support Personnel	93-044	8/93
Operations, Security, Interfaces Between Operations and Human Resources Relating to Waste Receipt	93-045	9/93
Operation, Work Control, Procedure Compliance and Loop Calibration	93-046	9/93
Environment Safety Health & Regulatory Compliance, Environmental Programs, Environmental Monitoring, Low-Volume Air Sampling WP 02-312	93-048	9/93
Controller, Information Resources Management, Surveillance Testing of the Waste Handling Building Sprinkler System	93-051	10/93
Controller, Information Resources Management, Procedure Compliance PM 711028	93-052	10/93
Operations, Mine Operations, Incorporation of Modifications	93-055	10/93
Engineering, Repository Engineering, Geomechanical Instrument Data Processing	93-056	11/93
Environment Safety Health & Regulatory Compliance, Environmental Programs/Industrial Safety, Environmental Incident Reporting	93-057	11/93
Operations, Work Control, Control, Use, and Testing of Rigging Component	93-059	11/93