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## 6.0 CONTAINMENT REQUIREMENTS

### 6.0.1 Introduction

Because of the amount *and complexity* of *the* material presented in Chapter 6.0, ~~and its complexity, the U.S. Department of Energy (DOE) has provided an introductory summary of Chapter 6.0~~ *is provided below*. Detailed discussions of the topics covered in this summary are found in the remainder of the chapter, which is organized as follows.

- Section 6.1 – ~~†~~*The overall system performance assessment (PA) methodology used to evaluate compliance with the containment requirements.*
- Section 6.2 – ~~‡~~*A comprehensive list of features, events, and processes (FEPs) that might affect disposal system performance, the screening methodology applied to that list, and the results of the screening process.*
- Section 6.3 – ~~‡~~*Development of the scenarios that are considered in the system-level consequence analysis.*
- Section 6.4 – ~~†~~*The conceptual and computational models used to perform the system-level consequence analysis (performance assessment) PA, the overall flow of information in the performance assessment PA, the scenario probabilities, and the construction of a performance measure for comparison with the standard.*
- Section 6.5 – ~~†~~*The results of the performance assessment PA.*

Additional information supporting this chapter is provided in appendices. See Table ~~4-6~~ *1-1* in ~~Chapter 4.0~~ for a list of these appendices.

*The U.S. Department of Energy (DOE) continues to use the same PA methodology for the recertification of WIPP. In general, changes that have been made since the U.S. Environmental Protection Agency (EPA) certified WIPP do not impact PA methodology.*

### 6.0.2 Overview of Chapter 6.0

The ~~DOE has~~ *EPA* determined that the WIPP is in compliance with the Containment Requirements of Title 40 Code of Federal Regulations (CFR) § 191.13 *in 1998 (EPA 1998a). The DOE has conducted a new PA for the WIPP. The WIPP Land Withdrawal Act (LWA), Public Law 02-579 as amended by Pubic Law No. 104-201, requires DOE to provide the EPA with documentation of continued compliance with the disposal standards within five years of first waste receipt and every five years thereafter. During review of the initial certification application, EPA required many changes to PA parameters, which have been included in the PA for this recertification application (EPA 1998b). The DOE has also made additional changes to the PA to better represent repository features, such as panel closures, and to account for new information. Table 6-1 summarizes the changes to the PA since the Compliance Certification Application (CCA); additional information is provided in Appendix PA (Attachment MASS, Section 2).*

1

**Table 6-1. WIPP Project Changes and Cross References**

<b>WIPP Project Change</b>	<b>Cross Reference</b>
<i>Incorporation of 1997 Performance Assessment Verification Test (PAVT) Parameters</i>	
<i>Credit for Passive Institutional Controls</i>	<i>6.4.12.1</i>
<i>K<sub>d</sub> (Dissolved-Actinide Matrix Distribution Coefficient)</i>	<i>6.0.2.3.7, 6.4.6.2.2</i>
<i>Probability of Encountering a Brine Reservoir</i>	<i>6.0.2.3.8, 6.4.8, 6.4.12.6</i>
<i>Brine Reservoir Rock Compressibility</i>	<i>6.4.8</i>
<i>Brine Reservoir Porosity</i>	<i>6.4.8</i>
<i>Drill String Angular Velocity</i>	<i>Appendix PA, Attachment MASS (Section 16) and Attachment PAR</i>
<i>Waste Permeability</i>	<i>6.4.3.2</i>
<i>Waste Unit Factor</i>	<i>Appendix TRU WASTE</i>
<i>Long-term Borehole Permeability</i>	<i>6.4.7.2</i>
<i>Borehole Plug Permeability</i>	<i>6.4.7.2</i>
<i>Waste Shear Strength and Erodability</i>	<i>Appendix PA, Attachment MASS (Section 16)</i>
<i>DRZ</i>	<i>6.4.5.3, 6.4.10.1</i>
<i>Actinide Solubility</i>	<i>6.4.3.5</i>
<i>Inundated Steel Corrosion Rate</i>	<i>6.4.3.3</i>
<i>Operational Changes</i>	
<i>Option D Panel Closure</i>	<i>6.4.3, 6.4.4</i>
<i>Inventory Update</i>	<i>6.4.3.1, 6.4.3.3</i>
<i>Culebra Water Levels</i>	<i>6.4.6.2, and Appendix PA, Attachment MASS</i>
<i>Spallings Model</i>	<i>6.0.2.3.2; Appendix PA (Section 4.6) and Attachment MASS (Section 16.0)</i>
<i>Drilling Rate</i>	<i>6.0.2.3, 6.2.5.2; Appendix DATA (Section 2 and Attachment A)</i>
<i>Organic Ligands</i>	<i>6.0.2.3.4, 6.4.3.4; Appendix PA, Attachments SOTERM and SCR</i>
<i>FEPs Reassessment</i>	<i>6.2.6; Appendix PA, Attachment SCR</i>
<i>Borehole Plugs Configuration Probability</i>	<i>6.4.7.2</i>
<i>Mining Disposal Horizon to Clay G</i>	<i>Appendix PA, Attachment MASS (Section 20)</i>

2 **From this assessment, the DOE has demonstrated that the WIPP continues to comply with the**  
3 **Containment Requirements of 40 CFR § 191.13.** These requirements are **Containment**  
4 **Requirements** are stringent and state that the DOE must demonstrate a reasonable expectation  
5 that the probabilities of cumulative radionuclide releases from the disposal system during the  
6 10,000 years following closure will fall below specified limits. The performance assessment **PA**  
7 analyses supporting this determination must be quantitative and must consider uncertainties  
8 caused by all significant processes and events that may affect the disposal system, including  
9 **future** inadvertent human intrusion into the repository during the future. A quantitative



1 ~~performance assessment~~ **PA** is conducted using a series of linked computer models in which  
 2 uncertainties are addressed by a Monte Carlo procedure for ~~the~~ sampling of selected input  
 3 parameters.

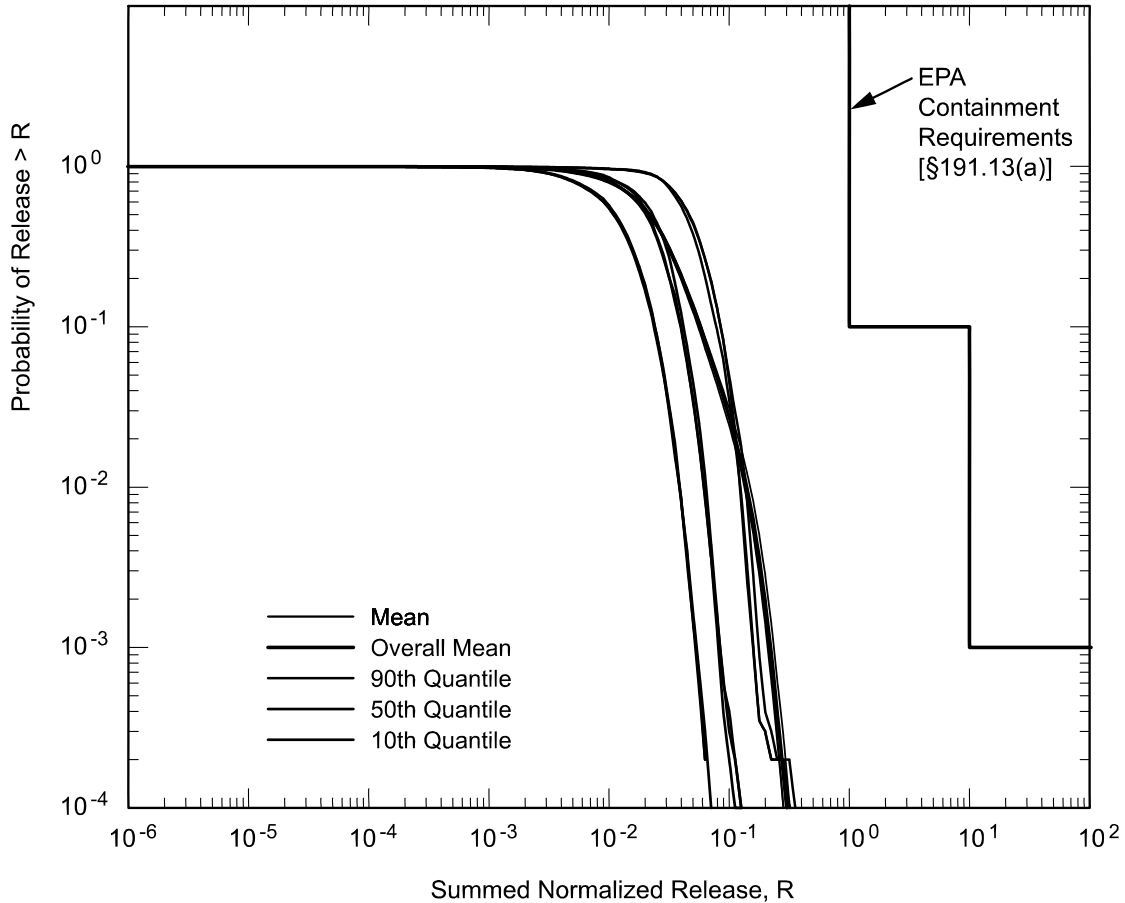
4 As required by regulation, results of the ~~performance assessment~~ **PA** are displayed as  
 5 complementary cumulative distribution functions (CCDFs) that display the probability that  
 6 cumulative radionuclide releases from the disposal system will exceed the values calculated for  
 7 ~~each~~ scenarios considered in the analysis. These CCDFs are calculated using reasonable and, in  
 8 some cases conservative conceptual models ~~that are~~ based on the scientific understanding of the  
 9 behavior of the disposal system's *behavior*. Parameters used in these models are derived from  
 10 experimental data, field observations, and relevant technical literature. *Changes to the CCA's*  
 11 *parameters and models that have been necessary since the original certification have been*  
 12 *incorporated into the PA. Information on the waste already disposed and new estimates of*  
 13 *current and projected waste inventories are also incorporated.* The overall mean CCDF  
 14 *continues to* lies entirely below and to the left of the specified limits, and the WIPP is therefore  
 15 *in continues to be in* compliance with the containment requirements of 40 CFR Part 191,  
 16 *Subpart B (see Section 6.5.2, Figure 6-1)*. Sensitivity analysis of results shows *that* the location  
 17 of the mean CCDF is dominated by ~~of~~ radionuclides releases that could occur ~~directly at~~ *on* the  
 18 ground surface during ~~the~~ *an* inadvertent penetration of the repository by a future drilling  
 19 operation. Releases of radionuclides to the accessible environment resulting from transport in  
 20 groundwater through the shaft seal systems and the subsurface geology are resulting negligible,  
 21 with or without human intrusion, and make no contribution to the location of the mean CCDF.  
 22 No releases whatsoever are predicted to occur at the ground surface in the absence of human  
 23 intrusion. The natural and engineered barrier systems of the WIPP provide robust and effective  
 24 containment of transuranic (TRU) waste even if the repository is penetrated by multiple  
 25 boreholes ~~intrusions~~.

26 *A list of changes and a citation to where they are discussed is shown in Table 6-1.*

#### 27 6.0.2.1 Conceptual Basis for the Performance Assessment

28 The foundations of the ~~performance assessment~~ **PA** lie in *are* a thorough understanding of the  
 29 disposal system and the possible future interactions ~~among~~ *of* the repository, the waste, and the  
 30 surrounding geology. ~~This~~ *The recertification* application is organized ~~such~~ *so* that site  
 31 characterization, facility design, and waste characterization are described separately in Chapters  
 32 2.0, 3.0, and 4.0, *respectively*. The DOE's confidence in the results of the *recertification*  
 33 ~~performance assessment~~ **PA** is based in part on the strength of the *original* research done during  
 34 site characterization, *experimental results used to develop and confirm parameters and models*,  
 35 the robustness of the facility design, and the knowledge of the *updated* inventory. Quality  
 36 assurance (QA) activities, described in Chapter 5.0, demonstrate that the information gathered  
 37 during these activities is qualified to *meet the QA criteria in 40 CFR 194*. ~~support the~~  
 38 ~~compliance decision~~.

39 Chapters 2.0, 3.0, and 4.0 provide ~~the~~ basic descriptions of the *disposal system* main components  
 40 ~~of the disposal system~~. The interactions of the repository and waste with the geologic system,  
 41 and the response of the disposal system to possible future inadvertent human intrusion, are  
 42 described in Section 6.4.



CCA-139-3

**Note:** Mean, median, and 10th and 90th percentile CCDFs are shown together with the overall mean. These CCDFs are based on the distributions of CCDFs shown in Figure 6-35, 6-36, and 6-37 6-34, 6-35, and 6-36.

**Figure 6-1. Summary CCDFs for Replicates 1, 2, and 3**

6.0.2.2 Undisturbed Performance

An evaluation of undisturbed performance, which is defined by regulation (see 40 CFR § 191.15 and § 191.2) to exclude human intrusion and unlikely disruptive natural events, is required by regulation (see 40 CFR § 191.12 Sections 191.15 and § 191.24). Evaluation of past and present natural geologic processes in the region indicate that none has the potential to breach the repository within 10,000 years. Behavior of the disposal system behavior is dominated by the coupled processes of deformation of the rock deformation surrounding the excavation, fluid flow, and waste degradation. Each of these processes can be described independently, but the extent to which each process occurs will be is affected by the others.

Deformation of the rock immediately around the repository begins as soon as excavation creates a disturbance in the stress field. Stress relief results in some degree of brittle fracturing and the formation of a disturbed rock zone (DRZ), which surrounding excavations in all deep mines, including the repository. For the WIPP, the DRZ is characterized by an increase in permeability and a decrease in pore pressure, and may ultimately extend a few meters from the excavated region. Salt will also deform due to deviatoric stress by creep processes, which are a result of

1 *deviatoric stress, causing the materials to* and move inward to fill voids. This process of Salt  
 2 creep will continue until deviatoric stress is dissipated and the system is once again at stress  
 3 equilibrium.

4 The ability of salt to creep, thereby healing fractures and filling porosity, is one of ~~the~~*its*  
 5 fundamental advantages of using it as a medium for geologic disposal of radioactive waste and is  
 6 one of the reasons it was recommended for use by the National Academy of Sciences (NAS).  
 7 For the WIPP, salt creep provides the *mechanism* for the design basis of the compacted-crushed  
 8 salt *compaction in* of the shaft seal system components that will compact, to yielding properties  
 9 approaching those of the intact salt within 200 years. The salt creep will also cause the DRZ  
 10 surrounding the shaft to heal rapidly around the concrete components of the seal system. In the  
 11 absence of elevated *gas* pressure in the repository, salt creep would also eventually result in  
 12 substantially *ly* compaction of the waste and the healing of the DRZ around the disposal region.  
 13 Understanding ~~the~~ The coupling of salt creep with fluid flow and waste degradation processes  
 14 *results in* suggests that fluid pressure within the waste disposal region will be sufficient to  
 15 maintaining significant porosity within the disposal region throughout the performance period.

16 Characterization of the Salado Formation (*hereafter referred to as the Salado*) indicates that  
 17 fluid flow does not occur on time scales of interest in the absence of an artificially imposed  
 18 hydraulic gradient. This lack of fluid flow is the second fundamental reason for the choice of  
 19 salt as a medium for geologic disposal of radioactive waste. Lack of fluid flow is a result of the  
 20 extremely low permeability of the evaporite rocks that make up the Salado. Excavation of the  
 21 repository has disturbed the natural hydraulic gradient and rock properties and has resulted in  
 22 fluid flow. Small quantities of interstitial brine present in the Salado move toward regions of  
 23 low hydraulic potential and brine seeps are observed in the underground. The slow flow of brine  
 24 from halite into more permeable anhydrite marker beds and then through the DRZ into the  
 25 repository is expected to continue as long as the hydraulic potential within the repository is  
 26 below *that of* the hydraulic potential in the far field. The repository environment will also  
 27 involve gas, and fluid flow *that* there must be modeled as a two-phase process. Initially, the  
 28 *gaseous* phase will consist primarily of air trapped at the time of closure, although other gases  
 29 will *may* form as a result of *from* waste degradation. *In the PA,* the *gaseous* phase pressure  
 30 will rise due to creep closure, gas generation, and brine inflow, creating the potential for flow  
 31 *outward* from the excavated region.

32 Consideration of waste degradation processes indicates that ~~the role of~~ the *gaseous* phase in fluid  
 33 flow and the *repository's* pressure history of the repository will be far more important than  
 34 would be expected if the initial air were the only gas present. *Waste D*egradation of waste can  
 35 generate significant additional gas by two processes:

- 36 1. the generation of hydrogen (*H<sub>2</sub>*) gas by anoxic corrosion of iron, iron alloys *steels, other*  
 37 *iron-base (Fe-based) alloys,* and aluminum (*Al*) and *Al-based alloys,* and
- 38 2. the generation of carbon dioxide (*CO<sub>2</sub>*) and methane (*CH<sub>4</sub>*) by anaerobic microbial  
 39 *consumption* degradation of waste containing cellulose *ic,* rubber, or plastic, *or rubber*  
 40 *materials.*

1 The ~~e~~Coupling of these gas-generation reactions to the processes of fluid flow and salt creep  
2 processes is complex. Gas generation will increase fluid pressure in the repository, thereby  
3 decreasing the hydraulic gradient and deviatoric stress between the far field and the excavated  
4 region and inhibiting the processes of brine inflow and salt creep. Anoxic corrosion will also  
5 consume brine as it breaks down water to oxidize iron *steels and other Fe-based alloys* and  
6 release hydrogen  $H_2$  gas. Thus, corrosion has the potential to be a self-limiting process, in that as  
7 it consumes all water in contact with iron *steels and other Fe-based alloys*, it will cease.  
8 *Microbial reactions also require water, either in brine or the gaseous phase. It is assumed*  
9 *that microbial reactions will result in neither the consumption nor production of water.*  
10 ~~Microbial reactions are also considered to be dependent on the presence of water to occur,~~  
11 ~~although their net effect is uncertain. It is assumed that microbial reactions will result in neither~~  
12 ~~the consumption nor creation of water.~~

13 The total volume of gas ~~that may be~~ generated by corrosion and microbial ~~degradation~~  
14 *consumption* may be sufficient to result in repository pressures that approach lithostatic.  
15 Sustained pressures above lithostatic are not physically reasonable within the disposal system,  
16 and fracturing of the more brittle anhydrite layers is expected to occur if sufficient gas is present.  
17 The conceptual model implemented in the ~~performance assessment~~ *PA* causes permeability and  
18 porosity of the anhydrite marker beds to increase rapidly as pore pressure approaches and  
19 exceeds lithostatic. This conceptual model for pressure-dependent fracturing approximates the  
20 hydraulic effect of pressure-induced fracturing and allows gas and brine to move more freely  
21 within the marker beds at higher pressures.

22 Overall, the behavior of the undisturbed disposal system will result in extremely effective  
23 isolation of the radioactive waste. Concrete, clay, and asphalt components of the shaft seal  
24 system will provide an immediate and effective barrier to fluid flow through the shafts, isolating  
25 the repository until salt creep has consolidated the compacted crushed salt components ~~that will~~  
26 *and* permanently sealed the shafts. Around the shafts, the DRZ in halite layers will heal rapidly  
27 because the presence of the solid material within the shafts will provide rigid resistance to creep.  
28 The DRZ around the shaft, therefore, will not provide a continuous pathway for fluid flow.  
29 *Similarly, the Option D panel closure will provide rigid resistance to creep and rapidly*  
30 *eliminate the DRZ locally by a compressive state of stress.* The DRZ is not expected to heal  
31 completely around the disposal region, or the operations and experimental regions, and pathways  
32 for fluid flow may exist indefinitely to the overlying and underlying anhydrite layers (*e.g.*,  
33 Marker Beds (MB) 138 and 139 and anhydrites a and b). Some quantity of brine ~~is expected to~~  
34 *will* be present in the repository under most conditions and ~~this brine may~~ contain actinides  
35 (which dominate the radionuclide inventory and are therefore the elements of primary regulatory  
36 interest) mobilized as both dissolved and colloidal species. Gas generation by corrosion and  
37 microbial degradation is expected to occur and will result in elevated pressures within the  
38 repository. These pressures will not significantly exceed lithostatic, because fracturing within  
39 the more brittle anhydrite layers will occur and provide a pathway for gas to leave the repository.  
40 Fracturing *due to high gas pressures may* ~~is expected to~~ enhance gas and brine migration from  
41 the repository, but gas transport will not contribute to the release of actinides from the disposal  
42 system. Brine flowing out of the waste disposal region through anhydrite layers may transport  
43 actinides as dissolved and colloidal species, but the quantity of actinides that may reach the  
44 accessible environment boundary during undisturbed performance through the interbeds is

1 insignificant and has no effect on the compliance determination. No migration of radionuclides  
2 whatsoever is expected to occur vertically through the Salado or through the shaft seal system.

3 6.0.2.3 Disturbed Performance

4 Performance assessment is required by regulation to consider scenarios that include intrusions  
5 into the repository by inadvertent and intermittent drilling for resources. *In the CCA,* ~~the~~  
6 probability of these intrusions is *was* based on a future drilling rate of 46.8 boreholes per square  
7 kilometer per 10,000 years. This rate is *was* based on ~~consideration of~~ the past record of drilling  
8 events in the Delaware Basin, consistent with regulatory criteria. *Since the CCA, additional*  
9 *drilling in the Delaware Basin has raised the drilling rate to 52.5 boreholes per square*  
10 *kilometer per 10,000 years (see Appendix DATA, Section DATA-2.0 and Attachment A).*  
11 Active institutional controls are assumed to be completely effective in preventing intrusion  
12 during the first 100 years after closure. ~~and~~ *Passive institutional controls a were re originally*  
13 assumed *in the CCA* to be effectively ~~in reducing~~ *reduce* the drilling rate by two orders of  
14 magnitude for the 600 years ~~that following~~ the 100 years of active control. *However, in*  
15 *certifying the WIPP, EPA denied the application of credit for the effectiveness of passive*  
16 *controls for 600 years. Although the Compliance Recertification Application 2004 PA (2004*  
17 *PA) does not include a reduced drilling intrusion rate to account for passive institutional*  
18 *controls, future PA may do so.* Future drilling practices are assumed to be the same as current  
19 practice, also consistent with regulatory criteria. These practices include the type and rate of  
20 drilling, emplacement of casing in boreholes, and the procedures implemented when boreholes  
21 are plugged and abandoned.

22 ~~Results of the performance assessment~~ *PA results* indicate that human intrusion provides the only  
23 *potential* mechanism for significant releases of radionuclides from the disposal system. These  
24 releases ~~may~~ *could* occur by five mechanisms:

- 25 (1) cuttings, which include material intersected by the rotary drilling bit;
- 26 (2) cavings, which include material eroded from the borehole wall during drilling;
- 27 (3) spallings, which include solid material carried into the borehole during rapid  
28 depressurization of the waste disposal region;
- 29 (4) direct brine releases, which include contaminated brine that may flow to the surface  
30 during drilling; and
- 31 (5) long-term brine releases, which include the contaminated brine that may flow through a  
32 borehole after it is abandoned.

33 The first four of ~~these~~ mechanisms operate immediately following the intrusion event and are  
34 collectively referred to as direct releases. The accessible environment boundary for these  
35 releases is the ground surface. The fifth mechanism, actinide transport by long-term  
36 groundwater flow, begins when concrete plugs are assumed to degrade in an abandoned borehole  
37 and may continue throughout the regulatory period. The accessible environment boundary for  
38 these releases may be the ~~land~~ *ground* surface or the lateral subsurface limit of the controlled  
39 area.



1 Repository conditions prior to intrusion will be the same as those ~~described~~ for undisturbed  
2 performance and all processes active in undisturbed performance will continue to occur  
3 following intrusion. Because intrusion provides a pathway for radionuclides to reach the ground  
4 surface and to enter the geological units above the Salado, additional processes will occur that  
5 are less important in undisturbed performance. These processes include the mobilization of  
6 radionuclides as dissolved and colloidal species in repository brine and groundwater flow, and  
7 actinide transport in the overlying units. Flow and transport in the Culebra Member of the  
8 Rustler Formation (*hereafter referred to as the Rustler*) are of particular interest because  
9 *modeling indicates* this is the unit to which ~~modeling indicates~~ most flow from a borehole  
10 *may* will occur.

#### 11 6.0.2.3.1 Cuttings and Cavings

12 In a rotary drilling operation, the volume of material brought to the surface as cuttings is  
13 *calculated as* the cylinder defined by the thickness of the unit ~~being drilled~~ and the diameter of  
14 the drill bit. The quantity of radionuclides released as cuttings is therefore a function only of the  
15 ~~activity of the~~ intersected waste *activity* and the diameter of the intruding drill bit. Like all  
16 parameters that describe future drilling activities, the diameter of a drill bit that may intersect  
17 waste is speculative. The DOE uses a constant value of 0.311 m (12.25 in.), consistent with bits  
18 *currently* used at the WIPP depth in the Delaware Basin ~~today~~. The ~~activity of the~~ intersected  
19 waste *activity* may vary depending on the type of waste intersected, and the DOE considers  
20 random penetrations into remote-handled (RH)-TRU waste and each of the ~~693~~ ~~569~~ different  
21 waste *stream* types identified for contact-handled (CH)-TRU waste (*569 waste streams were*  
22 *used in the CCA*).

23 The volume of particulate material eroded from the borehole wall *by the drilling fluids* and  
24 brought to the surface as cavings may be affected by the drill bit diameter, the effective shear  
25 resistance of the intruded material, the speed of the drill bit, the viscosity of the drilling fluid and  
26 the rate at which it is circulated in the borehole, and other properties related to the drilling  
27 process. The most important of these parameters, after drill bit diameter, is the effective shear  
28 resistance of the intruded material. In the absence of data describing the reasonable and realistic  
29 future properties of degraded waste and *magnesium oxide (MgO)* backfill, the DOE ~~has~~ used  
30 conservative parameter values based on the properties of fine-grained sediment. Other properties  
31 are assigned fixed values consistent with current practice. The quantity of radionuclides released  
32 as cavings depends on the volume of eroded material and its activity, which is treated in the same  
33 manner as the activity of the cuttings.

#### 34 6.0.2.3.2 Spallings

35 Unlike releases from cuttings and cavings, which ~~will~~ occur with every *modeled* borehole  
36 intrusion, spalling releases will occur only if pressure in the waste-disposal region exceeds the  
37 hydrostatic pressure in the borehole. At lower pressures, below about 8 megapascals, fluid in the  
38 waste-disposal region will not flow toward the borehole. At higher pressures, gas flow toward  
39 the borehole may be sufficiently rapid to *cause additional solid material to enter the borehole.*  
40 ~~entrain particulate waste.~~ If spalling occurs, the volume of spalled material is *will be* affected by  
41 the physical properties of the waste, *specifically such as* its tensile strength and particle  
42 diameter. ~~As is the case for the effective shear resistance for the waste, WIPP specific~~



1 ~~experimental data are not available to support parameter values for the tensile strength and~~  
 2 ~~average particle diameter of degraded waste and backfill. The DOE has based the parameter~~  
 3 ~~values used in the performance assessment PA on reasonable and conservative assumptions.~~  
 4 *Since the original certification, a revised conceptual model for the spallings phenomena has*  
 5 *been developed (see Appendix PA, Section 4.6 and Attachment MASS, Section 16). Model*  
 6 *development, execution, and sensitivity studies necessitated implementing parameter values*  
 7 *pertaining to waste characteristics, drilling practices and physics of the process. The*  
 8 *parameter range for particle size was derived by expert elicitation (EPA 1997, II-G-24).*

9 The quantity of radionuclides released as spalled material depends on the volume of spalled  
 10 waste and its activity. Because spalling may occur at a greater distance from the borehole than  
 11 cuttings and cavings, spalled waste is assumed to have the volume-averaged activity of CH-TRU  
 12 waste rather than the sampled activities of individual waste streams. RH-TRU waste is isolated  
 13 from the spallings process and does not contribute to the volume or activity of spalled material.

#### 14 6.0.2.3.3 Direct Brine Flow

15 Radionuclides may be released to the accessible environment if repository brine enters the  
 16 borehole during drilling and flows to the ground surface. The quantity of radionuclides released  
 17 by direct brine flow depends on the volume of brine reaching the ground surface and the  
 18 concentration of radionuclides contained in the brine. ~~As is the case for~~ *with* spallings, direct  
 19 releases of brine will not occur if repository pressure is below the hydrostatic pressure in the  
 20 borehole. At higher repository pressures, ~~if mobile brine is present in the repository, it will flow~~  
 21 ~~toward the borehole. If the volume of brine flowing from the repository into the borehole is~~  
 22 ~~small, it will not affect the drilling operation, and flow may continue until the driller reaches the~~  
 23 ~~base of the evaporite section and installs casing in the borehole. This length of time is estimated~~  
 24 ~~to be 72 hours, consistent with current practice. Larger brine flows or large gas flows could~~  
 25 ~~cause the driller to lose control of the borehole, and fluid flow, in this case, could continue until~~  
 26 ~~repository pressure drops or the hole is contained. The maximum length of time that such flow~~  
 27 ~~would be allowed to~~ *could* continue before the borehole would be controlled by the driller  
 28 *controlled the borehole is estimated to be* 11 days, consistent with *observed* current drilling  
 29 *events* practice in the Delaware Basin (*Appendix PA, Section PA-4.7.8 and Attachment MASS,*  
 30 *Section 16.0).*

#### 31 6.0.2.3.4 Mobilization of Actinides in Repository Brine

32 Actinides may be mobilized in repository brine in two principal ways:

- 33 (1) as dissolved species, and
- 34 (2) as colloidal species.

35 The solubilities of actinides *depend on their oxidation states*, ~~differ among the different~~  
 36 ~~oxidation states in which they may~~ *with the more reduced forms (for example, the +III and +IV*  
 37 *oxidation states) being less soluble than the oxidized forms (+V and +VI).* With the more  
 38 ~~reduced forms (for example, Pu-III or Pu-IV rather than Pu-V or Pu-VI) being less soluble.~~  
 39 ~~Conditions within the repository will be reducing because of the large quantity of iron in the~~  
 40 ~~waste and containers and, in some cases, only the lower solubility oxidation states will be~~

1 ~~present.~~ *Conditions within the repository will be strongly reducing because of the large*  
2 *quantity of metallic Fe in the steel containers and the waste, and – in the case of plutonium*  
3 *(Pu) – only the lower-solubility oxidation states (Pu(III) and Pu(IV)) will persist. Microbial*  
4 *activity, if it occurs, will also create reducing conditions.* Solubilities also vary with pH. The  
5 DOE ~~is~~ will therefore emplace ~~magnesium oxide (MgO) in the waste-disposal region along~~  
6 ~~with the waste to ensure conditions that favor minimum actinide solubility~~ *solubilities. MgO*  
7 *consumes CO<sub>2</sub> and buffers pH, lowering actinide solubilities in WIPP brines.* Solubilities in  
8 the ~~performance assessment~~ *PA* are based on ~~reducing conditions, MgO backfill, and the~~  
9 ~~chemistry of brines that can~~ *might* be present in the waste-disposal region, *reactions of these*  
10 *brines with the MgO engineered barrier, and strongly reducing conditions produced by anoxic*  
11 *corrosion of steels and other Fe-based alloys.*

12 The waste contains organic ligands that *could increase*, ~~under some circumstances, can enhance~~  
13 actinide *solubilities* concentrations in brine by forming soluble complexes *with dissolved*  
14 ~~containing actinide~~ *species* ions. However, ~~these organic ligands also bond strongly to other~~  
15 ~~metals, such as magnesium, that will be present in far larger quantities in repository brine.~~  
16 ~~Because of this competition effect, organic ligands will not have a significant effect on overall~~  
17 ~~actinide concentrations in brine.~~ *However, these organic ligands also form complexes with*  
18 *other dissolved metals, such as magnesium (Mg), calcium (Ca), Fe, vanadium (V), chromium*  
19 *(Cr), manganese (Mn), and nickel (Ni), that will be present in repository brines due to*  
20 *corrosion of steels and other Fe-based alloys. The CRA-2004 PA speciation and solubility*  
21 *calculations (Attachment SOTERM) confirmed that actinide solubilities are not significantly*  
22 *affected by organic ligands.*

23 Colloidal transport of actinides has been examined and four types have been determined to  
24 represent the possible behavior at the WIPP. These include microbial *colloids*, humic  
25 substances, actinide intrinsic colloids, and mineral fragments. Concentrations of actinides  
26 mobilized as these colloidal forms are included in the estimates of total actinide concentrations  
27 used in the ~~performance assessment~~ *PA*.

#### 28 6.0.2.3.5 Long-Term Brine Flow up an Intrusion Borehole

29 Long-term releases to the ground surface or ~~into~~ groundwater in the Rustler or overlying units  
30 may occur after the borehole has been plugged and abandoned. In keeping with regulatory  
31 criteria, borehole plugs are assumed to have ~~the~~ properties consistent with current practice in the  
32 basin. Thus, boreholes are assumed to have concrete plugs emplaced at various locations.  
33 Initially, concrete plugs ~~will be effectively~~ *ly* in-limiting fluid flow in the borehole. However,  
34 under most circumstances, these plugs cannot be expected to remain fully effective indefinitely.  
35 For the purposes of ~~performance assessment~~ *PA*, discontinuous borehole plugs above the  
36 repository are assumed to degrade 200 years after emplacement. From then on, the borehole is  
37 assumed to ~~be filled~~ with a silty sand-like material containing degraded concrete, corrosion  
38 products ~~resulting from degradation of~~ *degraded* casing, and material that sloughs into the hole  
39 from the walls. Of six possible plugged borehole configurations in the Delaware Basin, three are  
40 considered either likely or found to adequately represent other possible configurations; one  
41 configuration (a two-plug configuration) is explicitly modeled.

1 If sufficient brine is available in the repository, and if pressure in the repository is higher than  
2 ~~that~~ in the overlying units, brine may flow up the borehole following degradation of the plugs.  
3 In principle, this brine could flow into any permeable unit or to the ground surface if repository  
4 pressure were high enough. For modeling purposes, brine is allowed to flow only into the higher  
5 permeability units and to the surface. Lower permeability anhydrite and mudstone layers in the  
6 Rustler are treated as if they were impermeable, to simplify the analysis while maximizing the  
7 amount of flow occurring into units where it ~~has a~~ *could* potentially ~~to~~ contribute to releases from  
8 the disposal system. Model results indicate that essentially all flow occurs into the Culebra,  
9 which has been recognized since the early stages of site characterization as the most transmissive  
10 unit above the repository and the most likely pathway for subsurface transport.

#### 11 6.0.2.3.6 Groundwater Flow in the Culebra

12 Site characterization activities in the units above the Salado have focused on the Culebra. These  
13 activities have shown that the direction of groundwater flow in the Culebra varies somewhat  
14 regionally, but in the area that lies over the site, flow is southward. Regional variation in  
15 groundwater flow direction in the Culebra is influenced by the ~~regional variation in~~  
16 transmissivity observed and also by the shape of and distribution of rock types in the  
17 groundwater basin ~~in which~~ *where* the WIPP is located. Site characterization activities have  
18 demonstrated that there is no evidence of karst groundwater systems in the controlled area,  
19 although groundwater flow in the Culebra is affected by the presence of fractures, fracture  
20 fillings, and vuggy pore features. ~~A zone of relatively high transmissivity in the Culebra in the~~  
21 ~~southeast portion of the controlled area has been identified as the most important flow path away~~  
22 ~~from the waste disposal panels, based on analysis of regional groundwater pumping tests.~~ Other  
23 laboratory and field activities have focused on the behavior of dissolved and colloidal actinides  
24 in the Culebra. These characterization and modeling activities conducted in the units above the  
25 Salado confirm that the Culebra is the most transmissive unit above the Salado. The Culebra is  
26 the unit into which actinides are likely to be introduced from long-term flow up an abandoned  
27 borehole.

28 Basin-scale regional modeling of three-dimensional groundwater flow in the units above the  
29 Salado demonstrates that it is appropriate, for the purposes of estimating radionuclide transport,  
30 to conceptualize the Culebra as a two-dimensional confined aquifer. ~~As modeled in the~~  
31 ~~performance assessment, the steady-state flow field within the Culebra is affected only by the~~  
32 ~~initial head distribution and the spatial variability of the transmissivity of the unit.~~ Field data for  
33 ~~both transmissivity and head are available from many locations in the Culebra.~~ Uncertainty in the  
34 flow field is incorporated in the analysis ~~through the use of~~ *by using* 100 different  
35 geostatistically-based transmissivity fields, each of which is consistent with available head and  
36 transmissivity data.

37 Groundwater flow in the Culebra is modeled as a steady-state process, but two mechanisms ~~are~~  
38 considered in the ~~performance assessment~~ *PA* that could affect flow in the future. Potash mining  
39 in the McNutt Potash Zone (hereafter referred to as the McNutt) of the Salado, which occurs now  
40 in the Delaware Basin outside the controlled area and ~~which may continue to occur~~ in the future,  
41 ~~has the potential to~~ *could* affect flow in the Culebra if subsidence over mined areas causes  
42 fracturing or other changes in rock properties. Climatic changes during the next 10,000 years  
43 may also affect groundwater flow by altering recharge to the Culebra.

1 Consistent with regulatory criteria, mining outside the controlled area is assumed to occur in the  
2 near future, and mining within the controlled area is assumed to occur with a probability of 1 in  
3 100 per century (adjusted for the effectiveness of *active* institutional controls during the first *100*  
4 *700* years following closure). Consistent with regulatory guidance, the effects of mine  
5 subsidence are incorporated in the ~~performance assessment~~ *PA* by increasing the transmissivity of  
6 the Culebra over the areas identified as mineable by a factor sampled from a uniform distribution  
7 between 1 and 1000. Transmissivity fields used in the ~~performance assessment~~ *PA* are therefore  
8 adjusted and steady-state flow fields calculated accordingly; once for ~~the case in which~~ mining is  
9 ~~assumed to~~ *that* occurs only outside the controlled area, and once for ~~the case in which~~ mining is  
10 ~~assumed to~~ *that* occurs both inside and outside the controlled area. Mining outside the controlled  
11 area is considered in both undisturbed and disturbed performance.

12 The extent to which *the* climate will change during the next 10,000 years and ~~the extent to which~~  
13 *how* such *a* change will affect groundwater flow in the Culebra are uncertain. Regional three-  
14 dimensional modeling of groundwater flow in the units above the Salado indicates that flow  
15 velocities in the Culebra may ~~be increased~~ by a factor of ~~between 1 and~~ *to* 2.25 for reasonably  
16 possible future climates. This uncertainty is incorporated in the ~~performance assessment~~ *PA* by  
17 scaling the calculated steady-state specific discharge within the Culebra by a sampled parameter  
18 within this range.

#### 19 6.0.2.3.7 Actinide Transport in the Culebra

20 Field tests have shown that the Culebra is best characterized as a double-porosity medium for ~~the~~  
21 ~~purposes of~~ estimating contaminant transport in groundwater. Groundwater flow and advective  
22 transport of dissolved *or colloidal* species ~~or colloidal~~ *and* particles occurs primarily in a small  
23 fraction of the *rock's* total porosity ~~of the rock and thus corresponds~~ *corresponding* to the  
24 porosity of open and interconnected fractures and vugs. Diffusion and slower *advective* flow  
25 occur in the remainder of the porosity, which is associated with the low-permeability dolomite  
26 matrix. Transported species, including actinides, if present, will diffuse into this porosity.

27 Diffusion ~~out of~~ *from* the advective porosity into the dolomite matrix will retard actinide  
28 transport by two mechanisms. Physical retardation occurs simply because actinides that diffuse  
29 into the matrix are no longer transported with the flowing groundwater. Transport is interrupted  
30 until they diffuse back into the advective porosity. In situ tracer tests have been conducted to  
31 demonstrate this phenomenon. Chemical retardation also occurs within the matrix as actinides  
32 are sorbed onto dolomite grains. The relationship between sorbed and liquid concentrations is  
33 assumed to be linear, and *reversible*. ~~The~~ distribution coefficients ( $K_{ds}$ ) that characterize the  
34 extent to which actinides will sorb on dolomite ~~are~~ *were* based on experimental data. *Based on*  
35 *their review of the CCA, the EPA required the DOE to use the same ranges but to change the*  
36 *distribution from uniform to log uniform. The DOE continues to use EPA's distributions in*  
37 *CRA-2004 PA. The DOE also corrected a minor error in the calculation of  $K_{ds}$  (see Appendix*  
38 *PA, Attachment PAR).*

39 Modeling indicates that physical and chemical retardation, as supported by field tests and  
40 laboratory experiments, will be extremely effective in reducing the transport of dissolved  
41 actinides in the Culebra. Experimental work has demonstrated that transport of colloidal  
42 actinides is not a significant mechanism in the Culebra. As a result, actinide transport through

1 the Culebra to the subsurface boundary of the controlled area is not a significant pathway for  
 2 releases from the WIPP. As discussed in Section 6.5.3, the location of the mean CCDF that  
 3 demonstrates compliance with the containment requirements of 40 CFR § 191.13 is, determined  
 4 entirely by direct releases at the ground surface during drilling (cuttings, cavings, and spillings).

#### 5 6.0.2.3.8 Intrusion Scenarios

6 Human intrusion scenarios evaluated in the ~~performance assessment~~ **PA** include both single  
 7 intrusion events and combinations of multiple boreholes. Two different types of boreholes are  
 8 considered:

- 9 (1) those that penetrate a pressurized brine reservoir in the underlying Castile Formation  
 10 *(hereafter referred to as the Castile)*, and
- 11 (2) those that do not.

12 The presence of a brine reservoir under the repository is speculative, but cannot be ruled out by  
 13 available *on the basis of current* information. A pressurized brine reservoir was encountered at  
 14 the WIPP-12 borehole within the controlled area to the northwest of the disposal region and  
 15 other pressurized brine reservoirs that are associated with regions of deformation in the Castile  
 16 have been encountered elsewhere in the Delaware Basin. Based on a geostatistical analysis of  
 17 the distribution of brine encounters in the region, the DOE has estimated that there was a 0.08  
 18 probability that any random borehole that penetrates waste in the WIPP will also penetrate an  
 19 underlying brine reservoir. *Upon their review of the CCA, the EPA determined that the DOE*  
 20 *should treat this probability as uncertain, ranging from 0.01 to 0.60 in the PAVT. This*  
 21 *recertification application uses the EPA's PAVT range (see Appendix PA, Section PA-3.5).*  
 22 ~~Properties are assigned to the hypothetical reservoir (for example, its pressure and volume) that~~  
 23 ~~are consistent with the available information from tests at WIPP-12 and other boreholes. These~~  
 24 ~~properties are also made consistent with the hypothetical reservoir's location under the waste~~  
 25 ~~disposal region. *The EPA also required the DOE to modify the assumptions concerning*~~  
 26 ~~*Castile properties to increase the brine reservoir volumes (EPA 1998 VII.B.4.d). The EPA*~~  
 27 ~~*determined that changing the rock compressibility of the Castile and the Castile porosity*~~  
 28 ~~*effectively modified the sampled brine reservoir volume to include the possibility of larger*~~  
 29 ~~*brine reservoir volumes like those encountered by the WIPP-12 borehole.*~~

30 The primary consequence of penetrating a pressurized reservoir ~~will be~~ **is** to provide an  
 31 additional source of brine beyond that which *might* flows into the repository from the Salado.  
 32 Direct releases at the ground surface resulting from the first ~~intrusion into the repository~~  
 33 *intrusion would* ~~will be unaffected by the presence of additional Castile brine even if it flow~~  
 34 ~~ed~~ to the surface, because brine moving straight up a borehole will not mix significantly with waste.  
 35 *However, the presence of Castile brine has the potential to could* increase radionuclide releases  
 36 significantly in two ways, ~~however~~. First, the volume of contaminated brine that could flow to  
 37 the surface may be greater for a second or subsequent intrusion into a repository that has already  
 38 been connected *by a previous borehole* to a Castile reservoir. Second, the volume of  
 39 contaminated brine that may flow up an abandoned borehole after plugs have degraded may be  
 40 greater for combinations of two or more boreholes that intrude the same panel if one of the



1 boreholes penetrates a pressurized reservoir. Both processes are modeled in the performance  
2 assessment *PA*.

### 3 6.0.2.4 Compliance Demonstration Method

4 The DOE's approach to demonstrating *continued* compliance is the performance assessment *PA*  
5 methodology described in Section 6.1. The performance assessment *PA* process is based on a  
6 comprehensive *ly* consideration of *considers* the FEPs that are relevant to disposal system  
7 performance. Those FEPs that are shown by screening analyses to have the potential *ly* to affect  
8 performance are included in quantitative calculations using a system of linked computer models  
9 to describe the interaction of the repository with the natural system, both with and without  
10 human intrusion. Uncertainty is incorporated in the analysis through *by* a Monte Carlo approach  
11 in which multiple simulations (or realizations) are completed using sampled values for ~~64~~ 57  
12 imprecisely known or naturally variable input parameters. Distribution functions are constructed  
13 that characterize the state of knowledge for these parameters, and each realization of the  
14 modeling system uses a different set of sampled input values. A sample size of 100 results in  
15 100 different values of each parameter. Therefore, there are 100 different sets (vectors) of input  
16 parameter values. Quality assurance (QA) activities, described in Chapter 5.0, demonstrate that  
17 the parameters, software, and analysis used in the performance assessment *PA* were the result of a  
18 rigorous process conducted under controlled conditions.

19 Probabilities of ~~s~~ Scenarios *probabilities* composed of specific combinations of FEPs are  
20 estimated based on regulatory criteria (~~applying~~ *applied* to the probability of future human  
21 action) and the understanding of the natural and engineered systems. Cumulative radionuclide  
22 releases from the disposal system are calculated for each scenario considered and ~~probabilities of~~  
23 ~~the scenarios~~ *probabilities* are summed for each of the modeling system realization to construct  
24 distributions of CCDFs. ~~Sampling of the i~~ Input parameters *sampling* was performed in three  
25 separate replicates, resulting in three independent distributions of CCDFs and allowing the  
26 construction of three independent mean CCDFs, each based on 100 individual CCDFs.

### 27 6.0.2.5 Results of the Performance Assessment

28 Section 6.5 addresses the Containment Requirements of 40 CFR Part 191 and the associated  
29 criteria of 40 CFR § 194.34. Section 6.5 presents distributions of CCDFs for each replication of  
30 the analysis, mean CCDFs, and an overall mean CCDF, ~~together~~ with the 95 percent confidence  
31 interval estimated from the ~~of the three independent means~~ distributions.

32 Families of CCDFs and mean CCDFs for each of the three replicates are also shown in Section  
33 6.5. All 300 individual CCDFs lie below and to the left of the limits specified in 40 CFR  
34 § 191.13(a). The overall mean CCDF determined from the three replicates lies entirely below  
35 and to the left of the limits specified in 40 CFR § 191.13(a). Thus, the WIPP *continues to*  
36 *comply* is in compliance with the containment requirements of 40 CFR Part 191. ~~Comparison of~~  
37 *Comparing* the results of the three replicates indicates that the sample size of 100 in each  
38 replicate is sufficient to generate a stable distribution of outcomes. Within the region of  
39 regulatory interest (that is, at probabilities greater than  $10^{-3}/10^4$  yr), the mean CCDFs from each  
40 replicate are essentially indistinguishable from the overall mean.



1 As discussed in Section 6.5, ~~examination of~~ *examining* the normalized releases ~~resulting from~~  
 2 cuttings and cavings, spallings, and direct brine release provides insight into the relative  
 3 importance of each release mode ~~'s in terms of its contribution to the location of the mean~~  
 4 *CCDF's location* and the compliance determination. Releases from cuttings and cavings  
 5 dominate the mean CCDF. Spallings make a small contribution. Direct brine releases are less  
 6 important and have very little effect on the location of the mean. Subsurface releases resulting  
 7 from groundwater transport are less than  $10^{-6}$  EPA units and make no contribution to the ~~location~~  
 8 ~~of the mean CCDF's location~~.

9 Uncertainties characterized in the natural system and the interaction of waste with the disposal  
 10 system environment have little effect on the location of the mean CCDF, providing additional  
 11 confidence in the compliance determination. The natural and engineered barrier systems of the  
 12 WIPP provide robust and effective containment of TRU waste even if the repository is  
 13 penetrated by multiple borehole intrusions.

14 **6.1 Performance Assessment Methodology**

15 The EPA, in 40 CFR Part 191, specifies the generally applicable environmental standards for ~~the~~  
 16 ~~protection of~~ *protecting* public health and the environment ~~for~~ *from* the disposal of TRU and  
 17 high-level radioactive wastes. In this ~~chapter~~ *section*, the DOE addresses compliance with the  
 18 Containment Requirements of 40 CFR § 191.13 and the associated portions of 40 CFR Part 194  
 19 *for TRU waste*.

20 ~~The complete text of the 40 CFR §~~ *Section* 191.13 ~~Containment Requirements follows~~ *states*:

- 21 (a) Disposal systems for spent nuclear fuel or high-level or transuranic radioactive  
 22 wastes shall be designed to provide a reasonable expectation, based on performance  
 23 assessments, that the cumulative releases of radionuclides to the accessible  
 24 environment for 10,000 years after disposal from all significant processes and events  
 25 that may affect the disposal system shall:
  - 26 (1) Have a likelihood of less than one chance in 10 of exceeding the quantities  
 27 calculated according to Table 1 (Appendix A); and
  - 28 (2) Have a likelihood of less than one chance in 1,000 of exceeding ten times the  
 29 quantities calculated according to Table 1 (Appendix A).
- 30 (b) Performance assessments need not provide complete assurance that the requirements  
 31 of § 191.13(a) will be met. Because of the long time period involved and the nature  
 32 of the events and processes of interest, there will inevitably be substantial  
 33 uncertainties in projecting disposal system performance. Proof of the future  
 34 performance of a disposal system is not to be had in the ordinary sense of the word in  
 35 situations that deal with much shorter time frames. Instead, what is required is a  
 36 reasonable expectation, on the basis of the record before the implementing agency,  
 37 that compliance with § 191.13(a) will be achieved.

38 The term accessible environment is defined as: “(1) The atmosphere; (2) land surfaces;  
 39 (3) surface waters; (4) oceans; and (5) all of the lithosphere that is beyond the controlled area”  
 40 (40 CFR § 191.12). Further, controlled area means: “(1) A surface location, to be identified by  
 41 passive institutional controls, that encompasses no more than 100 square kilometers and extends

1 horizontally no more than five kilometers in any direction from the outer boundary of the  
 2 original location of the radioactive wastes in a disposal system; and (2) the subsurface underlying  
 3 such a surface location” (40 CFR § 191.12). The controlled area established by the LWA is  
 4 shown in Figure 3-1 (see Chapter 3.0). The release limits listed in Appendix A of 40 CFR Part  
 5 191 are reproduced as Table 6-16-2.

6 For a release to the accessible environment that involves a mix of radionuclides, the limits in  
 7 Table 6-16-2 are used to determine a normalized release ( $nR$ ) of radionuclides for comparison  
 8 with the release limits

$$9 \quad nR = \sum_i (Q_i/L_i)(1 \times 10^6 \text{ Ci}/C), \quad (6.1)$$

10 where

11  $Q_i$  = cumulative release in curies ( $Ci$ ) of radionuclide  $i$  into the accessible  
 12 environment during the 10,000-year period following of the repository closure.  
 13  $L_i$  = release limit in curies for radionuclide  $i$  given in  
 14  $C$  = amount of curies of TRU waste *curies to be* emplaced in the repository: (As  
 15 described in Section 4.1, TRU wastes contain alpha-emitting transuranic  
 16 radionuclides with half-lives greater than 20 years-).

17 As indicated in Note 1(e) to Table 1 in Appendix A of 40 CFR Part 191, the “other unit of  
 18 waste” for TRU waste shall be “an amount of transuranic wastes containing 1 million curies of  
 19 alpha-emitting transuranic radionuclides with half-lives greater than 20 years.”

20 Performance assessments *PAs* are the basis for addressing the containment requirements. 40  
 21 CFR § 191.12 defines performance as follows:

22 “Performance assessment” means an analysis that: (1) identifies the processes and events that  
 23 might affect the disposal system; (2) examines the effects of these processes and events on the  
 24 performance of the disposal system; and (3) estimates the cumulative releases of radionuclides,  
 25 considering the associated uncertainties, caused by all significant processes and events.

26 The DOE’s methodology for performance assessment *PA* uses information about the disposal  
 27 system and the waste to evaluate performance in a regulatory context over the 10,000-year  
 28 regulatory time period.

29 The general theory for conducting a performance assessment *PA* is presented in this section  
 30 together with details specific to the performance assessment *PA* conducted for the WIPP. Figure  
 31 6-2 illustrates the general, high-level steps used by the DOE for this final performance  
 32 assessment *PA* of the WIPP. In this figure, the sections of this chapter are indicated in which  
 33 these steps *that* are discussed *these steps* in detail, and it shows several important features of the  
 34 WIPP performance assessment *PA are shown*. It indicates the points at which regulatory  
 35 standards and guidance (40 CFR Part 191 and related documents) are most influential, and it  
 36 shows that there can be an iterative process between site characterization and performance  
 37 assessment that facilitates improvement in both characterization data and performance  
 38 assessment. Through this process, the DOE has used early site characterization information and

39

**Table 6-26-1. Release Limits for the Containment Requirements  
(EPA 1985, Appendix A, Table 1)**

Radionuclide	Release Limit $L_i$ per 1,000 MTHM <sup>a,1</sup> or Other Unit of Waste (curies)
<sup>241</sup> Am or <sup>243</sup> Am	100
<sup>14</sup> C	100
<sup>135</sup> Cs or <sup>137</sup> Cs	1,000
<sup>129</sup> I	100
<sup>237</sup> Np	100
<sup>238</sup> Pu, <sup>239</sup> Pu, <sup>240</sup> Pu, or <sup>242</sup> Pu	100
<sup>226</sup> Ra	100
<sup>90</sup> Sr	1,000
<sup>99</sup> Te	10,000
<sup>230</sup> Th or <sup>232</sup> Th	10
<sup>126</sup> Sn	1,000
<sup>233</sup> U, <sup>234</sup> U, <sup>235</sup> U, <sup>236</sup> U, or <sup>238</sup> U	100
Any other alpha-emitting radionuclide with a half-life greater than 20 years	100
Any other radionuclide with a half-life greater than 20 years that does not emit alpha particles	1,000

<sup>a,1</sup> Metric tons of heavy metal exposed to a burnup between 25,000 megawatt-days per metric ton of heavy metal (MWd/MTHM) and 40,000 MWd/MTHM.

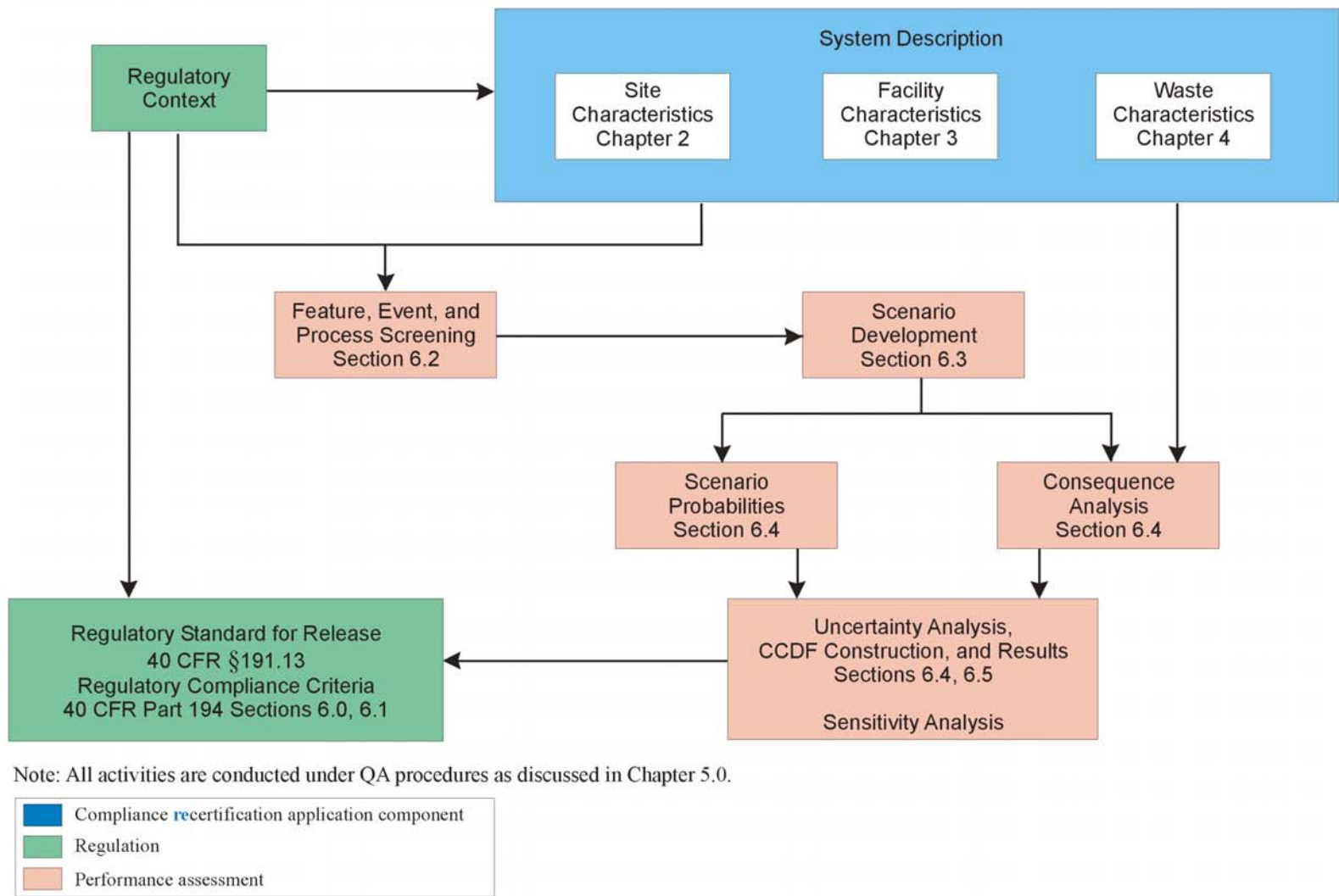
design specifications to develop preliminary performance assessments, from which sensitivity analyses were used to guide further characterization of important of the site data collection features on specific topics and to further develop the repository design. Section 6.1 presents the basis for the methodology shown in Figure 6-16-2. Section 6.1.1 presents the conceptualization of risk, Section 6.1.2 discusses the characterization of uncertainty in risk, Section 6.1.3 discusses regulatory criteria for the quantification of risk, Section 6.1.4 discusses calculation of risk, and Section 6.1.5 discusses techniques for probabilistic analysis.

### 6.1.1 Conceptualization of Risk

The WIPP performance assessment *PA* is fundamentally concerned with the evaluation of *evaluating* risk, for which comparative measures are defined by regulatory standards. For comparison with these standards, the DOE uses a conceptualization for risk similar to that developed for risk assessments of nuclear power plants. This description provides a structure on which both the representation and calculation of risk can be based.

Kaplan and Garrick (1981, 11-12) have presented the representation of *represented* risk as a set of ordered triples. The DOE uses this representation and defines risk to be a set  $R$  of the form

$$R = \left[ (S_i, pS, cS_i), i = 1, \dots, nS \right], \quad (6.2)$$



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Figure 6-16-2. Methodology for performance assessment PA of the WIPP

1 where

2  $S_i$  = a set of similar occurrences  
 3  $pS_i$  = probability that an occurrence in set  $S_i$  will take place  
 4  $\mathbf{cS}_i$  = a vector of consequences associated with  $S_i$   
 5  $nS$  = number of sets selected for consideration

6 and the sets  $S_i$  have no occurrences in common (that is, the  $S_i$  are disjoint sets). This  
 7 representation formally decomposes risk into what can happen (the  $S_i$ ), how likely things are to  
 8 happen (the  $pS_i$ ), and the consequences of what can happen (the  $\mathbf{cS}_i$ ). In the WIPP performance  
 9 assessment *PA*, the  $S_i$  are scenarios, the  $pS_i$  are scenario probabilities, and the vector  $\mathbf{cS}_i$  contains  
 10 consequences associated with scenario  $S_i$ . *Scenario D* development of the scenarios for the  
 11 WIPP is discussed in Sections 6.1.2, 6.2, and 6.3. Scenario probabilities and consequence  
 12 determination are discussed in Section 6.4.

13 As discussed in the following sections of this chapter, risk in the set  $R$  can be displayed using  
 14 CCDFs, as required by the EPA. As stated in 40 CFR § 194.34(a),

15 The results of performance assessments shall be assembled into “complementary, cumulative  
 16 distribution functions” (CCDFs) that represent the probability of exceeding various levels of  
 17 cumulative release caused by all significant processes and events.

18 In the context of Equation (6.2), CCDFs provide information about the consequences  $\mathbf{cS}_i$  and the  
 19 probabilities  $pS_i$  associated with the scenarios  $S_i$ . The probability that  $\mathbf{cS}$  exceeds a specific  
 20 consequence value  $x$  is determined by the CCDF  $F$  defined by

$$21 \quad F(x) = \sum_{j=i}^{nS} pS_j, \quad (6.3)$$

22 where the particular consequence result  $\mathbf{cS}$  under consideration is ordered so that  $\mathbf{cS}_i \leq \mathbf{cS}_{i+1}$  for  
 23  $i = 1, \dots, nS-1$ , and  $i$  is the smallest integer such that  $\mathbf{cS}_i > x$ . The function  $F$  represents the  
 24 probabilities that consequence values plotted on the abscissa will be exceeded. An *an* ~~diagrammatic~~  
 25 example of an estimation of  $F$  is shown in Figure 6-26-3. The steps in the CCDF shown in  
 26 Figure 6-26-3 result from the evaluation of  $F$  with a discrete number of possible occurrences  
 27 (that is, futures) represented in the sets  $S_i$ . Unless the underlying processes are inherently  
 28 disjoint, the use of *using* more sets  $S_i$  will tend to reduce the size of these steps and, in the limit,  
 29 will result in a smooth curve. To avoid a broken appearance, the DOE plots estimated CCDFs  
 30 with vertical lines added at the discontinuities.

### 31 6.1.2 Characterization of Uncertainty in Risk

32 The DOE defines *U*ncertainty in the analysis *can be* as either stochastic uncertainty or  
 33 subjective uncertainty. Stochastic uncertainty derives from lack of knowledge about the future.  
 34 Subjective uncertainty derives from lack of knowledge about quantities, properties, or attributes  
 35 that are believed to have single or certain values. Stochastic uncertainty can be further  
 36 subdivided into completeness, aggregation, and stochastic variation. Completeness refers to the  
 37 extent that a performance assessment *PA* includes all possible occurrences *that could affect*  
 38 *performance* for the system under consideration. In terms of the risk representation in Equation

1 (6.2), completeness deals with whether all significant occurrences are included in the union of  
 2 the sets  $S_i$ . The DOE addresses completeness in its development of scenarios, discussed here and  
 3 in Sections 6.2 and 6.3. Aggregation refers to the division of the possible occurrences into the  
 4 sets  $S_i$ . Resolution is lost if the  $S_i$  are defined too coarsely (for example, if  $nS$  is too small).  
 5 Computational efficiency is affected if  $nS$  is too large. Aggregation gives rise to the steps in a  
 6 single CCDF, as shown in Figure 6-26-3. The DOE addresses aggregation uncertainty in  
 7 Sections 6.1.4 and 6.4.13. Stochastic variation is represented by the probabilities  $pS_i$ , which are  
 8 functions of the many factors that affect the occurrence of the individual sets  $S_i$ . The DOE  
 9 addresses stochastic variation in Sections 6.1.4 and 6.4.12.

10 Stochastic uncertainty *is taken into account* can be characterized in performance assessment *PA*  
 11 by evaluating the probability of future events (for example, by assuming that the occurrence of  
 12 certain future events will be random in space and time), and by ~~consideration of~~ *considering*  
 13 imprecisely known system properties directly associated with the future events. These  
 14 imprecisely known system properties can be expressed as variables represented by the vector

15 
$$\mathbf{x}_{st} = [x_{st,1}, x_{st,2}, \dots, x_{st,nV(st)}], \quad (6.4a)$$

16 where each  $x_{st,j}$  [ $j = 1, 2, \dots, nV(st)$ ] is an imprecisely known property required in the analysis,  
 17  $nV$  is the total number of such properties associated with stochastic uncertainty, and the subscript  
 18  $st$  denotes stochastic uncertainty.

19 Subjective uncertainty results from incomplete data or measurement uncertainty. These  
 20 uncertainties are addressed in Section 6.4. Subjective quantities, properties, or attributes may be  
 21 associated with stochastic uncertainties (events that might occur in the future).

22 Subjective uncertainty can be characterized in performance assessment *PA* by ~~consideration of~~  
 23 *considering* system properties that are imprecisely known. These imprecisely known system  
 24 properties can be expressed as variables represented by vectors

25 
$$\mathbf{x}_{su} = [x_{su,1}, x_{su,2}, \dots, x_{su,nV(su)}], \quad (6.4b)$$

26 where each  $x_{su,j}$  [ $j = 1, 2, \dots, nV(su)$ ] is an imprecisely known property required in the analysis,  
 27  $nV$  is the total number of such properties associated with subjective uncertainty, and the subscript  
 28  $su$  denotes subjective uncertainty.

29 If the analysis has been developed such so that each  $x_j$  is a quantity for which the overall analysis  
 30 requires a single value, the representation for risk in Equation 6.2 can be restated as a function of  
 31  $\mathbf{x}_{st}$  and  $\mathbf{x}_{su}$ :

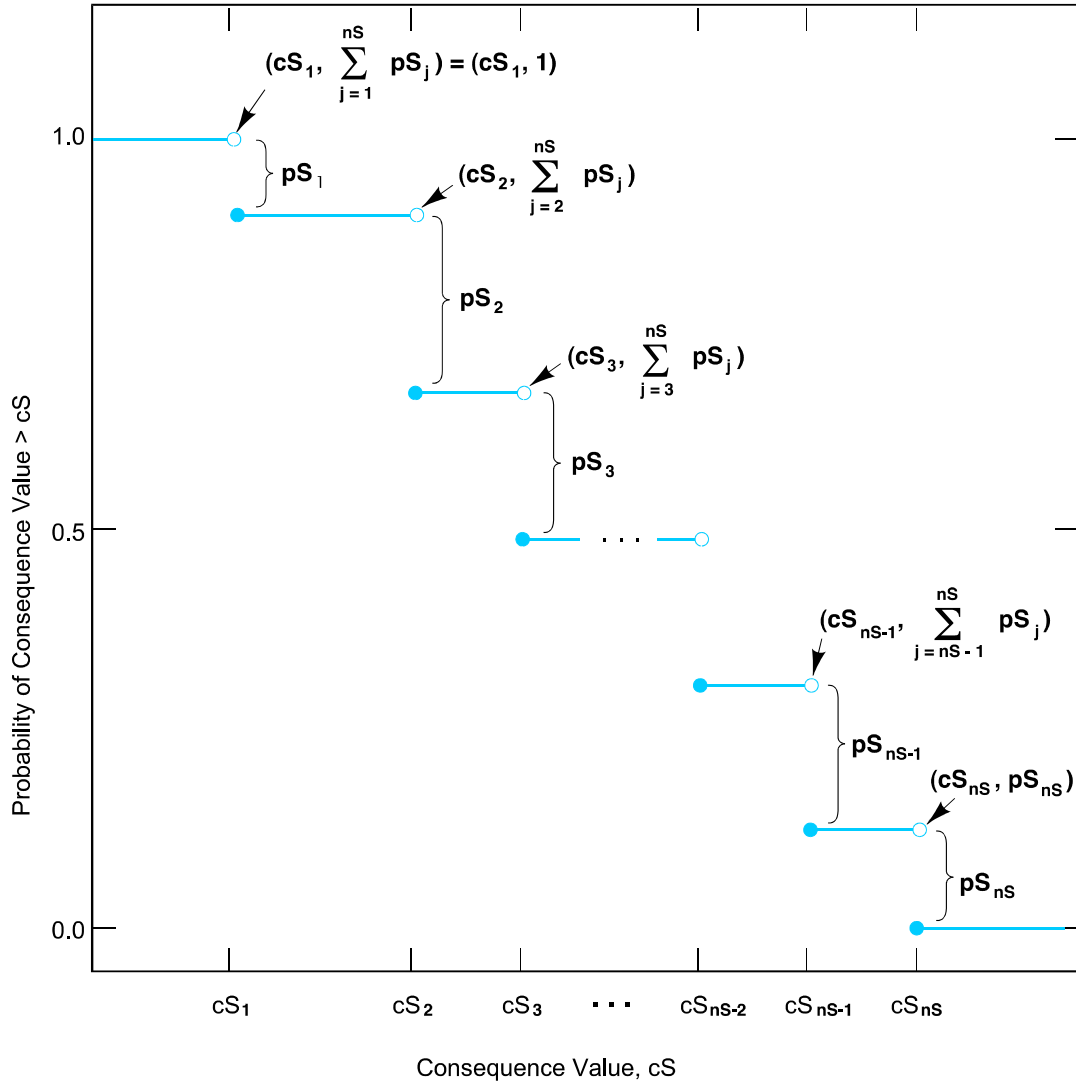
32 
$$R(\mathbf{x}_{su}) = [S_i(\mathbf{x}_{su}), pS_i(\mathbf{x}_{su}), cS_i(\mathbf{x}_{st,i}, \mathbf{x}_{su}), i = 1, \dots, nS(\mathbf{x}_{st}, \mathbf{x}_{su})], \quad (6.5)$$

33 where  $x_{st,i}$  is included in  $S_i$ . Probability distributions are then assigned to the individual variables  
 34  $x_{su,j}$  and  $x_{st,j}$ , as defined in Equation 6.4. These probability distributions are of the form

35 
$$D_{st,1}, D_{st,2}, \dots, D_{st,nV(st)}, \quad (6.6a)$$

36 
$$D_{su,1}, D_{su,2}, \dots, D_{su,nV(su)}, \quad (6.6b)$$





Note: The open and solid circles at the discontinuities indicate the points included on (solid circles) and excluded from (open circles) the CCDF.

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1  
2

**Figure 6-26-3. Estimated CCDF For Consequence Results**

3 where the  $D_j$ s are the distributions developed for the variables  $x_j, j = 1, 2, \dots, nV$ , and the subscripts  
 4  $st$  and  $su$  denote distributions associated with  $\mathbf{x}_{st}$  or  $\mathbf{x}_{su}$ . The definition of these distributions may  
 5 also be accompanied by the specification of correlations and various restrictions that further  
 6 define the possible relations among the  $x_j$ . These distributions (along with specified correlations  
 7 or restrictions) probabilistically specify what the appropriate input to use in the performance  
 8 assessment  $PA$  calculations might be, given that the analysis is structured so that only one value  
 9 can be used for each variable,  $x_j$ , under consideration for a particular calculation.

10 Monte Carlo techniques can be used to determine the uncertainty in  $R(\mathbf{x}_{su})$  associated with both  
 11  $\mathbf{x}_{st}$  and  $\mathbf{x}_{su}$ . The theory of this technique is similar for ~~characterization of~~ **characterizing** both

1 stochastic and subjective uncertainty. This technique as applied to determining the risk  $R(\mathbf{x}_{su})$   
 2 associated with  $\mathbf{x}_{su}$  is developed in the following paragraphs.

3 Once the distributions in Equation 6.6b have been developed, a sample

$$4 \quad \mathbf{x}_k = (x_{k1}, x_{k2}, \dots, x_{k,nV}), k = 1, \dots, nK \quad (6.7)$$

5 is generated according to the specified distributions and restrictions where  $nK$  is the size of the  
 6 sample. ~~Performance assessment~~**PA** calculations are then performed for each sample element  $\mathbf{x}_k$ ,  
 7 which yields a sequence of risk results of the form

$$8 \quad R(\mathbf{x}_k) = \{[S_i(\mathbf{x}_k), pS_i(\mathbf{x}_k), \mathbf{cS}_i(\mathbf{x}_k)], i = 1, \dots, nS(\mathbf{x}_k)\} . \quad (6.8)$$

9 Each set  $R(\mathbf{x}_k)$  is the result of one complete set of calculations performed with a set of inputs  
 10 (that is,  $\mathbf{x}_k$ ) obtained from the distributions assigned in Equation 6.6b. Further, associated with  
 11 each risk result  $R(\mathbf{x}_k)$  in Equation 6.8 is a weight<sup>1</sup> that can be used in making probabilistic  
 12 statements about the distribution of  $R(\mathbf{x})$ .

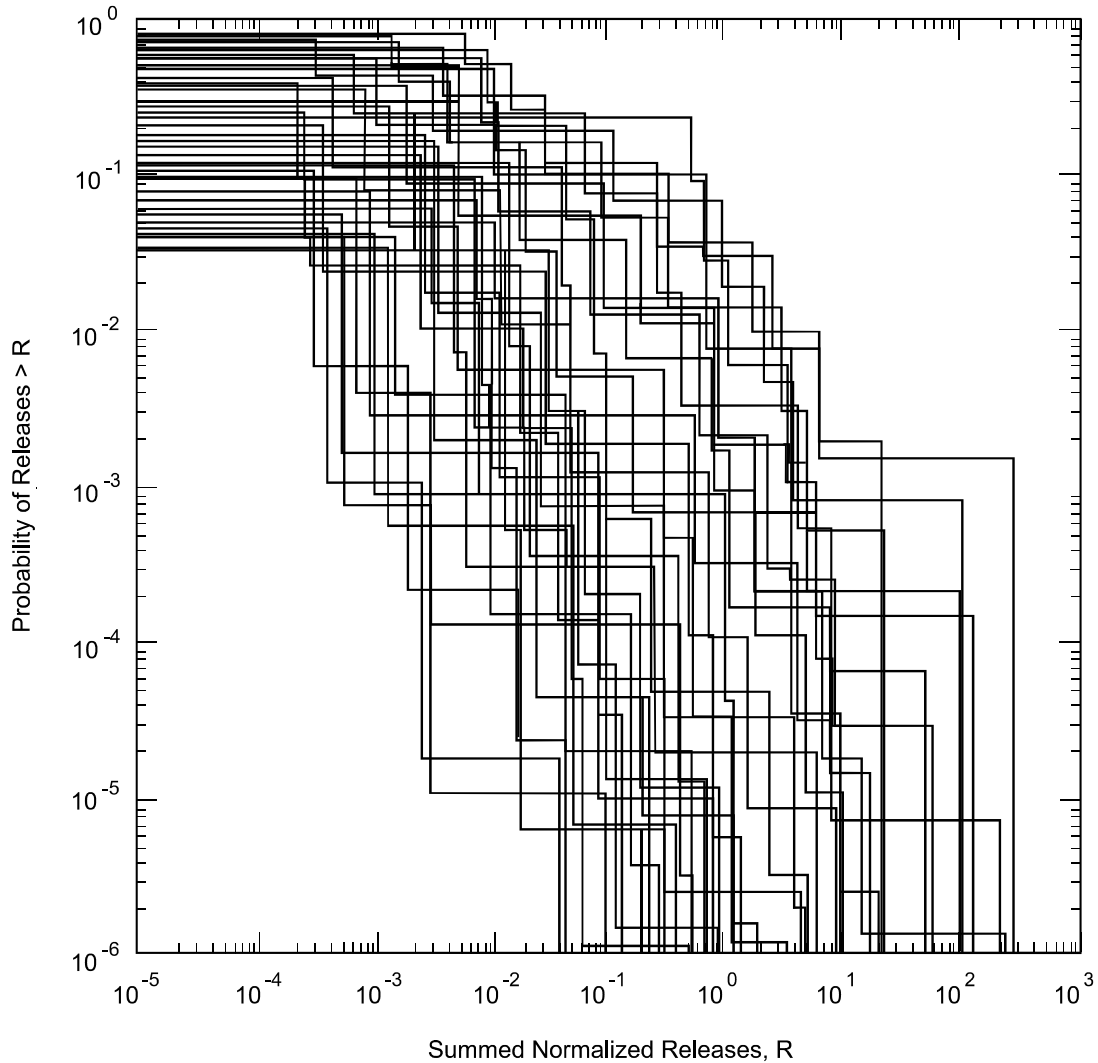
13 A single CCDF can be produced for each set  $R(\mathbf{x}_k)$  of results shown in Equation 6.8, yielding a  
 14 family of CCDFs of the form shown in Figure 6-36-4. The distribution of CCDFs in Figure 6-4  
 15 can be summarized with the mean and percentile curves shown in Figure 6-46-5. These curves  
 16 result from connecting the mean and percentile values corresponding to individual consequence  
 17 values on the abscissa of Figure 6-36-4. The percentile curves ~~provide a probabilistically~~  
 18 **representation** of the estimated exceedance probability given a fixed consequence value. For  
 19 example, the probability is 0.8 that the exceedance probability for a particular normalized release  
 20 is located between the 10 and 90 percentile curves.

21 To summarize, ~~consideration of~~ **considering** a family of CCDFs allows a distinction between  
 22 stochastic uncertainty that controls the shape of a single CCDF and subjective uncertainty that  
 23 results in a distribution of CCDFs. The stepwise shape of a single CCDF reflects aggregation of  
 24 future events into similar groups. A family of CCDFs arises from imperfect knowledge of  
 25 quantifiable properties, or, in other words, subjective uncertainty. The distribution arising from  
 26 subjective uncertainty involves an infinite number of CCDFs; a family of CCDFs is a sample of  
 27 finite size.

### 28 **6.1.3 Regulatory Criteria for the Quantification of Risk**

29 The representation for risk in Equation 6.2 provides a conceptual basis for ~~the calculation of~~  
 30 **calculating** the CCDF ~~for~~ **of** normalized releases specified in 40 CFR § 194.34(a). Further, this  
 31 representation provides a structure that can be used for both the incorporation of uncertainties  
 32 and the representation of the effects of uncertainties, as stated in 40 CFR § 194.34.

<sup>1</sup> In random or Latin hypercube sampling (LHS), this weight is the reciprocal of the sample size (that is,  $1/nK$ ) and can be used in estimating means, cumulative distribution functions, and other statistical properties. This weight is often referred to as the probability for each observation (that is, sample  $\mathbf{x}_k$ ). However, this usage is not technically correct. If continuous distributions are involved, the actual probability of each observation is zero.

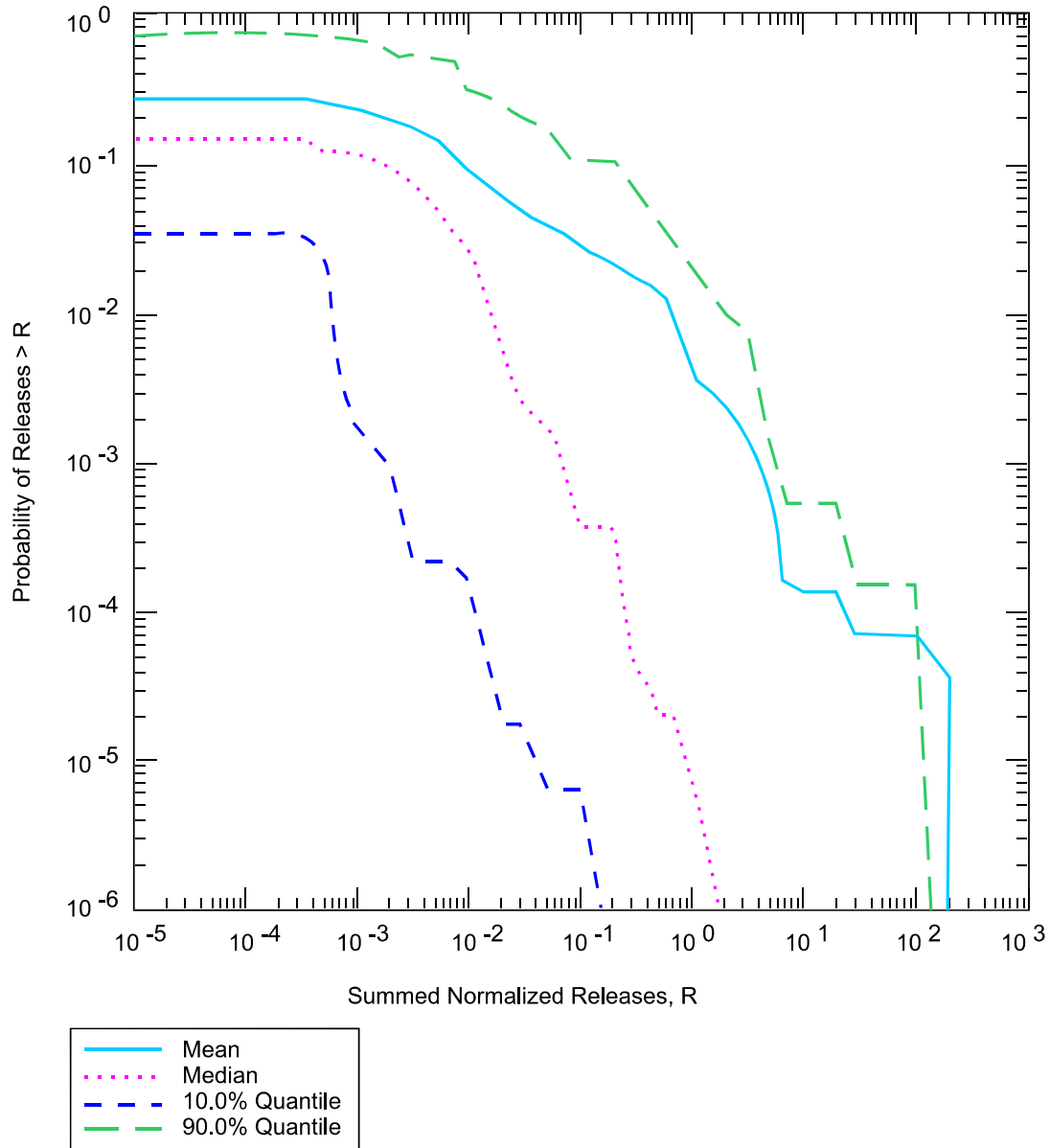


CCA-005-2

1  
2 **Figure 6-36-4. Example Distribution of a Family of CCDFs Obtained by Sampling**  
3 **Imprecisely Known Variables**

4 In 40 CFR § 194.34(b), the EPA states that “probability distributions for uncertain disposal  
5 system parameter values used in performance assessments shall be developed and documented in  
6 any compliance application.” The treatment of uncertain parameter values in the performance  
7 assessment is discussed in Sections 6.1.4, 6.1.5, and 6.4. Further discussion of distributions  
8 assigned to uncertain parameter values is provided in Appendix *PA, Attachment PAR PAR*  
9 (*Section PAR.2*).

10 In 40 CFR § 194.34(c), the EPA states that documentation of the computational techniques used  
11 to generate random samples shall be provided. The sampling techniques used are discussed in  
12 Section 6.1.5.2. Sampled values are reproduced in tabular form in Appendix *PA, Attachment*  
13 *PAR IRES (Section IRES.1)*.



Note: The curves in this figure were obtained by calculating the mean and the indicated percentiles for each consequence value on the abscissa in Figure 6-3. The 90th-percentile curve crosses the mean curve because of highly skewed distributions for exceedance probability. This skew also results in the mean curve being above the median curve.

CCA-007-2

1

2

**Figure 6-46-5. Example Summary Curves Derived from an Estimated Distribution of CCDFs**

3

4 In 40 CFR § 194.34(d), the EPA states that “the number of CCDFs generated shall be large  
 5 enough such that, at cumulative releases of 1 and 10, the maximum CCDF generated exceeds the  
 6 99th percentile of the population of CCDFs with at least a 0.95 probability.” The CCDFs  
 7 resulting from this performance assessment *PA* are provided in Section 6.5, together with a  
 8 demonstration that the total number of CCDFs is sufficiently large.

1 In 40 CFR § 194.34(e), the EPA states that “any compliance application shall display the full  
2 range of CCDFs generated.” The full range of CCDFs generated is displayed in Section 6.5.

3 In 40 CFR § 194.34(f), the EPA states that “any compliance application shall provide  
4 information which demonstrates that there is at least a 95 percent level of confidence that the  
5 mean of the population of CCDFs meets the containment requirements . . . .” Section 6.5  
6 contains a display of the mean CCDF and evidence demonstrating level of confidence.

#### 7 **6.1.4 Calculation of Risk**

8 The methodology presented in Sections 6.1.1 and 6.1.2 is based on the work of Kaplan and  
9 Garrick (1981) and is one way to estimate the effects of uncertain but characterizable futures. In  
10 *the* Kaplan and Garrick (1981) procedure, the possible futures are defined as literal entities ( $S_i$ ),  
11 and each is associated with a probability of occurrence ( $pS_i$ ) and a consequence of occurrence  
12 ( $cS_i$ ). Preliminary performance assessments of the WIPP have used this procedure /for example,  
13 see Sandia National Laboratories 1991; 1992–1993, Vol. 1, (Section 4)], but definition of the  
14 futures  $S_i$  as discrete entities resulted in a great number of possible futures to be defined. The  
15 method of analysis used in preliminary performance assessments was called importance  
16 sampling.

17 For this performance assessment an alternative method for calculating futures has been used that  
18 is based on developing futures by direct probabilistic sampling of the possible events leading to  
19 uncertain futures rather than a priori definition of possible futures. This modification from the  
20 calculational techniques of previous preliminary performance assessments is consistent with the  
21 fundamental concepts of Kaplan and Garrick and does not alter the results of the analysis. Both  
22 techniques will lead to the same CCDF. Adoption of this new procedure was prompted by two  
23 practical considerations. First, it is difficult to define futures as literal entities, as required by  
24 importance sampling, and to develop probabilities for each one. Second, generation of the  
25 futures by probabilistic methods allows for greater resolution in a CCDF, for equal effort, than  
26 the importance sampling procedure used in preliminary performance assessments.

27 The concept of a scenario is important in this performance assessment. There is a universe of  
28 possible futures, which is the set of all possible occurrences within the 10,000-year regulatory  
29 time frame. For analysis, this universe is divided into subsets of occurrences—scenarios—that  
30 are defined practically to include similar future occurrences. It should be noted that scenarios  
31 would not necessarily have to be defined as subsets of similar future occurrences, but by defining  
32 a scenario as a subset of similar futures, the DOE gains a practical advantage because the  
33 consequences of futures falling within one scenario can be calculated with the same model  
34 configuration. Because the term scenario is defined simply as a subset of futures with similar  
35 occurrences, any size subset of similar futures can be called a scenario. In general, applying the  
36 term scenario for larger subsets of futures is useful in discussions of concepts, whereas applying  
37 the term scenario for smaller subsets of futures is useful when constructing a CCDF.

38 The calculation of *Calculating* the probabilities and consequences of future occurrences begins  
39 with the determination of *by determining* the sets  $S_i$ , which are the scenarios to be analyzed.  
40 Scenarios are determined through a formal process similar to that proposed by Cranwell et al.

1 (1990, 5-10) and the process used in preliminary ~~performance assessments~~ **PAs** for the WIPP.  
 2 This process has four steps.

- 3 1. **The** FEPs potentially relevant to the WIPP are identified and classified.
- 4 2. Certain FEPs are eliminated according to well-defined screening criteria as ~~not~~  
 5 **un**important or ~~not~~**ir**relevant to the performance of the WIPP.
- 6 3. Scenarios are formed from the remaining FEPs in the context of regulatory performance  
 7 criteria.
- 8 4. Scenarios are specified for consequence analysis.

9 Through steps (1) **1** and (2) **2** of the scenario development process, the DOE identifies “all  
 10 significant processes and events that may affect the disposal system” as required by 40 CFR  
 11 § 191.13(a) and as further addressed in 40 CFR § 194.32. These steps are described in Section  
 12 6.2. The grouping of retained FEPs to form scenarios, and the specification of scenarios for  
 13 consequence analysis, is presented in Section 6.3.

14 ***These four steps were used to develop the PA and compliance assessment used in the CCA.***  
 15 ***This CRA uses the same PA method and basis as that used in the CCA. The steps outlined***  
 16 ***here were revisited to determine that the basis for the original PA has not been impacted by***  
 17 ***events, additional information, or regulatory changes that have occurred since the original***  
 18 ***demonstration of compliance with EPA’s disposal standard (as discussed in the following***  
 19 ***paragraphs).***

20 As discussed in Section 6.2, the DOE ~~has~~ developed a comprehensive initial list of FEPs for ~~this~~  
 21 ~~performance assessment~~ **PA**. This ~~comprehensive initial list~~ assureds that the identification of  
 22 significant processes and events is complete, ~~that~~ potential interactions between FEPs are not  
 23 overlooked, and ~~that~~ responses to possible questions are available and well documented. ***For the***  
 24 ***CRA-2004, DOE has revisited the initial FEPs list to determine if the screening decisions***  
 25 ***should be changed as a result of information collected since the EPA certification decision.***  
 26 ***Specifically, 120 FEPs required updates to their FEP descriptions and/or screening***  
 27 ***arguments, and seven of the original baseline FEP screening decisions required a change***  
 28 ***from their original screening decision. Four of the original baseline FEPs have been deleted***  
 29 ***or combined with other closely related FEPs. Finally, two new FEPs have been added to the***  
 30 ***baseline. These two FEPs were previously addressed in an existing FEP; they have been***  
 31 ***separated for clarity. Table SCR-1 summarizes the changes in the FEP baseline since the***  
 32 ***CCA. The evaluation of the CCA FEPs list is discussed in Appendix PA, Attachment SCR.***

33 Once scenarios ~~have been~~ **are** defined, a calculational methodology for evaluating their  
 34 consequences must be developed. The calculational methodology must address stochastic  
 35 uncertainty related to aggregation and stochastic variation, and subjective uncertainty, because of  
 36 (for example) measurement difficulties or incomplete data. The DOE uses a system of linked  
 37 computer models to calculate scenario consequences  $cS_i$ . As discussed in Section 6.4, these  
 38 computer models are based on conceptual models that describe the processes relevant to disposal  
 39 system performance for the defined scenarios. These conceptual models are, in turn, based on  
 40 site-specific experimental and observational data and the general scientific understanding of  
 41 natural and engineered systems.



1 For practical purposes, the DOE separates the calculation of risk because of stochastic  
 2 uncertainty (represented in an individual CCDF) from risk because of subjective uncertainty  
 3 ~~which is~~ (represented by the family of CCDFs). This can be represented mathematically as a  
 4 double integral of a function with the function representing the probability of exceedance  
 5 associated with any particular consequence. The inner integral evaluates stochastic uncertainty,  
 6 or the probability of exceedance associated with any particular consequence. ~~;~~ ~~†~~ The outer integral  
 7 evaluates subjective uncertainty and leads to a distribution of exceedance probabilities for any  
 8 given consequence value. *An analytical method for its solution is not available* ~~B~~ because of the  
 9 complexity of this double integral for the WIPP, ~~and an analytical method for its solution is not~~  
 10 ~~available~~. Instead, the DOE approximates the solution of this double integral with a linked  
 11 system of computer codes. In this computational framework, the ~~performance assessment~~ *PA*  
 12 analysis can be thought of as a double sum, presented here in a stylized form for clarity *as*

$$13 \quad \sum_{su} \sum_{st} F(x). \quad (6.9)$$

14 Here,  $F(x)$  is a procedure for estimating the normalized release to the accessible environment  
 15 associated with each scenario that could occur at the WIPP site. The inner sum denoted with the  
 16 subscript  $st$  is a probabilistic characterization of the uncertainty associated with parameters used  
 17 to characterize stochastic uncertainty (the  $\mathbf{x}_{st}$  and  $D_{st}$  in Equations 6.4a and 6.6a, respectively). It  
 18 is the evaluation of  $F(x)$  through the inner sum that develops an individual CCDF, as shown in  
 19 Figure 6-26-3. The outer sum denoted with the subscript  $su$  is a probabilistic characterization of  
 20 the uncertainty associated with parameters used to characterize subjective uncertainty (the  $\mathbf{x}_{su}$   
 21 and  $D_{su}$  in Equations 6.4b and 6.6b, respectively). It is the combined evaluation in the outer sum  
 22 of the inner sum with  $F(x)$  that develops the family of CCDFs, as shown in Figure 6-36-4.

23 A separate probabilistic analysis is required to evaluate each sum. Associated with each analysis  
 24 are parameter distributions representing uncertainty (the  $D_{st}$  and  $D_{su}$  of Equations 6.6a and 6.6b).  
 25 For example, uncertainty in the number and time of intrusion boreholes may be associated with  
 26 the inner sum. The outer sum includes a probabilistic characterization of site properties, such as  
 27 the permeability of specific rock types.

28 For the methodology adopted by the DOE ~~for the evaluation of~~ *to evaluate* stochastic uncertainty  
 29 in the inner sum, consequence calculations are required for model configurations with a set of  
 30 fixed values for subjective parameters  $\mathbf{x}_{su}$  taken from their distributions  $D_{su}$ , as well as for  
 31 defined sequences and times of events associated with scenarios. These calculations are referred  
 32 to in Section 6.4.11 and later sections as deterministic calculations (or deterministic futures). ~~For~~  
 33 ~~the evaluation of~~ *To evaluate* stochastic uncertainty and construction of a CCDF, the  
 34 consequences of futures generated probabilistically by random sampling (probabilistic futures)  
 35 are evaluated in the context of these deterministic futures. This process is discussed in detail in  
 36 Sections 6.4.12 and 6.4.13.

37 In certain cases, it may not be obvious whether a particular uncertainty should be classified as  
 38 subjective or stochastic. For example, whether currently observed geologic properties persist  
 39 through time could be thought of as either subjective or stochastic uncertainty. For the WIPP,  
 40 the DOE treats uncertainty associated with significant future human actions as stochastic (for  
 41 example, drilling for natural resources), and uncertainty in disposal system properties ~~that are~~  
 42 subject to ongoing physical processes as subjective (for example, climate change or gas

1 generation). In particular, ~~the~~ DOE's formal separation of ~~the evaluation of~~ *evaluating*  
 2 stochastic uncertainty from subjective uncertainty into different probabilistic analyses allows  
 3 clear understanding ~~as to~~ *of* how ~~any~~ *a* particular uncertainty is incorporated.

4 Once the scenarios ~~have been~~ *are* determined and their consequences calculated using the  
 5 appropriate conceptual and computational models, scenario probabilities must be determined for  
 6 a CCDF to be constructed. This process is described in Section 6.4.12. CCDF construction is  
 7 also described in Section 6.4.13.

### 8 **6.1.5 Techniques for Probabilistic Analysis**

9 Once scenarios ~~have been~~ *are* defined, conceptual models *are* defined, and the computational  
 10 modeling system developed, ~~the~~ DOE uses probabilistic techniques to evaluate the double sum  
 11 presented above. Monte Carlo analysis is the ~~general name for the~~ technique used for  
 12 probabilistic analysis of the WIPP. Monte Carlo analyses can involve five steps:

- 13 ~~1. (1) selection of~~ *selecting* the variables to be examined and the ranges and  
 14 distributions for their possible values,
- 15 ~~2. (2) generation of~~ *generating* the samples to be analyzed,
- 16 ~~3. (3) propagation of~~ *propagating* the samples through the analysis,
- 17 ~~4. (4) performing the~~ uncertainty analysis, and
- 18 ~~5. (5) conducting a~~ sensitivity analysis.

19 These steps are described briefly in the following sections.

20 Within the general framework of Monte Carlo analysis, ~~performance assessment~~ *PA* uses two  
 21 methods for ~~generating~~ *random sampling and Latin Hypercube Sampling (LHS), to generate*  
 22 the samples propagated through the model system. *Random sampling* ~~One method~~ is used for  
 23 ~~the~~ *to generate samples for* assessment of stochastic uncertainty, and *LHS* ~~another method~~ is  
 24 used for the characterization of ~~to characterize~~ subjective uncertainty. Each of these methods  
 25 ~~utilizes~~ *uses* the five steps summarized in the preceding paragraph, but differs in ~~methodology in~~  
 26 ~~Steps (2) through (5) to account for both subjective and stochastic uncertainty.~~

#### 27 **6.1.5.1 Selection of Variables and Their Ranges and Distributions**

28 Monte Carlo analyses use a probabilistic procedure for the selection of model input. Therefore,  
 29 the first step in a Monte Carlo analysis is ~~the selection of~~ *to select* uncertain variables and ~~the~~  
 30 ~~assignment of~~ ranges and distributions that characterize them. These variables are typically input  
 31 parameters to computer models, and the impact of the assigned ranges and distributions can be  
 32 great; for a given set of conceptual and mathematical models, ~~performance assessment~~ *PA* results  
 33 are largely controlled by the choice of input. Results of uncertainty and sensitivity analyses, in  
 34 particular, strongly reflect the characterization of uncertainty in the input data.

35 Information *used in the CCA* about the ranges and distributions of possible values ~~were~~ *can be*  
 36 drawn from a variety of sources, including field data, laboratory data, and literature. ~~In instances~~

1 ~~w~~Where sufficient data ~~were~~ are not available, the documented solicitation of experts ~~was~~ may be  
 2 used. A review process ~~led~~ leads from the available data to the construction of the distribution  
 3 functions used in the ~~that~~ to characterize uncertainty in input parameters **in PA (Appendix PA,**  
 4 **Attachment PAR, PAR.2).** ~~In part, t~~This review process ~~addresses~~ the scaling of data collected  
 5 at experimental scales of observation to the ~~development of the~~ parameter ranges applied to  
 6 scales of interest in the disposal system. ~~Because of t~~The nature of the available data and the  
 7 type of analysis ~~this review process~~ ~~unavoidably involved~~ some judgment of the ~~from~~  
 8 investigators and analysts involved. ~~For this performance assessment, a~~ discussion of  
 9 parameter ranges developed by this process **for the CRA-2004 PA** is provided in Appendix  
 10 **Appendix PA, Attachment PAR (Sections PAR.1, PAR.2, and PAR.3).** The QA procedures  
 11 associated with this review process are identified in Section 5.1.4 **5.4.2** and Appendix PA,  
 12 **Attachment PAR (Section PAR.12).**

13 The outcome of the review process is a cumulative distribution function (CDF)  $D(x)$  of the form  
 14 shown in Figure 6-56-6 for each independent variable of interest. For a particular variable  $x_j$ , the  
 15 function  $D$  is defined such that

$$16 \quad \text{prob}(x < x_j \leq x + \Delta x) = D(x + \Delta x) - D(x) . \quad (6.10)$$

17 That is,  $D(x + \Delta x) - D(x)$  is equal to the probability that the appropriate value ~~to use~~ for  $x_j$  in the  
 18 particular analysis under consideration falls between  $x$  and  $x + \Delta x$ .

#### 19 6.1.5.2 Generation of the Sample

20 Various techniques are available for generating samples from the assigned distribution functions  
 21 for the variables, including random sampling, stratified sampling, and LHS. The DOE's  
 22 ~~performance assessment~~ **PA** for WIPP uses random sampling and LHS.

23 Randomly sampling of the occurrence of possible future events is used to generate the possible  
 24 futures (probabilistic futures) that comprise a CCDF. This sampling is used to select values of  
 25 uncertain parameters associated with future human activities, or in other words, ~~it is used to~~  
 26 incorporate stochastic uncertainty into the WIPP ~~performance assessment~~ **PA**. This sampling is  
 27 used for parameters evaluated in the inner sum of the double sum and included in the parameter  
 28 set  $\mathbf{x}_{st}$  with associated distributions  $D_{st}$ , as shown in Equations 6.4a and 6.6a respectively.  
 29 ~~Generation of the~~ **Generating** futures comprising a CCDF by random sampling, rather than  
 30 importance or stratified sampling, as used in previous preliminary ~~performance assessments~~ **PAs**,  
 31 largely eliminates errors from aggregation.

32 LHS, in which the full range of each variable is subdivided into intervals of equal probability and  
 33 samples are drawn from each interval, is used to select values of uncertain parameters associated  
 34 with the physical system being simulated. In other words, LHS incorporates subjective  
 35 uncertainty into the WIPP ~~performance assessment~~ **PA**. This sampling is used for parameters that  
 36 are evaluated in the outer sum of the double sum and are included in the parameter set  $\mathbf{x}_{su}$  with  
 37 associated distributions  $D_{su}$ , as shown in Equations 6.4b and 6.6b, respectively. The restricted  
 38 pairing technique of Iman and Conover (1982, 314-319) is used to prevent spurious correlations  
 39 within the sample.