

Morris, Alexander

3/3 sent to WSA

From: FOIA-Central
Sent: Wednesday, March 02, 2011 1:51 AM
To: FOIA-Central
Subject: DOE Headquarters FOIA Request

0011 1 0 00
MAR 03 2011

Name: Harold T. Conner, Jr.
Organization: Lawrence Livermore National Laboratory
Address:

UNCLASSIFIED//FOR OFFICIAL USE ONLY

[Redacted]
Livermore, CA 94550

Phone: [Redacted]

FAX: [Redacted]

Email: [Redacted]

Record Description:

I am working on a dissertation related to determining the effect of contract transitions on key results variables at the DOE national labs and production sites. The research will evaluate the effect of the contract transitions on people, performance, and processes. The key results variables for the labs and production sites will include: the TRC rates, number of NTS reports, number of Patents awarded, Budget amounts, number of R&D 100 Awards, number of Occurrence Reports, Attrition rate of all personnel, and Award Fee Scores. I request through FOIA the Award Fee scores for all DOE national labs and production sites for the last 10 years to include as part of the study. This information is included in the DOE annual Performance Evaluation Plans for the labs and production sites.

Preferred Form or Format: No preference

Type of Requester (if provided):

1-10-2011-00737-F

W.S.A.

An individual seeking information for personal use and not for commercial use.

Fees and Fee Waivers:

I agree to pay all applicable fees.

Expedited Processing:

Specific Justification for Expedited Processing:

The requestor is specifically interested in the Award Fee Scores for the contract transitions from the DOE National Labs and Production Sites for the last ten years. He has attached a spreadsheet to this reply that shows the laboratories and production sites of interest. He has already collected much of the safety data that was shown on the websites. He simply wants us to fill in the blanks for Performance Evaluation Reports that indicate Award Fee Scores for the years of interest around the contract transitions at the selected sites.

A Chart that was provided by the requestor.

Lawrence Livermore National Lab

WNSA

LLNL	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2005	2	18	1315	20	4	95		109	UC
2006	2	28	1249	70	7	95	12.6	73	UC
2007	1	29	1289	70	5	54	13.9	66	UC / LLNS
2008	1.6	20	1185	57	3	86	25.4	72	LLNS
2009	1.6	20	1199	34	8	88	5.7	41	LLNS

Los Alamos National Lab

WNSA

LANL	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2004	2.5	24	1730	6	5			109	UC
2005	2.5	16	1847	2	4			145	UC
2006	3.3	15	1851	19	5			157	UC / LANS
2007	1.7	24	1800	27	2			129	LANS
2008	1.8	27	1892	38	2			151	LANS

Sandia National Lab Albuquerque

WNSA

SNL-A	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
1991		n/a		14					ATT
1992	4.1	n/a		11					ATT
1993	4	n/a		12					ATT/MM
1994	3.6	n/a		11					MM
1995	3.1	1		14					MM

Sandia National Lab Livermore

WNSA

SNL-L	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
1991		n/a							ATT
1992		n/a							ATT
1993		n/a							ATT/MM
1994		n/a							MM
1995		0							MM

Washington Closure Hanford

Rudland

WCH	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2003			800	0	0			307	BH/CH2
2004			883	0	0			352	BH/CH2
2005			591	0	0			279	BH/CH2/WCH
2006	0.7		520	0	0			231	WCH
2007	1.3		483	0	0			154	WCH

Savannah River Nuclear Solutions

SROD

SRNS	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2006	0.2	20	62	0	1			109	WSRC
2007	0.4	14	93	0	0			102	WSRC
2008	0.2	4	76	0	0			101	WSRC / SRNS
2009	0.7	25	553	0	0			113	SRNS
2010	0	25	70	0	0			106	SRNS

Knolls Atomic Power Lab

NRLFD AESTO

KAPL	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2006	0.6	No data	307	0	0				LM
2007	0.6	No data	302	1	0				LM

Lawrence Berkeley National Lab

CHO

Ames Lab

CHO

Argonne National Lab

CHO

Brookhaven National Lab

CHO

Fermi National Lab

CHO

Idaho National Lab

Ioo

Year	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2008	0.8	No data	288	0	0				LM/DNI
2009	0.2	No data	303	0	0				BNI
2010	0.6	No data	392	0	0				BNI
LBNL	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2004		0	391	3	2			10	UC
2005		2	415	0	3			15	UC
2006	0.6	7	401	1	4			16	UC
2007	2.4	10	482	5	3			22	UC
2008	0.4	10	497	3	4			38	UC
AMES	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2004		0	26	0	0			2	AMES LAB
2005		0	28	1	1			3	AMES LAB
2006	1	0	26	0	1			3	AMES LAB
2007	0.2	0	25	5	0			3	AMES LAB
2008	1.9	0	26	0	0			4	AMES LAB
ANL	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2004		2	347	10	4			23	ANL
2005		8	356	7	4			26	ANL
2006	0.8	12	359	9	5			38	ANL/ANL LLC
2007	0.8	11	390	19	3			1	ANL LLC
2008	0.8	11	411	19	2			45	ANL LLC
BNL	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
1996	4.1	3		2					AUI
1997	4.3	6		5					AUI
1998	4.2	8		4					AUI/BSA
1999	2.4	7		5					BSA
2000	2.2	11	346	3					BSA
Fermi	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2005		0	323	1	0			4	URA
2006	0.7	1	332	0	0			10	URA
2007	0.4	3	348	0	0			7	URA/FRA LLC
2008	0.9	2	355	0	0			5	FRA LLC
2009	0.7	3	393	0	0			5	FRA LLC
INL	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
1998	4.1	19		24					LITC
1999	4	15		24					LITC / BWXT
2000	2.9	33	629	27					BWXT/ ANL-W
2001	2.3	34	650	22				139	BWXT/ ANL-W
2002		23	702	27				109	BWXT/ ANL-W

National Energy Technology Lab

NETL

National Renewable Energy Lab

GFO

New Brunswick Lab

LTA? ORO?

Oak Ridge Institute for Science and Engr.

ORO

Oak Ridge National Lab

ORO

Pacific Northwest National Lab

ORO

Princeton Plasma Physics Lab

CHD

NETL	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2002		No data	584	0	0			10	NETL
2003		No data	569	0	0			4	NETL
2004		No data	626	0	1			8	NETL/URS-BA
2005		No data	521	2	0			8	URS-BA
2006	1.5	No data	577	1	1			3	URS-BA
NREL	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
1996	1.6	0		11					MRI
1997	1.2	0		5					MRI
1998	2.3	0		8					MRI
1999	1.1	0		4					MRI
2000	1.2	0	176	0					MRI
NBL	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2006	0		7	0	0			0	ANL
2007	1		7	0	0			1	ANL
2008	0		8	0	0			3	ANL
2009	1		7	0	0			3	ANL
2010	0		10	0	0			3	ANL
ORISE	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2003		No data	21	0	0				ORAU
2004		No data	21	0	0				ORAU
2005		No data	27	0	0			4	ORAU
2006	2	No data	19	0	0			4	ORAU
2007	1.3	No data	38	0	0			6	ORAU
ORNL	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
1997	3.9	2		8					ORNL
1998	4.7	3		16					ORNL
1999	4.6	5		4					ORNL/UT-Battelle
2000	3.9	13	546	6					UT-Battelle
2001	4.2	24	801	6				157	UT-Battelle
PNNL	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2002		8	260	4					BMI
2003		5	299	5					BMI
2004		7	296	2	4				BMI
2005		6	345	3	1			5	BMI
2006	0.5	3	344	23	5			27	BMI
PPPL	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2007	1	0	73	0	0			4	PPPL
2008	1	2	77	0	0			4	PPPL

CH2MHILL Plateau Remediation

RL

Savannah River Ecology Lab

SRSD

Stanford Linear Accelerator

SRD

Thomas Jefferson National Accelerator Fac

SRD

Y-12 National Production Facility

NNSA

East Tennessee Technology Park

SRD

Year	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2009	0.9	2	75	0	0			8	PPPL
2010	0	0	79	0	0			6	PPPL
2011		0		0	0				PPPL
CH2PR	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2006				0	0			231	CH2MHILL
2007				0	0			63	CH2MHILL
2008	1.2			0	0			122	CH2MHILL
2009	0.9			0	0			164	CH2MHILL
2010	0.6			0	0			204	CH2MHILL
SREL	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2006	1			0	0				UNIV GA
2007	0			0	0				UNIV GA
2008	7.5			0	0				UNIV GA
2009				0	0				UNIV GA
2010				0	0				UNIV GA
SLAC	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2004			225	0	0			9	STANFORD
2005			277	0	0			10	STANFORD
2006	0.8		315	0	0			14	STANFORD
2007	1.2		360	0	0			11	STANFORD
2008	0.3		303	0	0			20	STANFORD
TJNAF	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2004		1	90	0	0			3	SEURA
2005		0	90	0	1			6	SEURA
2006	0.7	0	82	0	0			8	SEURA / JSA
2007	0.7	2	94	0	0			4	JSA
2008	0.7	2	99	0	0			4	JSA
Y-12	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
1998	4	8		0	0				LMES
1999	3.6	10		0	0				LMES
2000	2.7	10	429	0	0				LMES / BWXT
2001	3	10	510	0	0			145	BWXT
2002		25	678	0	0			137	BWXT
ETTP	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
1995	3			0	0				LMES
1996	3.8			0	0				LMES
1997	2.6			0	0				LMES/BIC
1998	2.7			0	0				BIC
1999	2			0	0				BIC

Pantex Production Facility

NSA

Kansas City Site

NSA

Separations Process Research Unit

NR

West Valley Environmental Services

EMBC

Waste Isolation Pilot Plant

Carlsbad

Savannah River Remediation

SROO

Washington River Protection Services

Richland

PANTEX	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
1998	3.2	12		0	0				M&H
1999	2.5	10		0	0				M&H
2000	3.7	10		0	0				M&H
2001	3.7	12	340	0	0			118	M&H / BWXT
2002			387	0	0			61	BWXT
KC	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
1998	1.9	0		0	0				HONEYWELL
1999	0.7	0		0	0				HONEYWELL
2000	1.4	0	304	0	0				HONEYWELL
2001	1.4	0	365	0	0			9	HONEYWELL
2002		1	362	0	0			9	HONEYWELL
SPRU	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2006	0		6	0	0			0	LM
2007	0		4	0	0			0	LM
2008	0		27	0	0			2	LM/URS
2009	0		18	0	0			1	URS
2010	0		15	0	0			1	URS
WVES	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2005			74	0	0			20	URS
2006	1.4		78	0	0			12	URS
2007	1.8		89	0	0			3	URS/URS LLC
2008	1.7		66	0	0			6	URS LLC
2009	0		68	0	0			4	URS LLC
WIPP	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
1998				0	0				WGI/WIPP
1999				0	0				WGI/WIPP
2000			179	0	0				WGI/WIPP/WTS
2001			198	0	0				WTS
2002			184	0	0				WTS
SRR	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2006	0.4		1626	0	0			109	WSRC
2007	0.5		1441	0	0			102	WSRC
2008	1.1		1421	0	0			101	WSRC/SRR
2009	2		1513	0	0			113	SRR
2010	0		1633	0	0				SRR
WRPS	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2006	1.7		520	0	0			231	
2007	1.7		483	0	0			154	

Waste Treatment Plant- Hanford

Richard

Idaho Chemical Plant

Idaho

Nevada Test Site

NNSA

Portsmouth D&D Services

ORD

Paducah Plant

ORD

Rocky Flats

LM

2008		1		983	0	0			122	WRPS
2009		1		1038	0	0			164	WRPS
2010		0.9		1059	0	0			204	WRPS
WTP	TRC		NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
1998					0	0				BECHTEL
1999					0	0				BECHTEL
2000					0	0				BECHTEL/BECHTELWG
2001				805	0	0				BECHTELWG
2002				786	0	0				BECHTELWG
ICP	TRC		NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2003				795	0	1			105	BBWI
2004				891	0	2			135	BBWI
2005				876	0	1			57	BBWI/CWI
2006		1.7		910	0	0			105	CWI
2007		2.1		918	0	0			63	CWI
NTS	TRC		NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2004				377	0	0			26	BECHTEL
2005				343	0	0			21	BECHTEL
2006		4.2		388	0	0			1	BECHTEL/NSTec
2007		4		361	0	0			23	NSTec
2008		4.5		337	0	0			18	NSTec
PORTS	TRC		NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2008		1.9		244	0	0			11	
2009		1.3		258	0	0			9	
2010		2.5		333	0	0			16	
2011					0	0			1	
2012					0	0				
PAD	TRC		NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2008		1.2		152	0	0			14	
2009		0.8		172	0	0			25	
2010		1		169	0	0			17	
2011					0	0			3	
2012					0	0				
RF	TRC		NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
1993					0	0				JACOBS
1994					0	0				JACOBS
1995					0	0				JACOBS/KAISER-HILL
1996					0	0				KAISER-HILL
1997					0	0				KAISER-HILL

Fernald

LM

FERNALD	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
1998				0	0				WESTINGHOUSE
1999				0	0				WESTINGHOUSE
2000			280	0	0				W/FLUOR
2001			291	0	0			17	FLUOR
2002			291	0	0			42	FLUOR
AMWTP	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2003				0	0				BBWI
2004				0	0				BBWI
2005				0	0				BBWI/BWXT
2006				0	0				BWXT
2007				0	0				BWXT
MOUND	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2000			11	0	0				
2001			13	0	0			28	
2002			10	0	0			14	CH2MHill
2003			2	0	0			20	CH2MHill
2004			1	0	0			19	CH2MHill
YUCCA	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2005	1.9		51	0	0			29	BNI
2007	1.1		139	0	0			26	BNI
2008	0.8		114	0	0			1	BNI/USA-RS
2009	0		96	0	0				USA-RS
2010	0		0	0	0				USA-RS
BEA	TRC	NTS	Budget	Patents	R&D 100	Award Fee	Attrition	ORPS	Contractor
2003			795	4	0				BBWI
2004			891	0	0				BBWI
2005			876	1	0			118	BBWI/BEA
2006	2.3		910	1	4			105	BEA
2007	2.1		673	15	0			63	BEA

Advanced Mixed Waste Treatment Plant

Idaho

Mound

EMCBC

Yucca Mountain

GC

Battelle Energy Alliance

NNSA